

# Prediction in Incremental Sentence Processing



EVIDENCE FROM  
EYE TRACKING EXPERIMENTS  
CONDUCTED BY

**KAMIDE, ALTMANN AND HAYWOOD, 2003**  
**STAUB AND CLIFTON, 2006**

presented by Melanie Reiplinger

# Outline



- Why and how to look at eye movements
  - previous findings
  - method of eye movement tracking
- Kamide et al. (2003)– anticipatory thematic role assignment
  - immediate usage of information to anticipate arguments
    - semantic
    - real-world
    - syntactic
- Staub & Clifton (2006)– prediction of syntactic structure
  - “either“ facilitates processing of coordination structures

# Why to look at eye movements



- take anticipatory eye movements as indicator of predictive processing
  - visual world paradigm
  - fixations on target objects as function of linguistic input

## PREVIOUS FINDINGS:

- a human processor anticipates the Theme role in monotransitive constructions (Altmann & Kamide, 1999)  
“The boy will eat... - the cake“ vs. “The boy will move... - the cake“

# How to look at eye movements



[HTTP://WWW.YOUTUBE.COM/WATCH?V=MRP3TKXAXQC&FE  
ATURE=RELATED](http://www.youtube.com/watch?v=MRP3TKXAXQC&feature=RELATED)

# Kamide et al. Exploring Anticipatory Thematic Role Assignment



## **DOES LINGUISTIC INPUT PROVIDE BASIS FOR ANTICIPATION OF UPCOMING INPUT ?**

- What kind of information enables prediction?

## **ROLE ASSIGNMENT BY MEANS OF**

- verb-related information, i.e.
  - meaning
  - selectional restrictions
  - role slots
  - syntactic constituents
- contextual information, i.e. entities available from
  - preceding discourse
  - visual availability



## Kamide et al.

3 Experiments on  
Anticipatory  
Assignment of  
Thematic Roles

- **Experiment 1**  
3-place verbs:  
anticipation of GOAL ?
- **Experiment 2**  
2-place verbs:  
combinatory effects from verb + subject ?
- **Experiment 3**  
3-place verbs in Japanese (verb-final):  
effect of morpho-syntactic info from the  
first 2 pre-verbal arguments ?

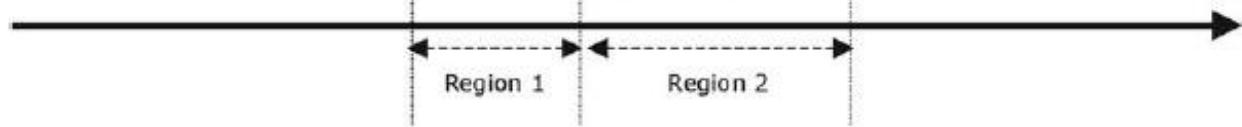
# Kamide et al.

## Experiment 1:

### Anticipation of a thematic goal



The woman will spread the butter on the bread.  
The woman will slide the butter to the man.





## Kamide et al.

Experiment 1:

Anticipation of a thematic goal

object

- animate (man)
- inanimate (bread)

verb

- slide
- spread

Object labeling:

appropriate vs. inappropriate:

- ‘bread’ for inanimate condition
- vs.
- ‘man’ for inanimate condition



## Kamide et al.

Experiment 1:

Anticipation of a thematic goal

### Hypothesis:

Appropriate goals should be fixated on more often

### Consequences:

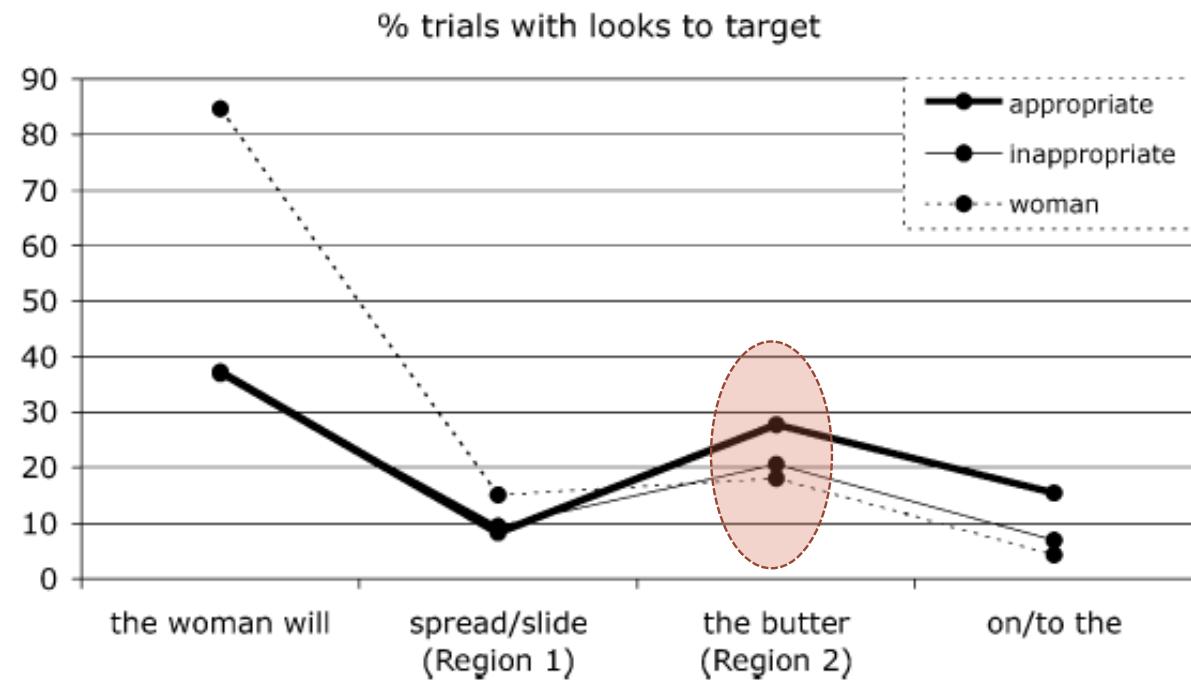
- verb effects: semantic restrictions remain effective
- purely linguistic behaviour
- effects in Region 2: anticipatory eye movements while another entity is being referred to

# Kamide et al.

## Experiment 1:

### Anticipation of a thematic goal

# Results



## Kamide et al.

Experiment 1:

Anticipation of a thematic goal

## Results

24.3%

• “slide”

16.8%

• “spread”

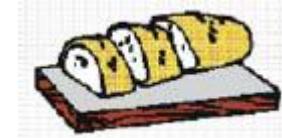


24.3%

• “slide”

27.4%

• “spread”



The woman will spread the butter on the bread.  
The woman will slide the butter to the man.

Region 1

Region 2

## Kamide et al.

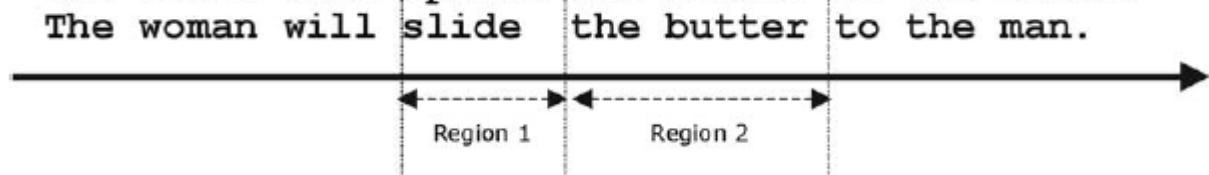
Experiment 1:

Anticipation of a thematic goal

## Conclusions

- the processor can anticipate a Goal argument
- during reference to some other object in the scene
- in a 'look-and-listen' task

The woman will spread the butter on the bread.  
The woman will slide the butter to the man.



