

Annotation and automatic classification of situation entity types

Annemarie Friedrich

joint work with Alexis Palmer

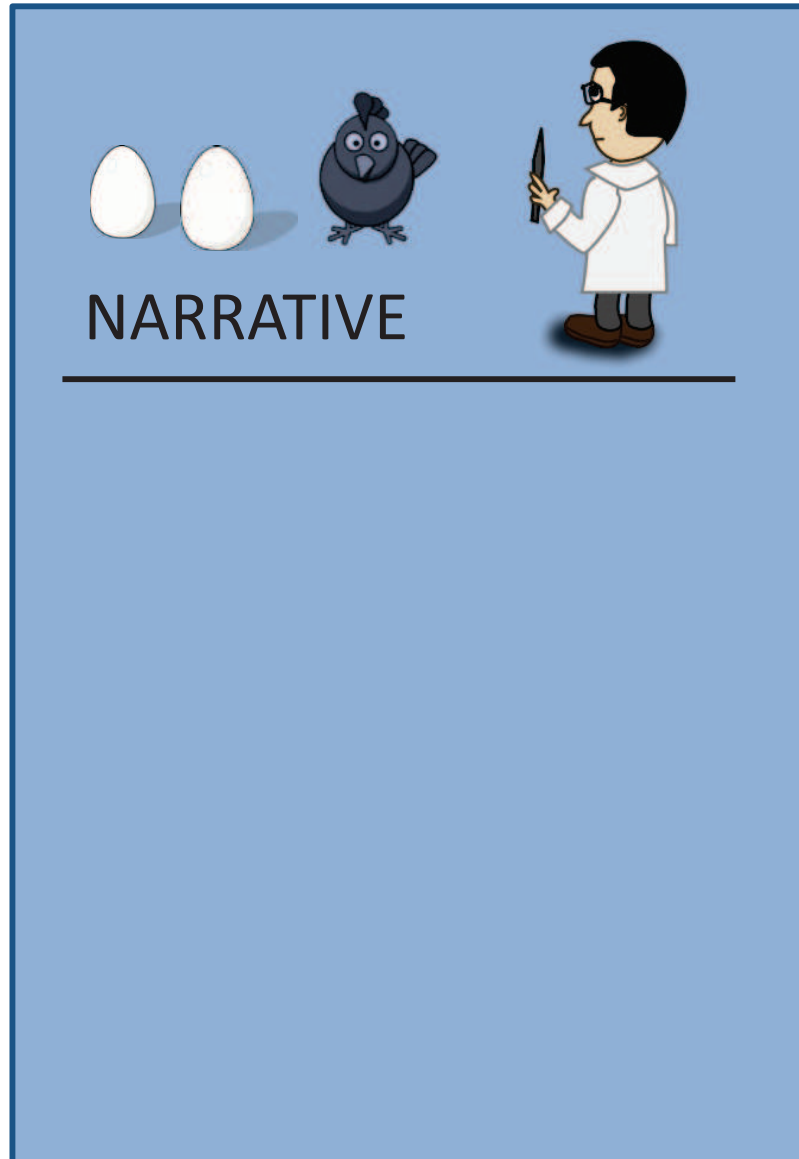
Department of Computational Linguistics
Saarland University

Situation entity types [Smith 2003]

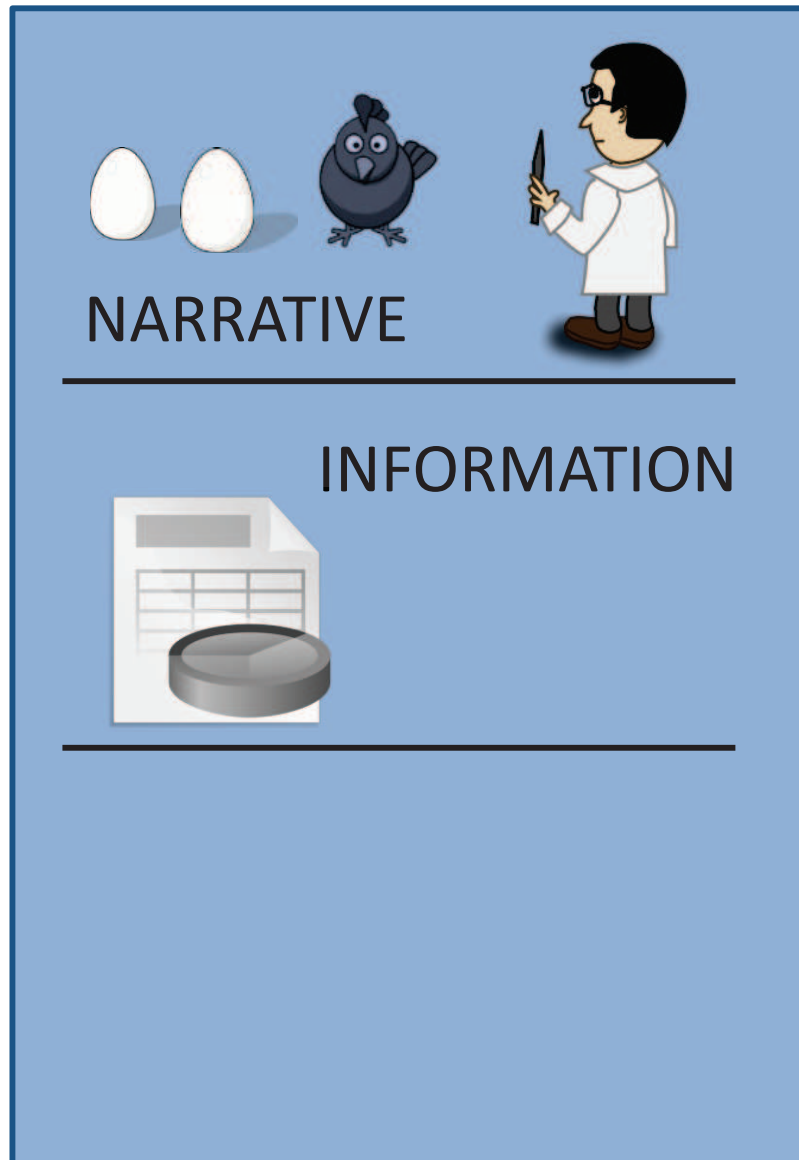
- clauses introduce situations to a discourse
- classification of types of situation (entities)

SE type	<i>Example</i>
STATE	<i>Mary likes cats.</i>
EVENT	<i>Mary fed the cats.</i>
GENERALIZING SENTENCE	<i>Mary often feeds my cats.</i>
GENERIC SENTENCE	<i>Cats are always hungry.</i>

