



Lecture 6

Information Packaging

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Information Packaging

(Chafe, 1976), (Vallduví, 1992; Vallduví, 1994), (Vallduví and Engdahl, 1996)

Focus the part of the sentence that encodes *information* (I_s) , i.e., the only augmentation or modification to be made to the hearer's model of the common ground (K_h) $(I_s = \phi_s - K_h)$

Ground the part of the sentence that encodes what is already established and under discussion in K_h at utterance time; ushers I_s to the right location (from the speaker's viewpoint) in K_h ; further subdivided into LINK and TAIL

Link in indicates where I_s goes and tail indicates how it fits there.

- partitioning of surface form, not of sentence meaning!
- (Vallduví and Engdahl, 1996): analysis of IP realization in many languages



Outline

- Vallduvi's information packaging: Link, Tail and Focus
- File change semantics of IP
- Hoffman's database query answering
- Criticism of link as "locator"

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Vallduví: Examples

Link-Focus:

- (131) The boss $[_F \text{ CALLED }]$.
- (132) The boss [$_F$ visited a broccoli plantation in COLOMBIA].
- (133) The boss [$_F$ I wouldn't BOTHER].
- (134) Broccoli the boss [$_F$ doesn't EAT].

Link-Focus-Tail:

- (135) The boss [$_F$ HATES] broccoli.
- (136) The farmers [$_F$ already SENT] the broccoli to the boss.

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Vallduví: Examples

All Focus:

- (137) $[_F$ The BOSS called].
- (138) Waiter! [$_F$ There's a fly in my cream of broccoli soup]!
- (139) What doesn't the boss like? [$_F$ Broccoll].

Focus-Tail:

(140) I can't believe this! The boss is going crazy! $[F \ BROCCOLI]$, he wants now.

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Example(s)

- (141) a. H: I'm arranging things for the president's dinner. Anything I should know?
 - b. S: Yes. The **president** [$_F$ hates the Delft CHINA SET]. Don't use it.
 - c. GOTO(125) (UPDATE-ADD(hates the Delft-china-set(125))
- (142) a. H: In the Netherlands I got the president a big Delft china tray that matches the set he has in the living room. Was that a good idea?
 - b. S: Nope. The **president** [$_F$ HATES] the Delft china set.
 - c. GOTO(125)
 (UPDATE-REPLACE(hates, {_: _ Delft-china-set(125) }))





IP and File Change Metaphor

- Semantics of IP in terms of operations on file-cards:
- go to (introduce) a new card
- go to an existing card
- access a record on a card
- add/modify a record on a card
- Four possible instruction types for IP:
- linkless all-focus sentence: UPDATE-ADD (I_S)
- focus-tail sentence: UPDATE-REPLACE(I_S , RECORD(fc))
- link-focus sentence: GOTO(fc), UPDATE-ADD (I_S)
- link-focus-tail sentence: GOTO(fc), UPDATE-REPLACE(I_S , RECORD((fc))
- = File-change metaphor taken literally;
- cf. also (Reinhart, 1995; Erteschik-Shir, 1997)

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Example(s)

- (143) H: I'm arranging things for the president's dinner. Anything I should know?
 - S: Yes. The **president** always uses plastics dishes.
 - [F] (He) hates the Delft CHINA SET].
 - UPDATE-ADD(hates the Delft-china-set(125))
- (144) H: In the Netherlands I got the president a big Delft china tray that matches the set he has in the living room. Wille the president like it?

 S: Nope. [F (He) HATES] the Delft china set.

UPDATE-REPLACE(hates, {_: _ Delft-china-set(125)})

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Links Without Locations

(Hendriks and Dekker, 1995):

- criticism of the file-change approach
 - links only seem to make sense if we assume files as locations of information
- what locus of update is to be associated with quatified, negative or disjunctive links?
- how about multiple links in one sentence?
- why pronouns as part of focus?
- semantics of information packaging in DRT
- links: non-monotone anaphora

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Hoffman's Application of IP

- Modeling discourse functions of Turkish word order
 - (Hoffman, 1995b): answers to wh- and yes/no-questions in a DB query task
- (Hoffman, 1996): translation English → Turkish
- CCG-based grammar formalization
- Approach to IS based on (Vallduví, 1992; Vallduví, 1994):
- Association of sentence positions with discourse functions:
 - sentence initial position tends to be the topic
 - immeditely preverbal position tends to be focus
 - elements between topic and focus and postverbal elements are in the ground



Links Without Locations

(Hendriks and Dekker, 1995):

Non-monotone Anaphora Hypothesis::

Linkhood (marked by L+H* in English) serves to signal non-monotone anaphora. If an expression is a link, then its discourse referent Y is anaphoric to an antecedent discourse referent X such that $X \nsubseteq Y$.

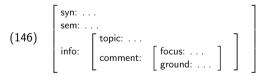
- (145) The guys were plying basketball in the rain.
 - a. The fathers were having fun.
 - b. The fathers were having fun.