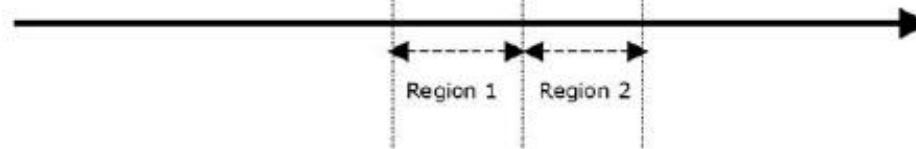
# Kamide et al.

Experiment 2:

Combinatory effects  
from verb + subject



The man will ride the motorbike.  
The girl will ride the carousel.  
The man will taste the beer.  
The girl will taste the sweets.



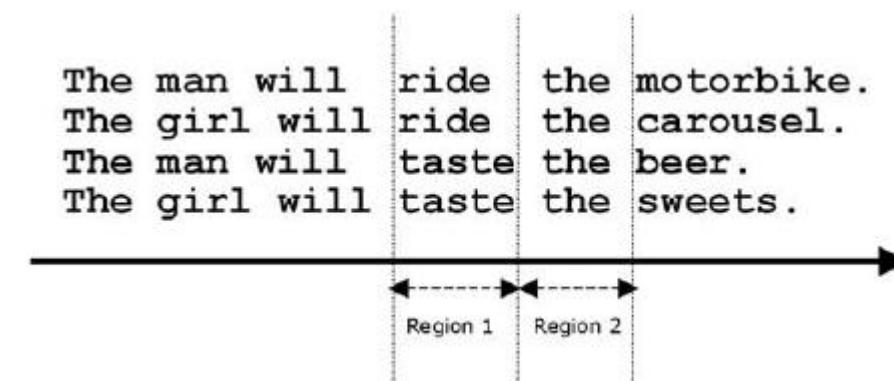
## Kamide et al.

Experiment 2:

Combinatory effects  
from verb + subject

### Hypotheses:

- combination of info (verb + agent) will facilitate looks towards the appropriate object
- no independent influences from verb or agent



## Kamide et al.

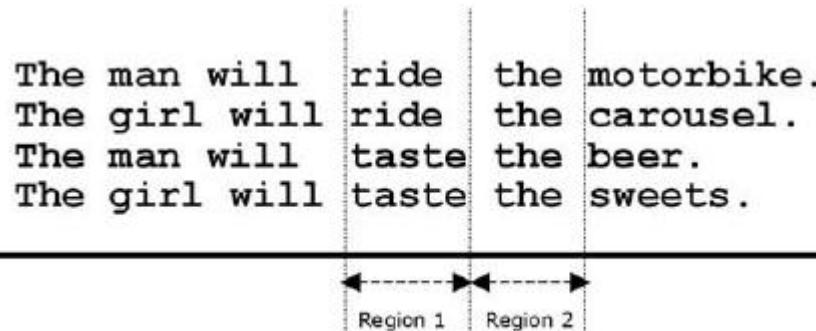
Experiment 2:

Combinatory effects  
from verb + subject

IF

- only verb has influence:  
The man/girl will RIDE... → no difference  
The girl will RIDE/TASTE... → difference
- only agent has influence:  
The MAN will ride/taste → no difference  
The MAN/GIRL will taste → difference

... in looks to 'motorbike'