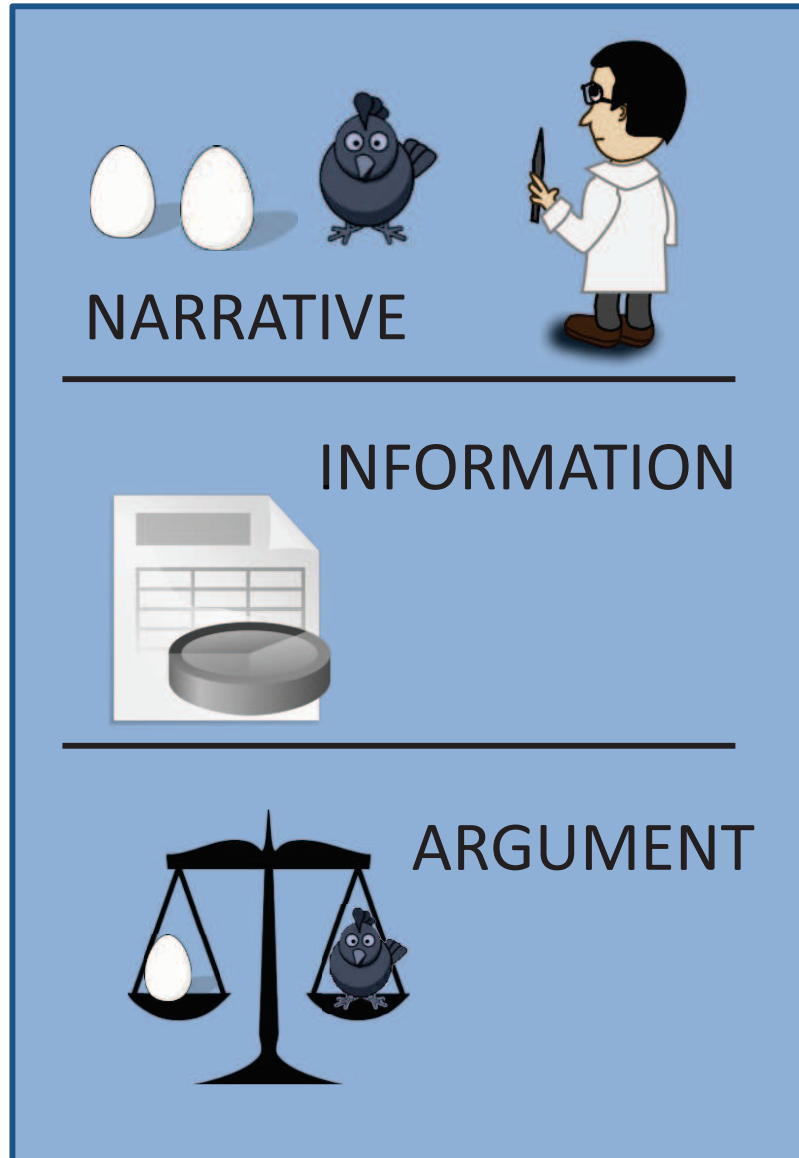
Modes of discourse [Smith 2003]



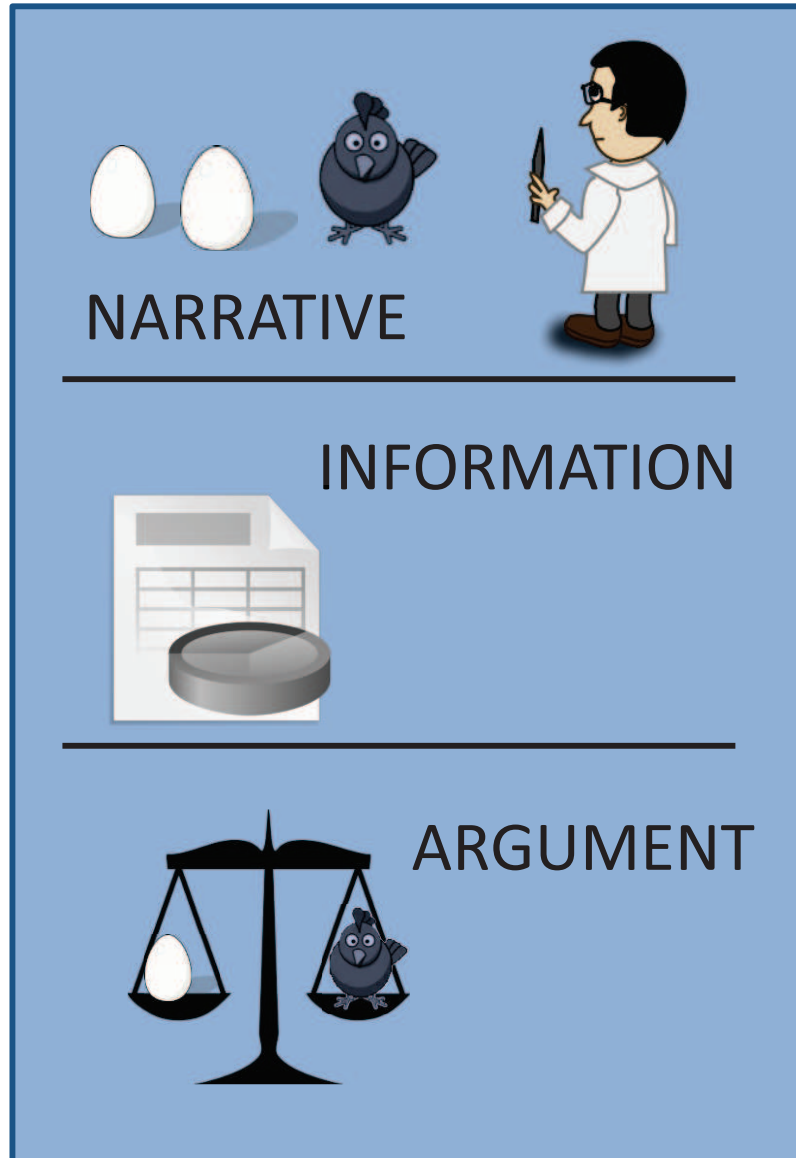
Modes of discourse [Smith 2003]



Modes of discourse [Smith 2003]

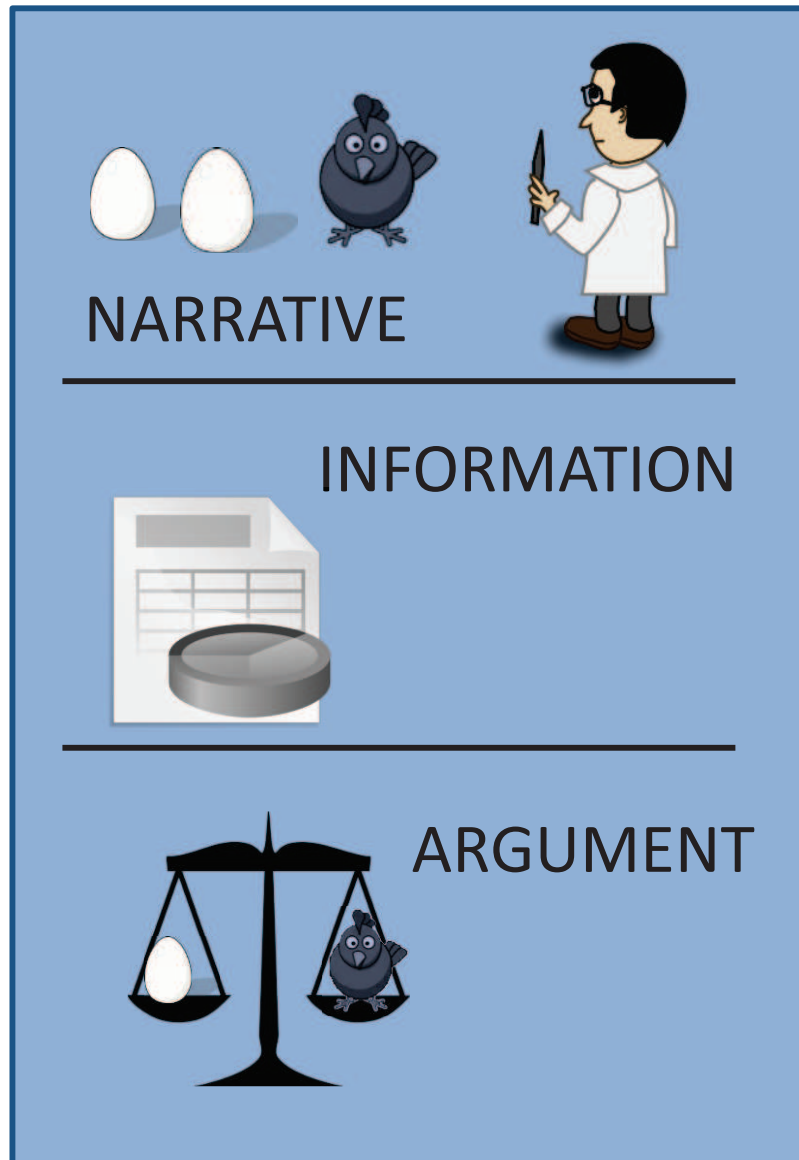


Modes of discourse [Smith 2003]



Different passages of a text can have different discourse modes.

