A Theory of Processing Difficulty Based on Syntactic Prediction

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Abstract: We propose a model of human sentence processing which implements incrementality with full connectedness and explicit prediction of upcoming structure. The parsing model is related to processing difficulty via a linking hypothesis that specifies the cost of retrieving, verifying, and integrating syntactic expectations.

Motivation

Recent work on sentence processing suggests that comprehenders make **predictions** while they process language: not only do they integrate new words **incrementally** with previous input, put they also anticipate upcoming linguistic material (Kamide, Scheepers, Altmann, & Crocker, 2002; Staub & Clifton, 2006).

Model Architecture

- **Full connectivity:** The syntactic structure is fully connected at every word, supporting the incremental construction of semantic interpretations.
- **Prediction:** At each word, a set E of syntactic expectations e is generated; an expectation is an incomplete syntactic structure that specifies the categories need to turn the current input into a grammatical sentence.
- **Parallel Processing:** Expectations are held in memory in parallel, and have a probability $P(e)$.
- **Decay:** Each structure has a timestamp t corresponding to when it was first predicted, or last activated.
- **Verification:** Processing difficulty is incurred when predictions are verified: either expectations become incompatible with the current input (Jurafsky-style reranking), or predictions are satisfied (predicted node and new node are unified).

Formalisation

The processing difficulty D_w at word w is:

$$D_w \propto \sum_{e \in E_i} f\left(\frac{1}{P(e)}\right) + \sum_{e \in E_d} f(P(e)) \qquad (1)$$

Here, E_d is the set of syntactic expectations that are incompatible with w and are discarded, and E_i is the set of successful integrations at w. Furthermore, f is a decay function based on time stamp t.

Implementation Using TAG

Advantages of using Tree-Adjoining Grammar (TAG) to formalise prediction:

- Makes it possible to model of incremental processing with **full connectivity** (Mazzei, Lombardo, & Sturt, 2007).
- TAG has an **extended domain of locality**, important for modeling long-range predictions (see below).
- Explicit distinction between **modifiers** and **arguments**: modifiers are not predicted unless needed for connectivity; arguments are predicted once their head is seen.

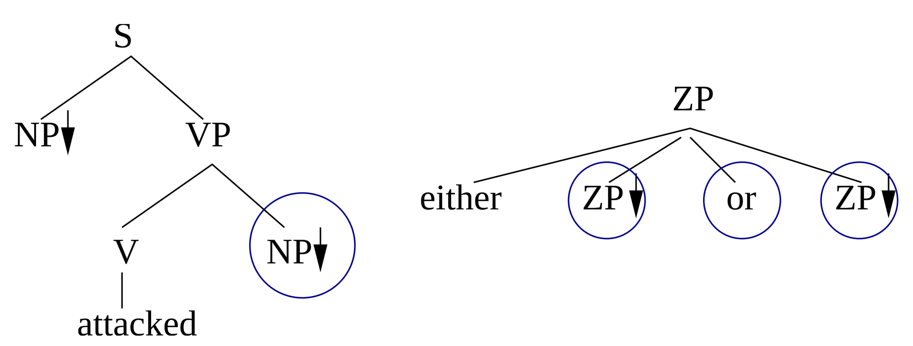
We define Psycholinguistically Motivated TAG (PLTAG), an incremental version of lexicalised TAG that supports full connectivity and is maximally psycholinguistically plausible.

Prediction and Verification

Predictions are triggered in two cases:

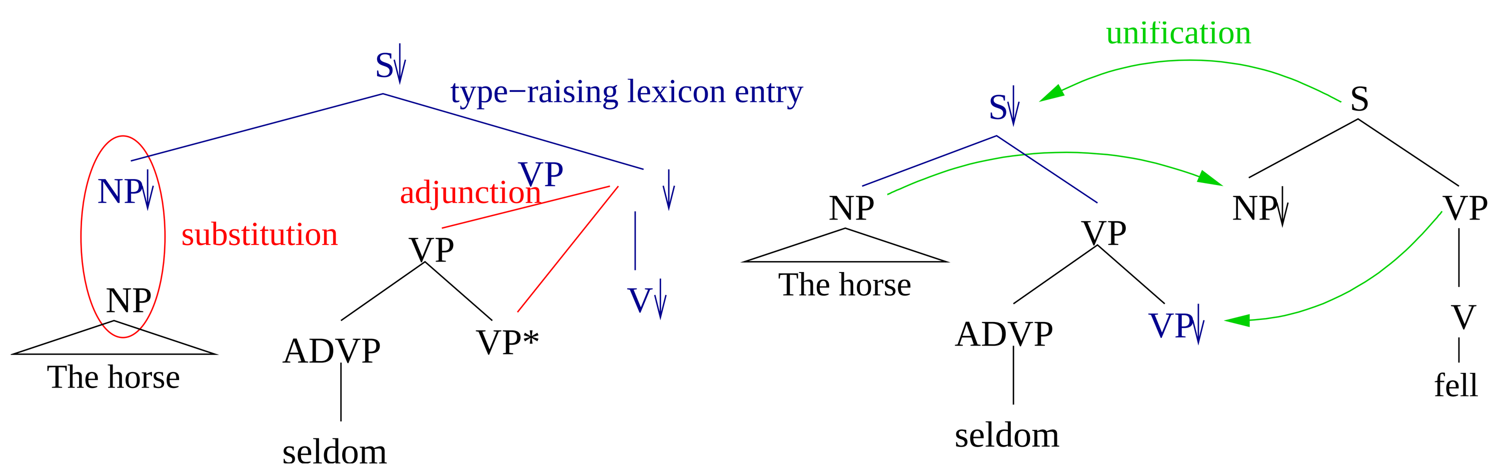
1. through substitution nodes to the right of an anchor in lexicon entries; TAG's extended domain of locality can be used to model prediction in constructions such as *either* ... *or* (Staub & Clifton, 2006), see Example 1;
2. under the assumption of incrementality and full connectivity, prediction is technically necessary to interpret structures like *he thinks the* ... or *the horse seldom* ..., see Example 2.

Example 1



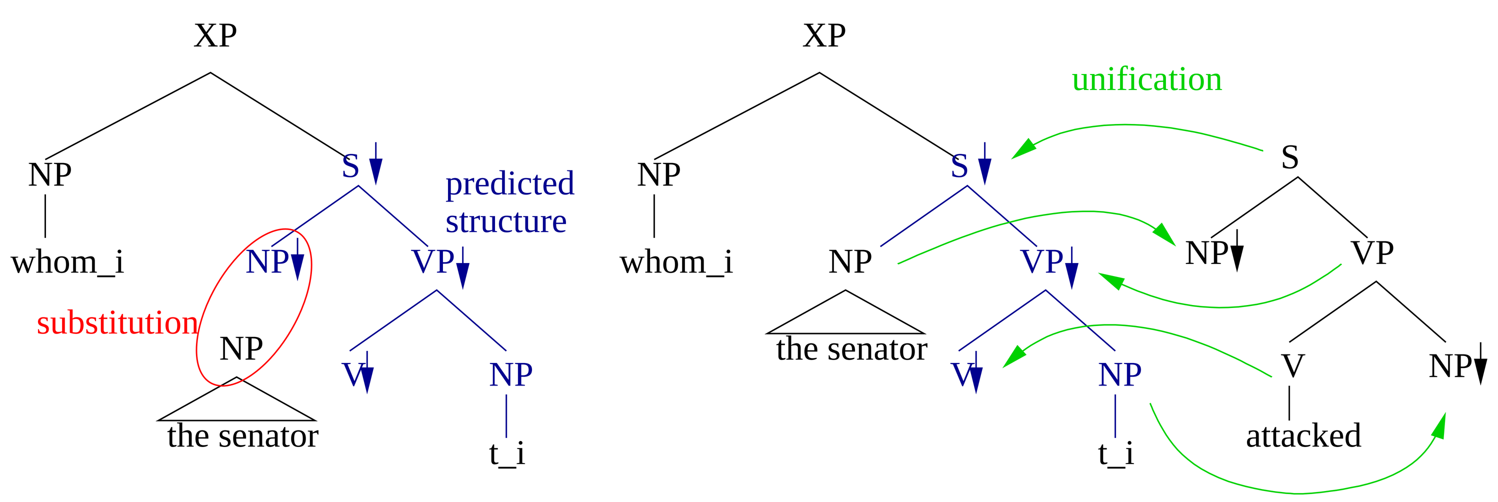
Lexicon entries with substitution nodes to the right of the anchor.

Example 2



PLTAG with type-raising lexicon entry.

Redundancy and Traces



PLTAG processing for an object relative clause.

Explanatory Power

The proposed theory can account for:

- **Locality effects** (Gibson, 1998): the more dependents are integrated (E_i), the more processing cost is incurred, subject to a distance-based decay function f;
- **Anti-locality effects** (Konieczny, 2000): the more expectations are discarded (E_d), the more processing cost is incurred;
- **Digging-in effects** (Tabor & Hutchins, 2004): discarding expectations that have been maintained for longer is more costly (decay function f);
- **Prediction** (Kamide et al., 2002): syntactic categories are predicted explicitly as part of the formalism;
- **Ambiguity resolution and garden paths:** accounted for by probabilistically ranked parallelism as proposed by Jurafsky (1996).

References

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