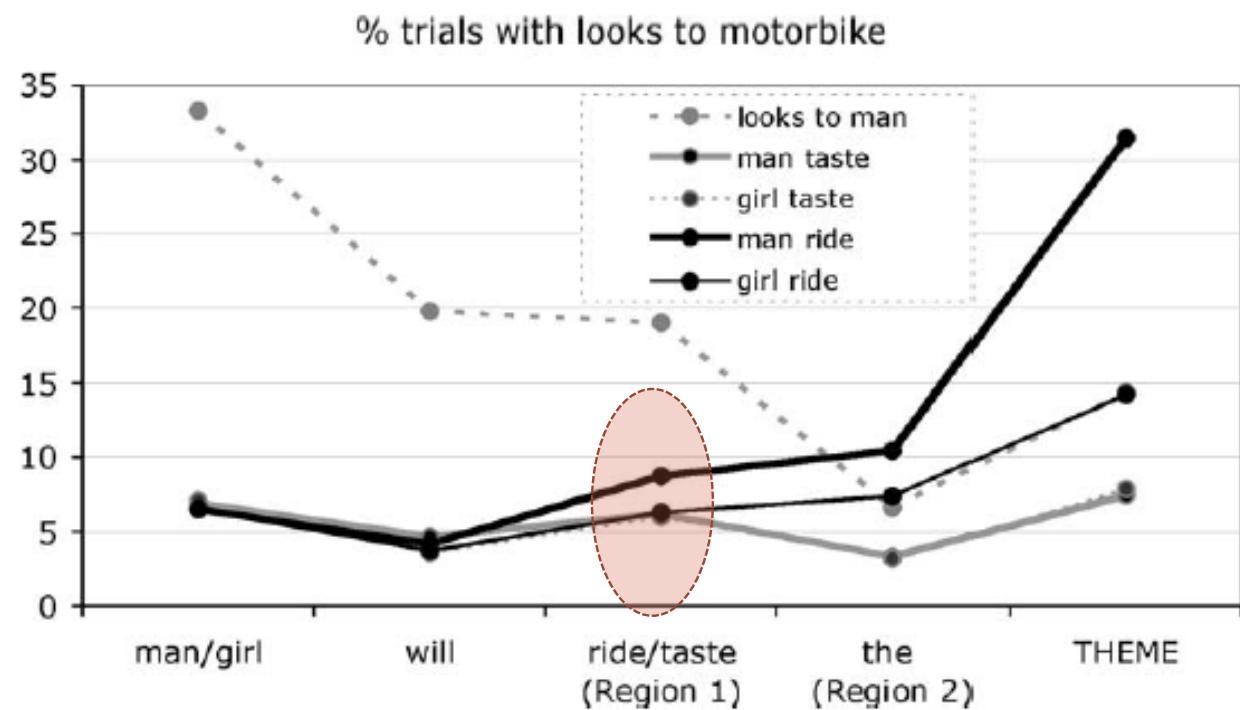


# Results

Kamide et al.

Experiment 2:

Combinatory effects  
from verb + subject

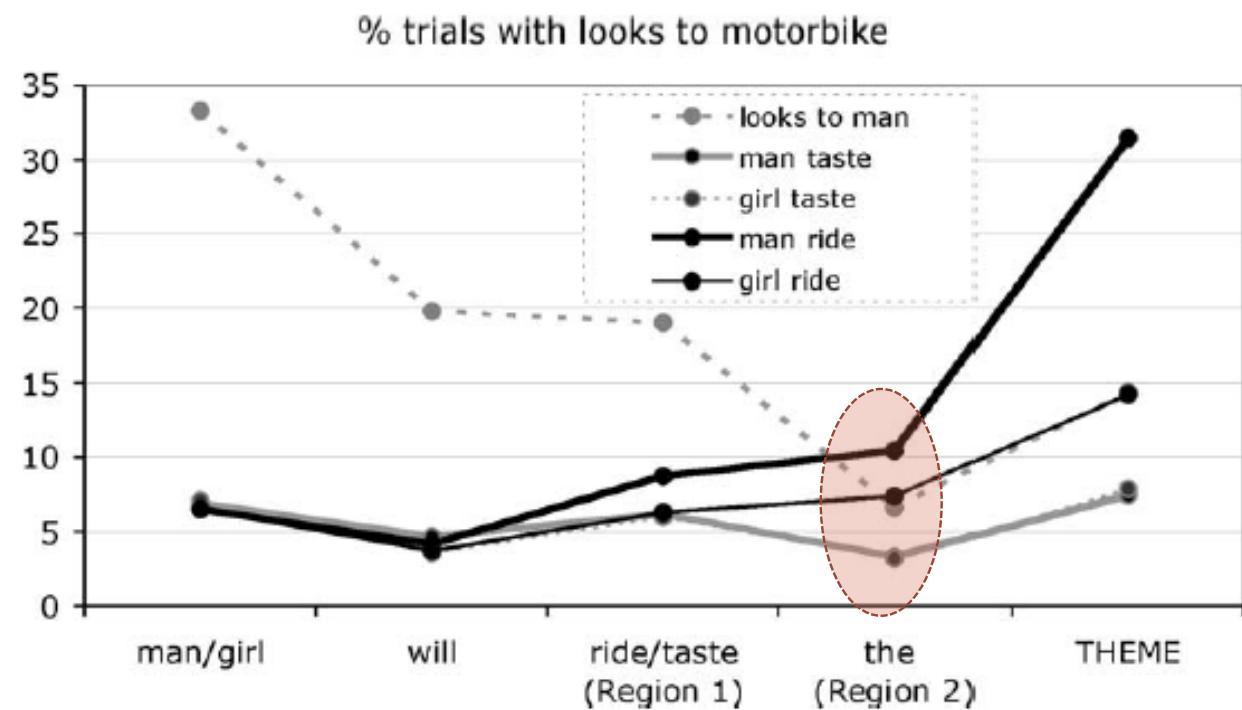


# Kamide et al.

## Experiment 2:

Combinatory effects  
from verb + subject

# Results

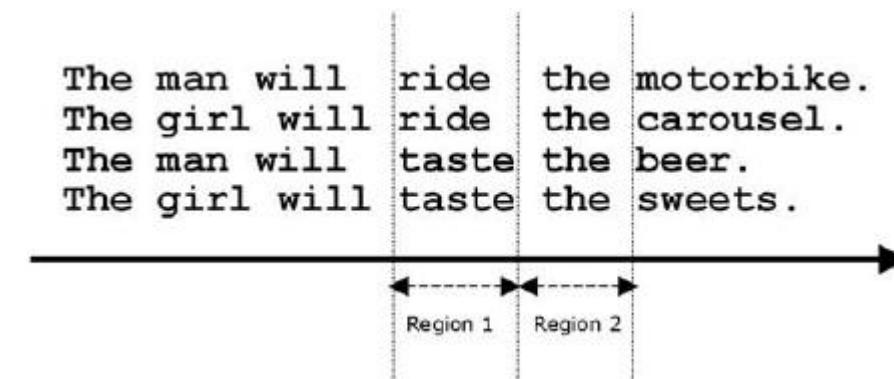


## Kamide et al.

Experiment 2:  
Combinatory effects  
from verb + subject

# Conclusions

- the human processor is able to anticipate the Theme on the basis of combinatory info (verb + subject)
- very rapid integration of lexical info and world-knowledge



# Kamide et al.

Experiment 3:  
effect of morpho-  
syntactic info



waitress-nom customer-dat merrily hamburger-acc bring.