Modes of discourse [Smith 2003]



Different passages of a text can have different discourse modes.

one text \approx one genre

one text \neq one discourse
mode

*related: Werlich's typology
of texts (1975)*

Modes of discourse [Smith 2003]



temporal progression

**EVENT,
STATE**

Modes of discourse [Smith 2003]

NARRATIVE



temporal progression

**EVENT,
STATE**

REPORT



temporal progression,
related to speech time

**EVENT, STATE,
general statives**

Modes of discourse [Smith 2003]

NARRATIVE



temporal progression

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REPORT



temporal progression,
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**EVENT, STATE,
general statives**

DESCRIPTION



spatial progression

**EVENT, STATE,
ongoing EVENT**

Modes of discourse [Smith 2003]

NARRATIVE



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**EVENT, STATE,
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DESCRIPTION



spatial progression

**EVENT, STATE,
ongoing EVENT**

INFORMATION



**general
statives**

atemporal, metaphoric progression

Modes of discourse [Smith 2003]

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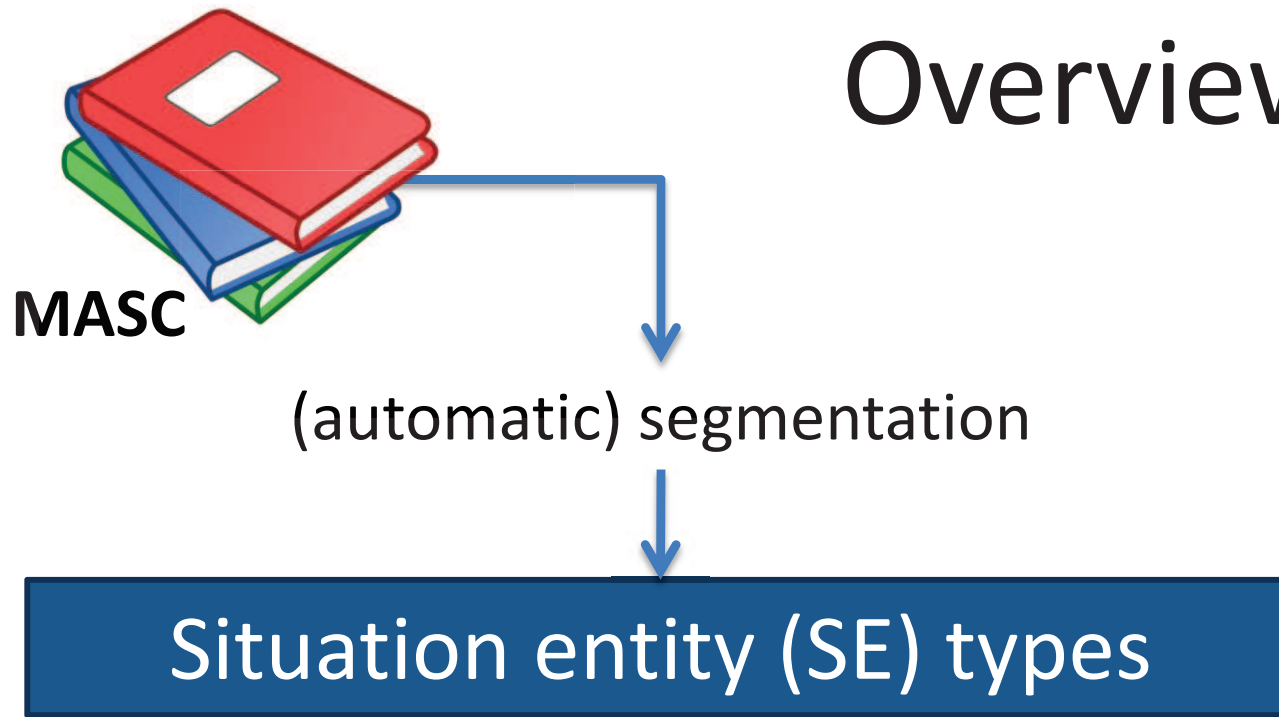
ARGUMENT