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IP Representation

(Hoffman, 1995b; Hoffman, 1995a): topic vs. comment (=ground/focus)



- Topic has the value "recoverable" when zero-pronoun or in verb-initial sentences (all-focus)
- T/C structures fully recursive

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IP Representation

(Hoffman, 1995b):

(147) Dün Fatma'nın gittiğini Ayşe biliyor. Yesterday Fatma-Gen go-Ger-Acc Ayşe knows. It's AYŞE who knows that yesterday, FATMA left.

```
syn: . . .

sem: . . .

topic: 
topic: 
comment: 
focus: Fatma ground: go

comment: 
focus: Ayşe ground: know

comment: know
```

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Example 1

(148) Fatma'yı kim aradı?
Fatma-Acc who call-Past?
As for Fatma, who called her?

db_file(fatma, person(fatma)).
db_file(fatma, call(e3,ayse,fatma)).
db_file(fatma, see(e4,fatma,ahmet)).

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DB Question Answering System

- 1. Parser determines syn, sem, info
- 2. Planner executes simple plans to handle different types of questions:
 - i. determine question type (sem : type): (a) wh-q; (b) yes/no-q: Prop-q (q-morph on verb); Focused-q (q-morph on non-verb); Schedule-q (ability)
 - ii. query DB with sem:lf, respecting IP of question if success then generate corresponding answer else generate a "negative" answer
 - iii. plan answer: copy as much as possible from question, add/modify IP: topic of question \rightarrow topic of answer; info from DB \rightarrow focus

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Example 1

```
db_file(fatma, person(fatma)).
db_file(fatma, call(e3,ayse,fatma)).
db_file(fatma, see(e4,fatma,ahmet)).
```

(149) Fatma'yı Ayşe aradı.
Fatma-Acc Ayşe call-Past
As for Fatma, it was Ayşe who called her.

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Example 2

```
db_file(fatma, person(fatma)).
db_file(fatma, call(e3,ayse,fatma)).
db_file(fatma, see(e4,fatma,ahmet)).
```

(150) Fatma'yı Ahmet mi aradı?
Fatma-Acc Ahmet Quest call-Past

As for fatma, was it Ahmet who called her?

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DB Question Answering System: Summary

- Wh-element belongs to focus of question
- "Topic-inheritance" from question to answer
- File-card organization in DB by topics
 - relevance of IP for DB organization?
 - either info must be duplicated or some info not accessible to search
 - does not scale well for multiple topics, or quantified topics, etc.
- cf. question answering system Tibaq (Hajičová and Hnátková, 1984): assign Topic-Focus Articulation to analyzed sentences, and take it into account when retrieving answers: answer only considered exhaustive iff Focus corresponds to question



Example 2

```
db_file(fatma, person(fatma)).
db_file(fatma, call(e3,ayse,fatma)).
db_file(fatma, see(e4,fatma,ahmet)).
```

(151) Hayır, Fatma'yı Ayşe aradı.
 No, Fatma-Acc Ayşe call-Past
 No, as for Fatma it was Ayşe who called her.

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Target WO in English → **Turkish MT**

(Hoffman, 1996)

- Determination of Topic and Focus w.r.t. contextual information.
- Using centering, old/new and contrastiveness.
- Not using cues from source language text!
- Topic and Focus determined by algorithms; the rest is Ground.

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Topic Determination Algorithm

Given:

- sentence contents.
- list of discourse entities mentioned in text so far,
- C_f lists of current and preceding sentence (cf. Centering (Grosz et al., 1995))

Topic determination:

- 1. Try to choose most salient discourse-old entity.
- 2. Else try to choose a situation-setting adverb.
- 3. Else choose the first item on the C_f list of current sentence (i.e., Subject)

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Target WO in Polish → **Turkish MT**

Contrary to (Hoffman, 1996), (Styś and Zemke, 1995) argue for discourse analysis of the source text in order to preserve its communicative meaning in MT.

- Tracking centers according to Centering Theory (Grosz et al., 1995)
- Additional criteria for center evaluation: special center-poiting constructions, demonstrative pronouns, possessive and demonstrative modifiers, definiteness award, indefiniteness penalty
- Further modifications: gradation of center values, center values for all NPs, composite computation of center values, referential distance, synonyms
- Set of ordering criteria (end weight, given fronting, short before long, specific patterns) and preferences based on statistical models



Focus Determination Algorithm

Given:

- the non-topic rest of the sentence contents,
- list of discourse entities mentioned in text so far.

Focus determination:

- 1. If there are any discourse-new entities, put them into focus.
- 2. Else determine contrastive focusing of discourse-old information: For each entity:
 - i. Construct a set of alternatives based on the entity's semantic type
 - ii. If the alternative set is not empty, put the entity into focus

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Summary

- Information packaging: in essence very similar to TFA
- Crosslinguictic comparison of IP realization means
- File-change based semantics: links have an ushering function
- Four basic types of context update instructions based on IP constellation
- IP of question \rightarrow IP of answer
- Database organization according to IP?

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