ウェイトレスが 客に 楽し気に ハンバーガーを 運ぶ。

ウェイトレスが 客を 楽し気に からかう。

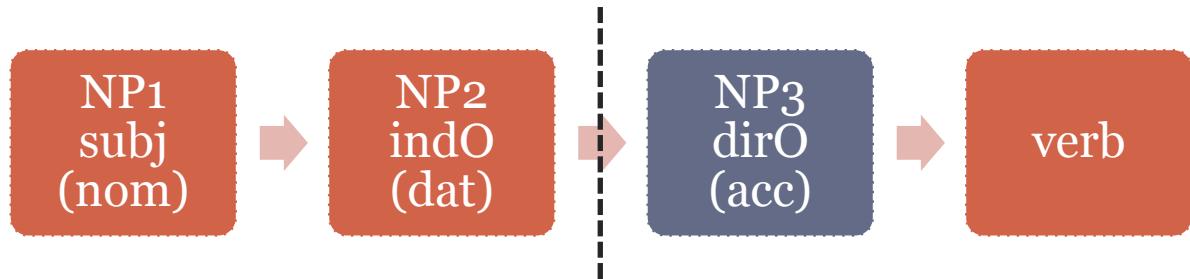
waitress-nom customer-acc merrily tease.

# Kamide et al.

Experiment 3:

effect of morpho-  
syntactic info

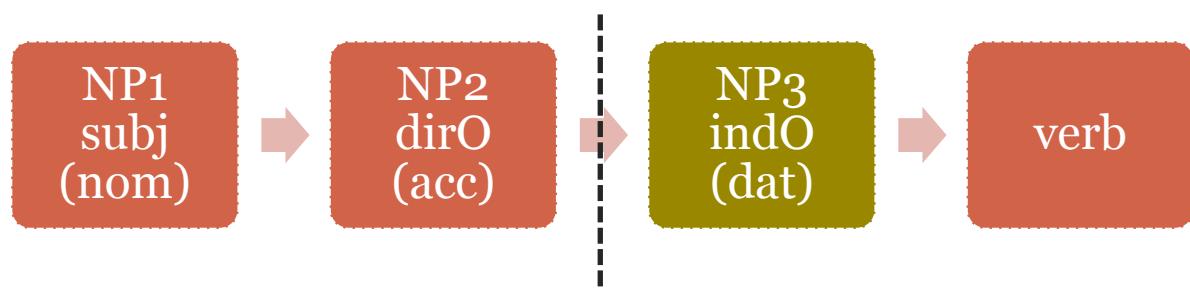
Dative-condition:



Sources of information:

- frequency of structure
- real-world knowledge

Accusative-condition:



## Kamide et al.

Experiment 3:

effect of morpho-syntactic info

# Hypothesis

Syntactic dependencies do influence prediction

→ processor anticipates the one plausible object to be the Theme  
(more looks towards 'hamburger' in the Dative-condition)

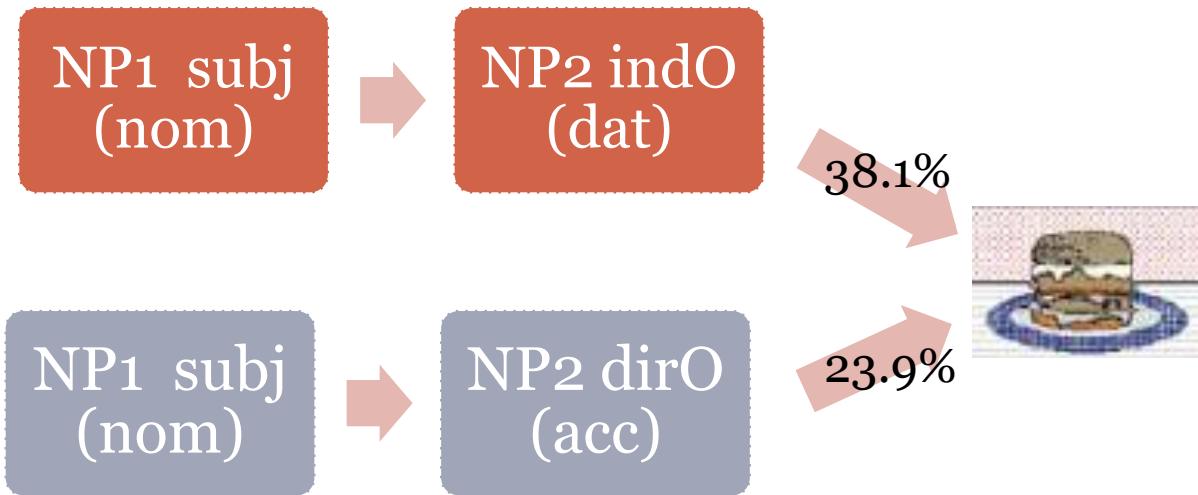


## Kamide et al.

Experiment 3:

effect of morpho-syntactic info

## Results



waitress-nom customer-dat merrily  
ウェイトレスが 客に 楽し気に ハンバーガーを 運ぶ。

waitress-nom customer-acc tease.  
ウェイトレスが 客を 楽し気に からかう。

## Kamide et al.

Experiment 3:

effect of morpho-syntactic info

## Conclusions

- prediction of arguments in absence of the verb
- prediction on basis of morpho-syntactic info

### ➤ structural sensitivity



# Staub & Clifton

## Prediction of Syntactic Structure



### EVIDENCE THAT:

- top-down prediction of constituents facilitates lexical decision tasks (Wright&Garret, 1984)
- preference for low-attachment can be eliminated if context focusses attention on the higher predicate (Altmann et al. 1998)
- top-down storage cost (Chen, Gibson, Wolf, 2005)

# Staub & Clifton Prediction of Syntactic Structure



**DOES THE PRESENCE OF “EITHER”...**

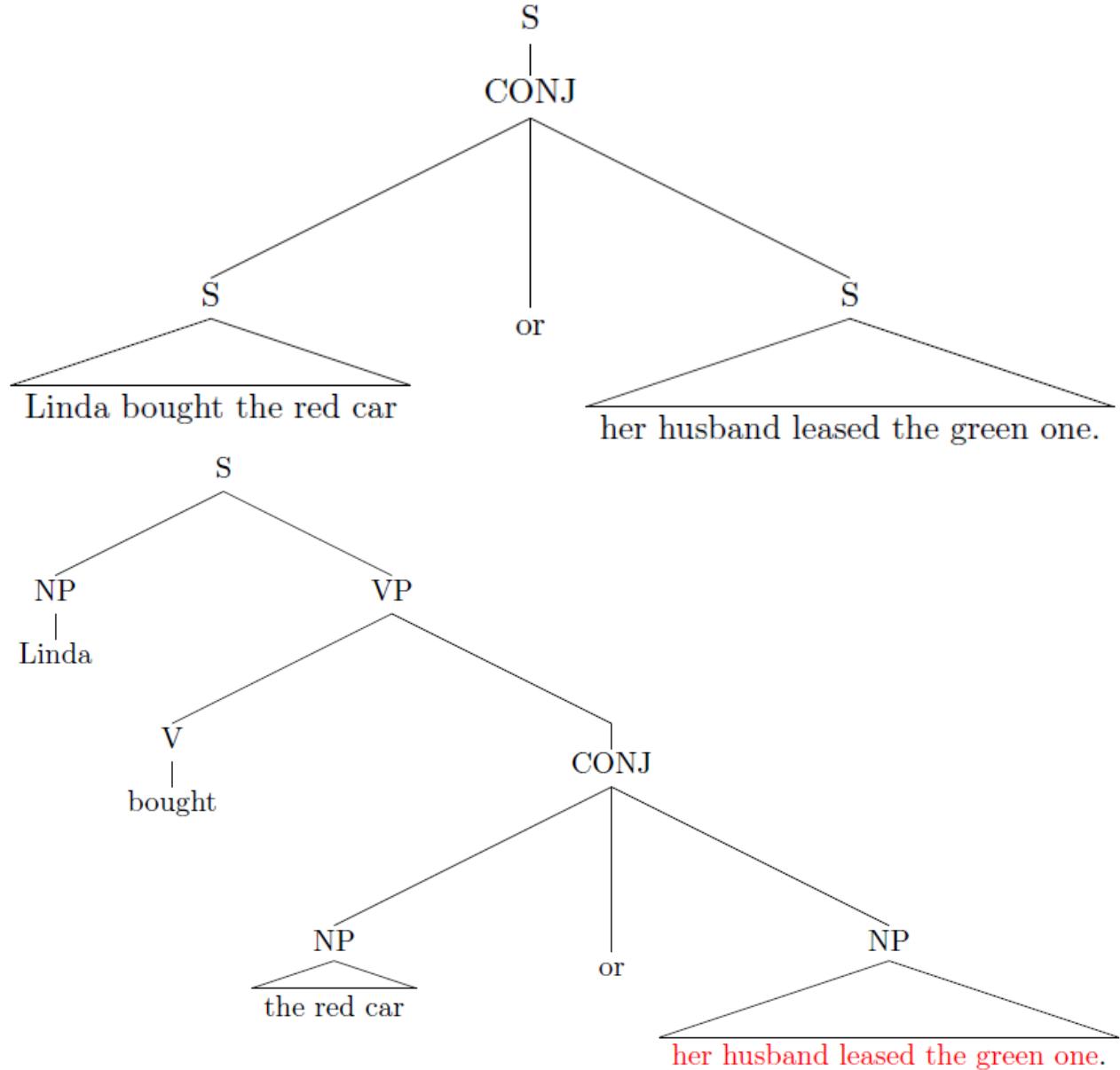
- facilitate processing of coordination structures ?
- eliminate garden-pathing in S-coordination sentences ?