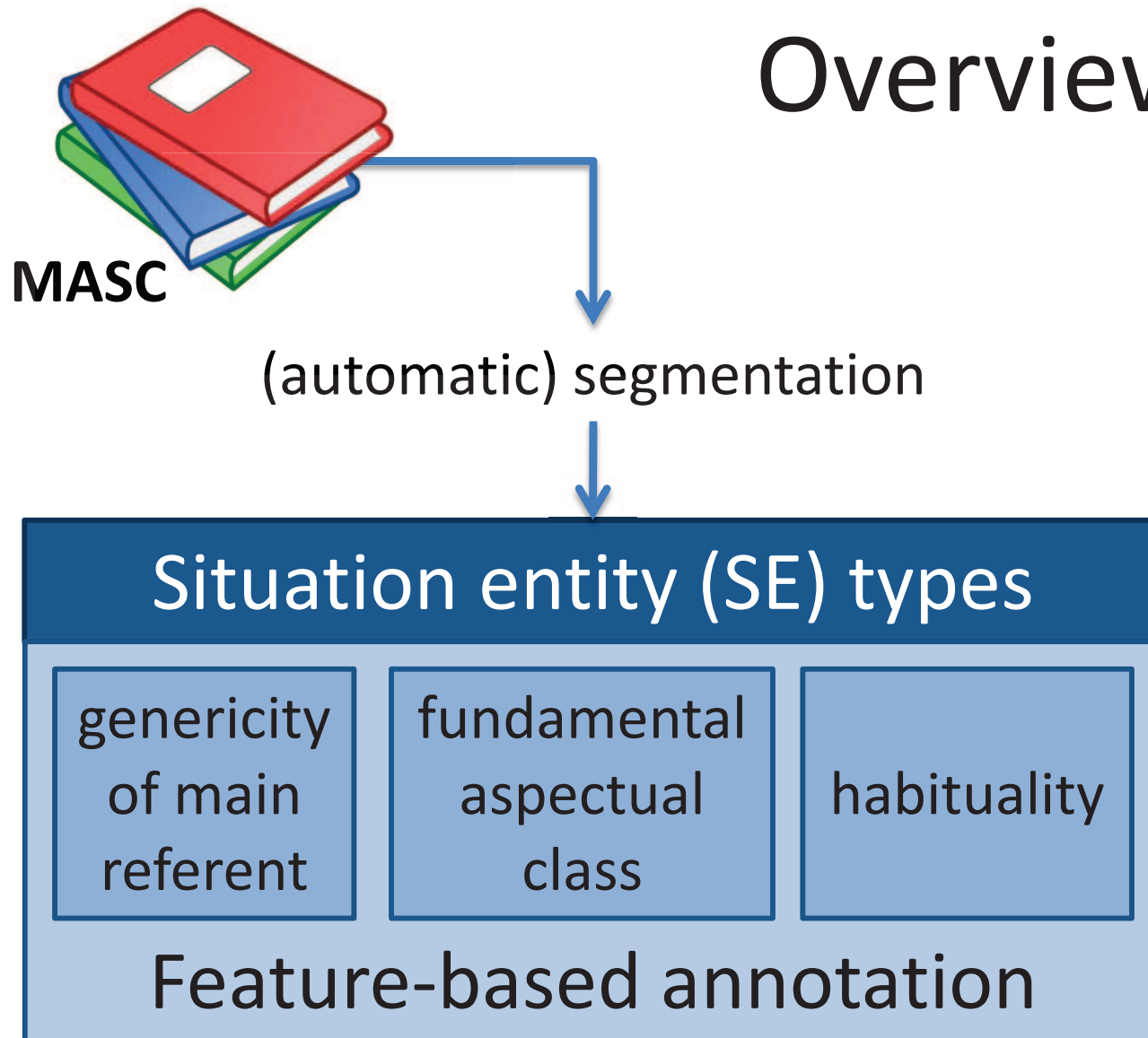
**FACT,
PROPOSITION,
general statives**

atemporal, metaphoric progression

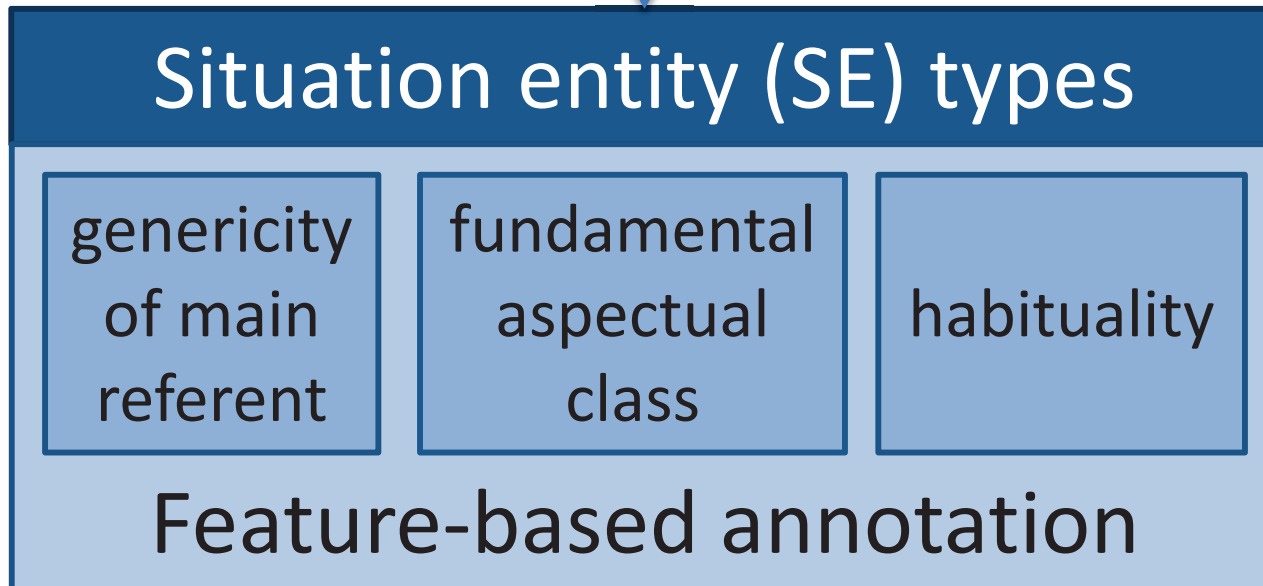
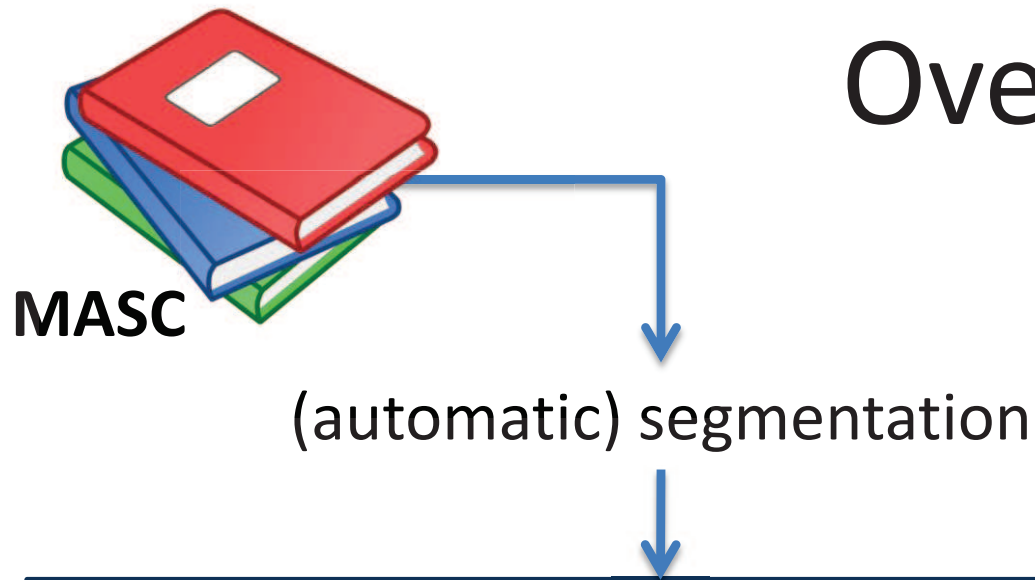
Overview



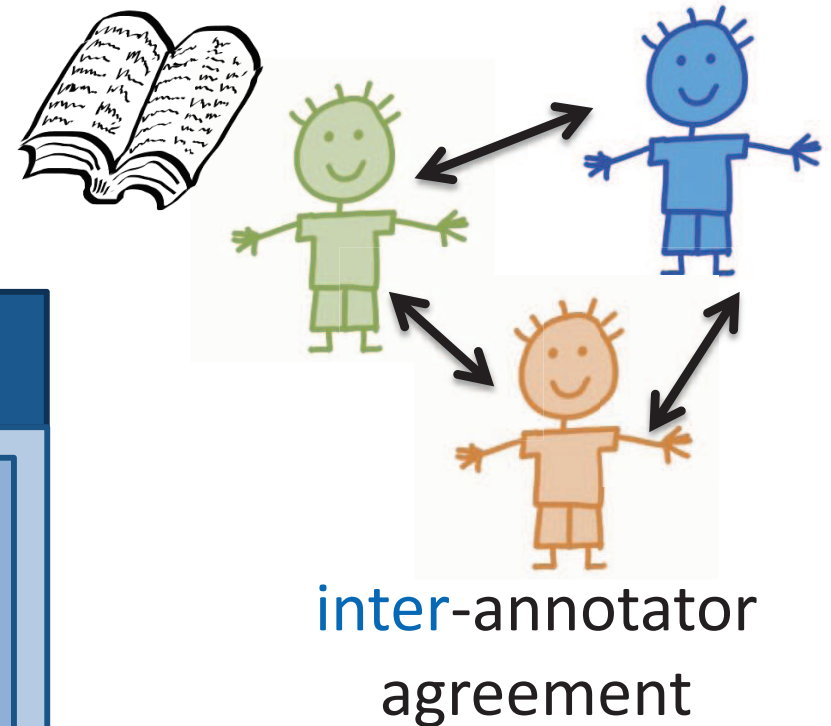
Overview



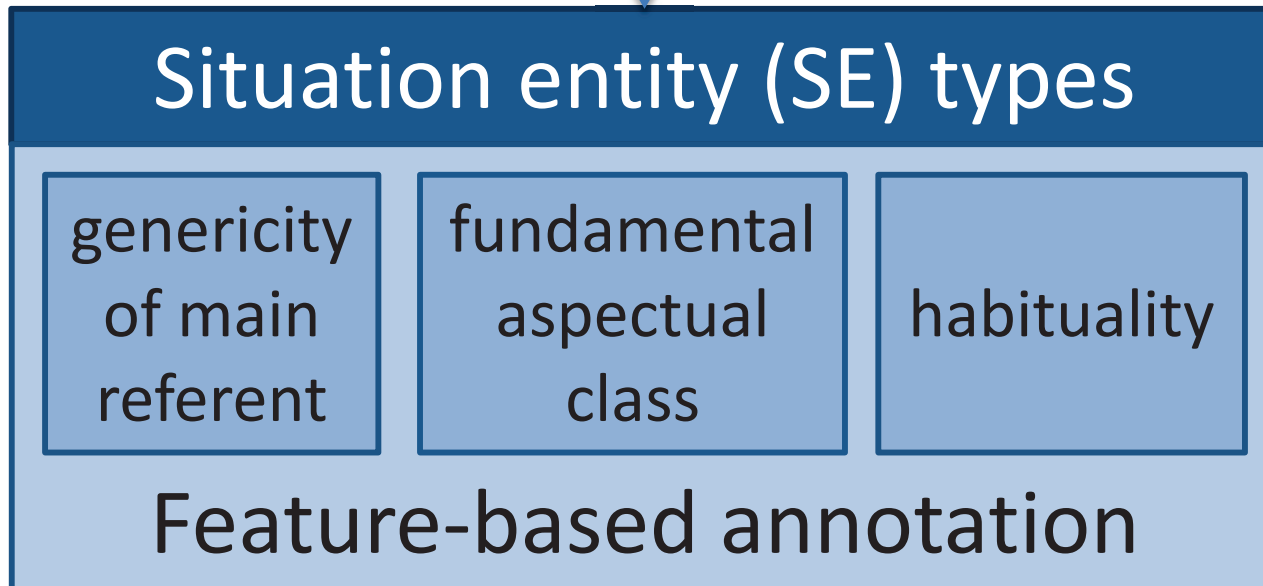
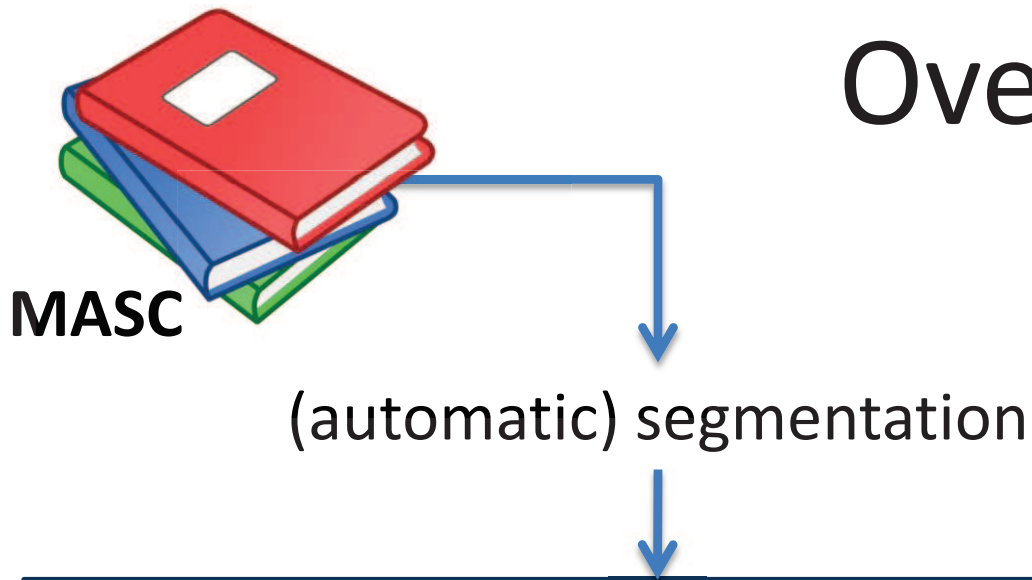
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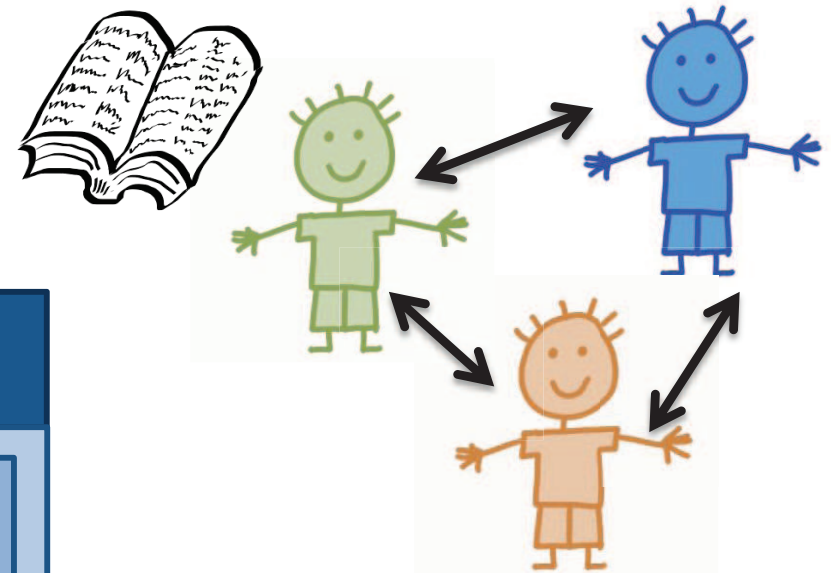
1) Corpus annotation



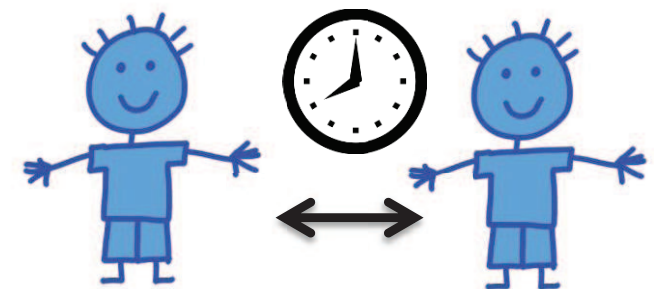
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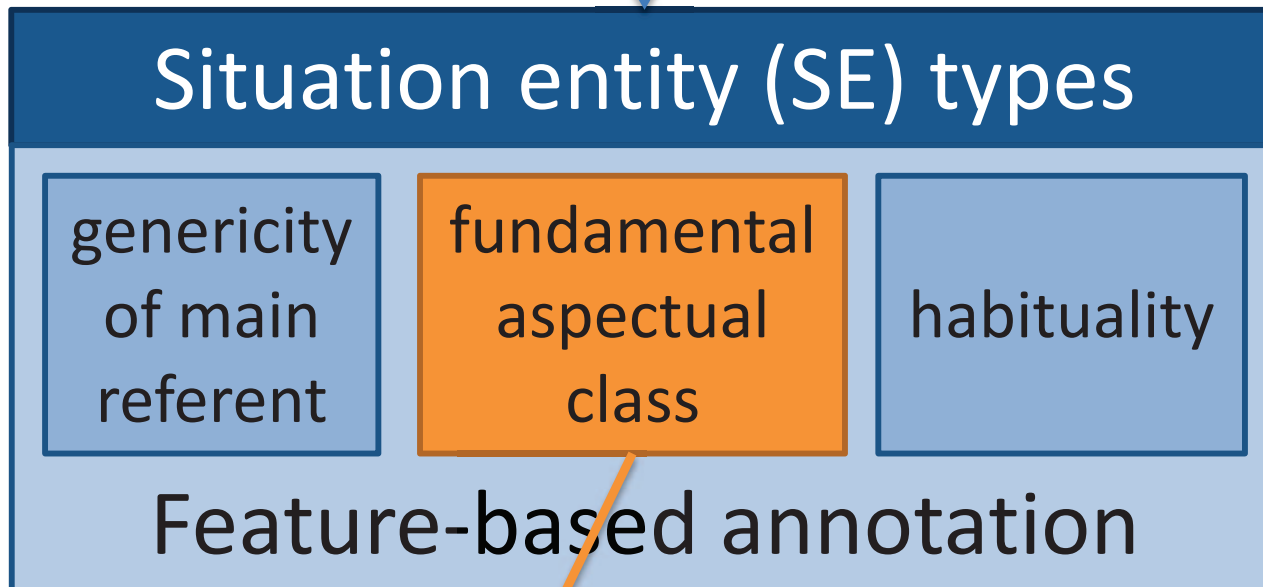
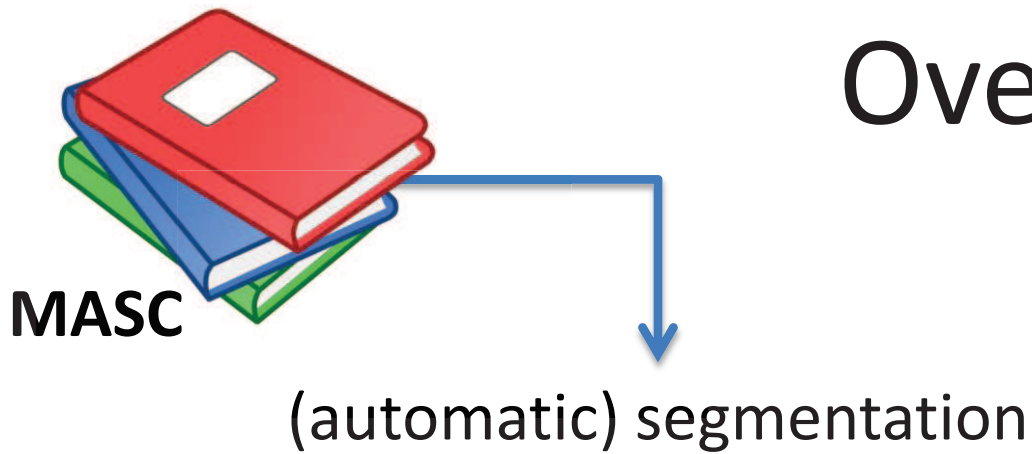