# Staub & Clifton

S-coordination

vs.

NP-coordination





## Staub & Clifton

Experimental items

- (a) (Either) Linda bought the red car or  
her husband leased the green one
  
- (b) The team took (either) the train or  
the subway to get to the game.

+ yes-no-questions to check  
comprehension



## Staub & Clifton

3 Regions were analyzed

(1) object-NP-region

(Either) Linda bought the red car or her husband leased the green one.

# Staub & Clifton

3 Regions were analyzed

(1) object-NP-region

(2) or-NP-region

(Either) Linda bought the red car or her husband leased the green one.

# Staub & Clifton

3 Regions were analyzed

(1) object-NP-region

(2) or-NP-region

(3) spillover

(Either) Linda bought the red car or her husband leased the green one.



# Staub & Clifton

## Results

### Object-NP-region:

- **no significant effects of “either”**

(Either) Linda bought the red car or her husband leased the green one.



## Staub & Clifton

### Results

#### Object-NP-region:

- no significant effects of “either”

#### Or-NP-region:

- **eyes could leave the region sooner in the presence of “either”**

(Either) Linda bought the red car or her husband leased the green one.

# Staub & Clifton

## Results

### Object-NP-region:

- no significant effects of “either”

### Or-NP-region:

- Eyes could leave the region sooner in the presence of “either”

### Spillover-region:

- **first-fixation time reduced with “either”**
  - **facilitatory effect of “either”**
- **more regressive eye movements in ‘no-either-S’**
- **longer re-reading times in ‘no-either-S’**

(Either) Linda bought the red car or her husband leased the green one.



# Staub & Clifton

## Explanation

→ “either“ facilitates reading material from “or“ in both sentence types

Explanation:

- parser is able to build coordination structure predictively
- garden-pathing avoided

Either Linda bought the red car or her husband leased the green one.

# Overall Conclusions



Kamide et al.

Exp1:

- post-verbal Goals can be predicted

Exp2:

- post-verbal Themes can be predicted combining semantic info from distinct lexical items

Exp3:

- pre-verbal Themes can be predicted on basis of preceding arguments' case-marking

➤ Incremental processor uses

- syntactic structure
- semantic constraints
- real-world knowledge

Staub & Clifton

- parser is able to use available info on syntactic structure for anticipation

- maximize incremental comprehension

more general:

- parser is able to activate linguistic representations in advance