inter-annotator
agreement



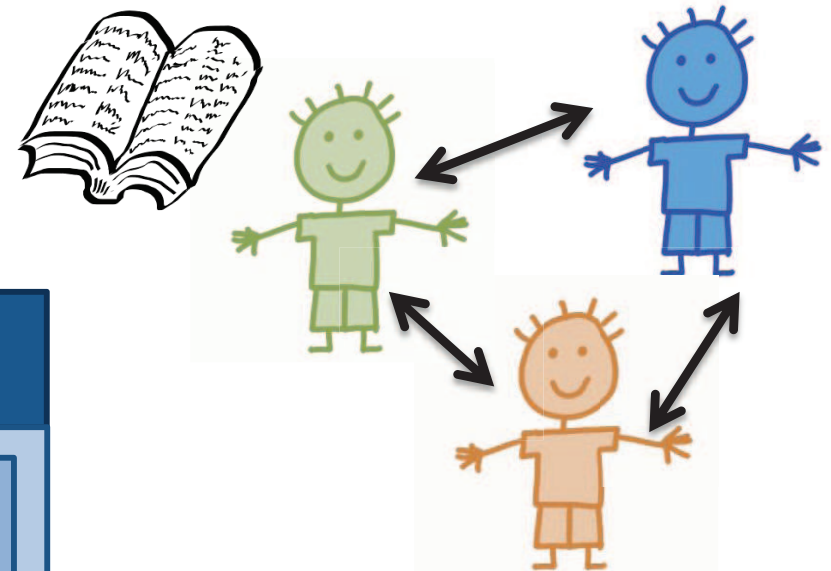
intra-annotator
consistency

Overview

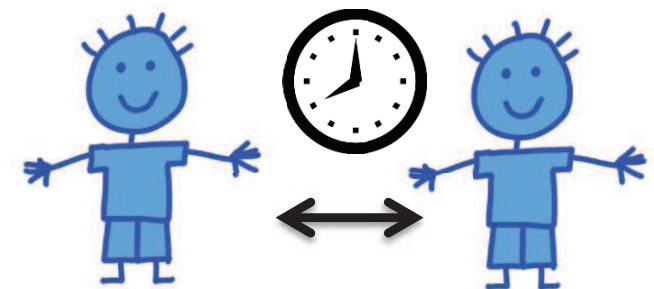


2) automatic classification

1) Corpus annotation

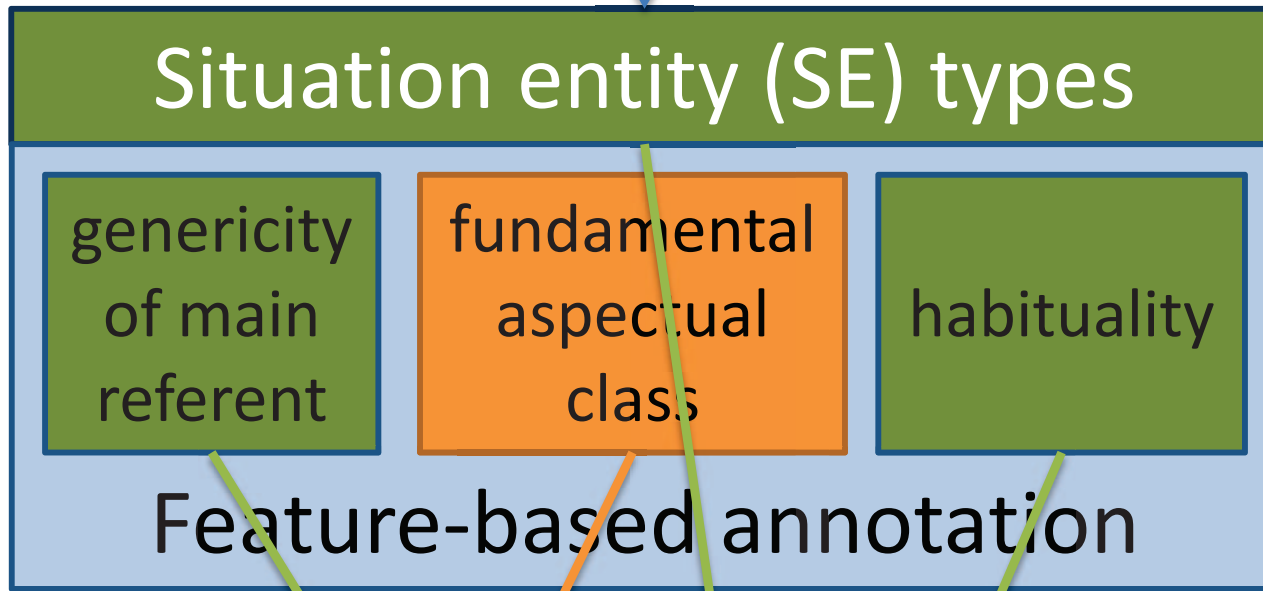
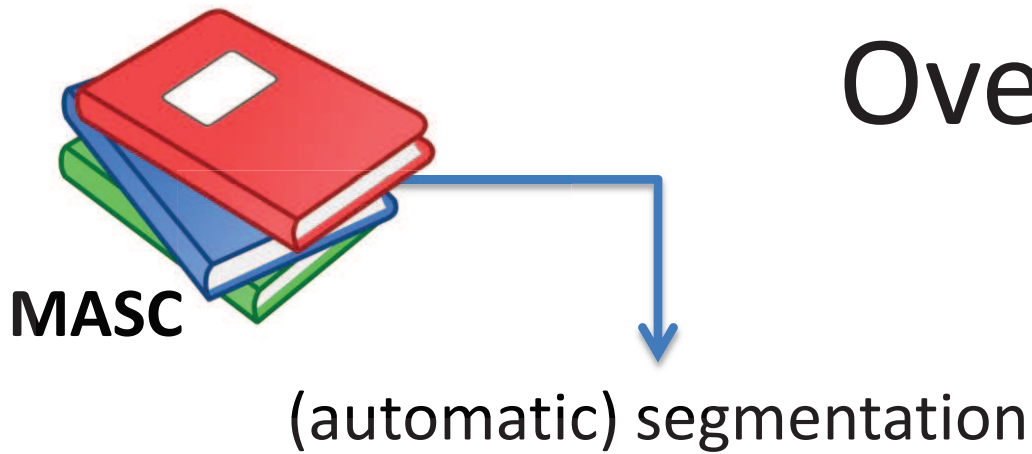


inter-annotator agreement



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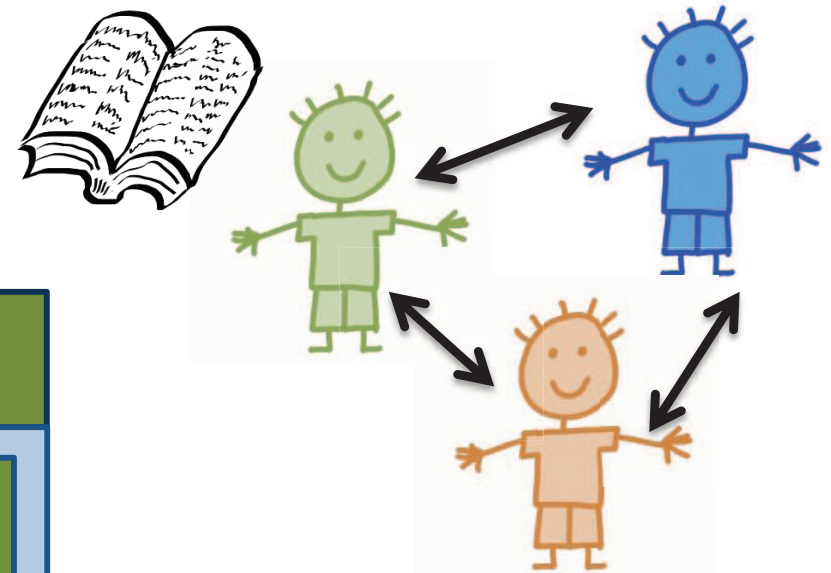
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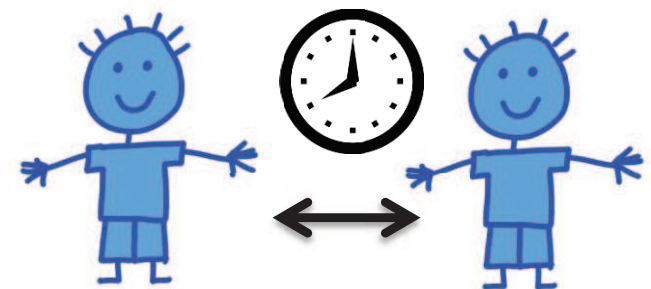
2) automatic classification

3) current status, ongoing & future work

1) Corpus annotation



inter-annotator agreement



intra-annotator consistency

Motivation of annotation study

assess the applicability of SE type
classification as described by Smith [2003]
borderline cases? human agreement?

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training, development, evaluation of
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foundation for analysis of the theory of
Discourse Modes [Smith 2003]

Situation entity types (SE types)

EVENT



Yesterday, Mary bought a cat.

Now she owns four cats.

Susie often feeds Mary's cats.

Cats are very social animals.

Situation entity types (SE types)

Yesterday, Mary bought a cat. **EVENT**

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Situation entity types (SE types)

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} eventualities

Susie often feeds Mary's cats. **GENERALIZING
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Cats are very social animals. **GENERIC
SENTENCE**

} general
statives

SE types: abstract entities

here: clausal complements
of factive / implicative verbs

Susie **knows** **STATE**

that Mary loves her cats a lot. **FACT** objects of
knowledge

SE types: abstract entities

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Susie **knows** **STATE**

that Mary loves her cats a lot. **FACT** objects of
knowledge

Susie **believes** **STATE**

that the cats also love Mary. **PROPOSITION**
objects of belief

SE types: speech act types [Palmer et al. 2007]

Did you see my cats? **QUESTION**

Don't forget to feed the cats! **IMPERATIVE**

Derived situation entity types

coerce **EVENTs** to **STATEs**:

negation, modality, future / perfect tense,
conditionality, subjectivity

Susie **will** feed the cats.

Susie **has not fed** the cats.

If Susie has forgotten the cats,
they **might** be hungry now.

Derived SE types

general statives are not subject to such coercion:

Susie **never** feeds Mary's cats. **GENERALIZING
SENTENCE**

Cats **might** be the most popular pet. **GENERIC
SENTENCE**

SE types: summary

Eventualities	STATE	Mary likes cats.
	EVENT	Mary fed the cats.
	- REPORT	..., Mary said.
General Statives	GENERALIZING SENTENCE	Mary often feeds my cats.
	GENERIC SENTENCE	Cats are always hungry.
Abstract Entities	FACT	I know <u>that Mary fed the cats.</u>
	PROPOSITION	I believe <u>that Mary fed the cats.</u>
Speech Acts	QUESTION	Does Mary like cats?
	IMPERATIVE	Don't forget to feed the cats!

Related work

- Palmer et al. [2007]:
 - first labeled data set for SEs
 - ~6000 clauses
 - no annotation manual
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- Stede & Peldzsus [2012]:
 - illocutionary status of clauses in causal relations
~pragmatic role, e.g. REPORT, DIRECTIVE, COMMITMENT

Data: Manually Annotated SubCorpus (MASC) of Open American National Corpus

[Ide et al. 2008]

- ✓ additional types of annotation available
- ✓ open distribution of annotations
- ✓ wide range of genres

MASC section	# of situations (segments)	average # tokens per segment
news	3455	9.9
jokes	2563	6.9
letters	1851	11.1

} annotation
status
LAW 2014

Segmentation

SPADE [Soricut & Marcu 2003]
+ heuristic post-processing
+ manual correction

Segmentation

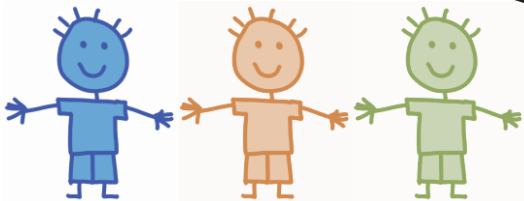
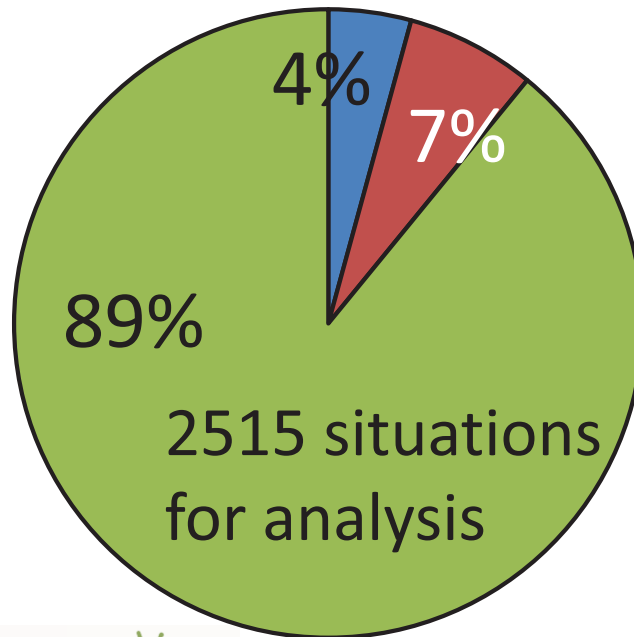
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by at least one annotator
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MASC news: 2823 segments

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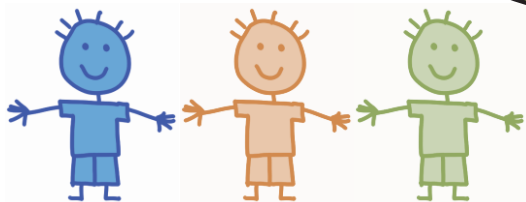
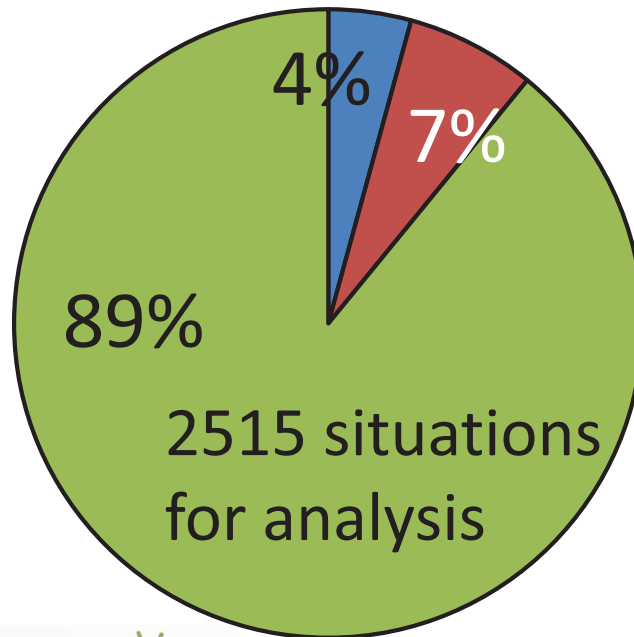
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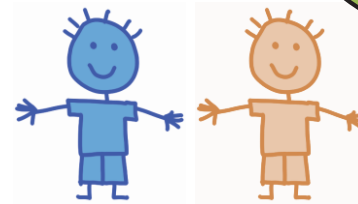
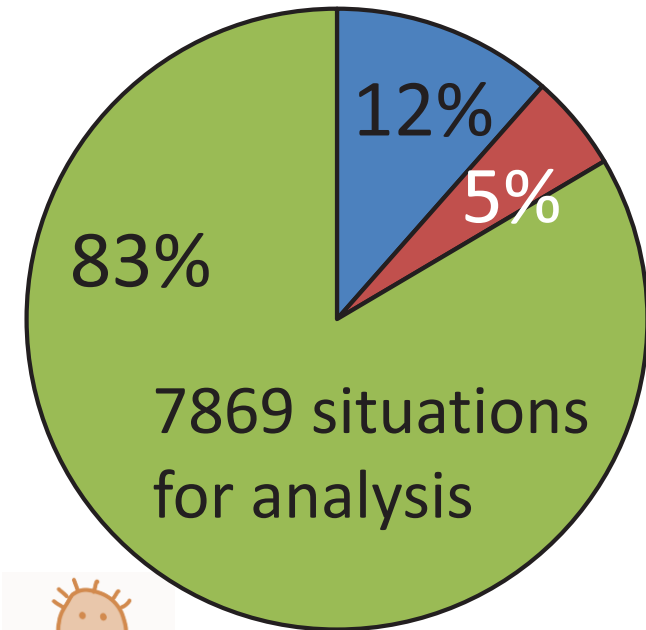
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MASC news: 2823 segments



MASC news, jokes, letters:
9428 segments

Feature-driven annotation

- 1 label “easy” cases: speech acts, lexically-triggered abstract entities, other clear-cut cases

Feature-driven annotation

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❷ determine **feature values**

genericity of main referent	fundamental aspectual class	habituality
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Which features distinguish the SE types from each other?

Feature-driven annotation

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3 use feature values to assign

Situation entity (SE) types

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easier to convey
annotation scheme



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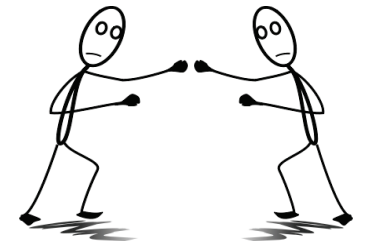
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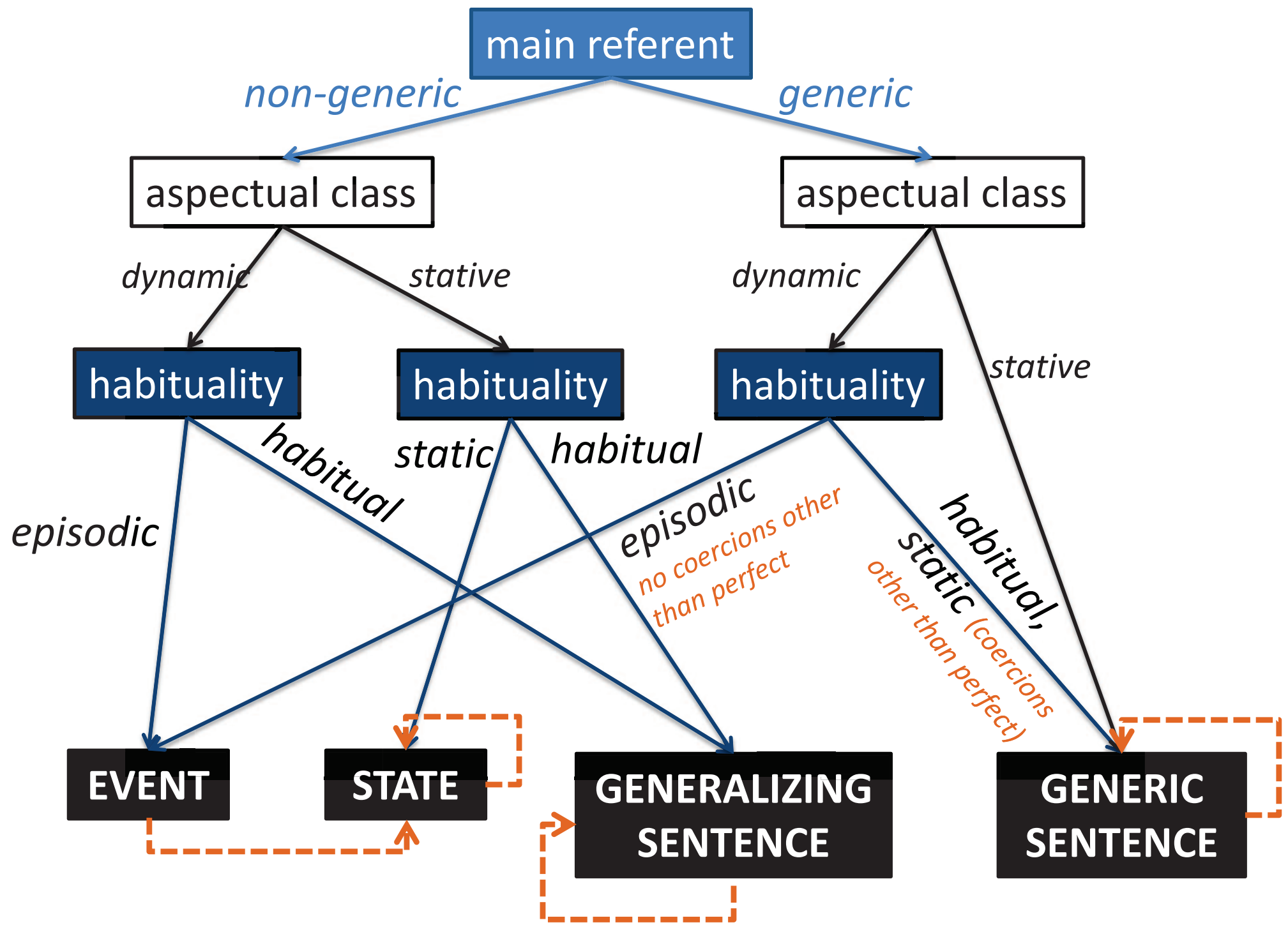


get partial
information



analyze
disagreements





negation, modals, conditional, perfect, future

Feature: genericity of main referent

What is this clause about? → usually the grammatical subject

Feature: genericity of **main referent**

What is this clause about? → usually the grammatical subject

NON-GENERIC

particular entity / group /
company / organization /
situation / process

Mary likes cats.

The cats broke the TV.

WWF protects animals.

That she didn't answer upset me.

Knitting this scarf took me two days.

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GENERIC

**kind-referring / class-
referring NPs**
generic concepts

Cats eat mice.

Lions in captivity have trouble
to produce offspring.

Dinosaurs are extinct.

Security is an important issue.

Knitting a scarf is generally fun.

Feature: genericity of **main referent**

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distinguishes **GENERIC SENTENCES** from other SE types
(in combination with other features)

Feature: fundamental aspectual class

*feature of the entire clause,
marks main verb.*

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distinguishes
EVENTs from STATEs

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Juice **fills** the glass.
STATIVE

Feature: fundamental aspectual class

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Juice **fills** the glass.
STATIVE



She **filled** the glass
with juice. **DYNAMIC**

Feature: fundamental aspectual class

distinguishes
EVENTs from STATEs

*feature of the entire clause,
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Juice **fills** the glass.
STATIVE

The glass **was filled**
with juice.
BOTH readings
possible



She **filled** the glass
with juice. **DYNAMIC**

Feature: **habituality**

*feature of the entire clause,
marks main verb.*

distinguishes EVENTS
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Feature: **habituality**

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Mary fed her cats this morning.

episodic: one-time event

Mary feeds her cats every morning.

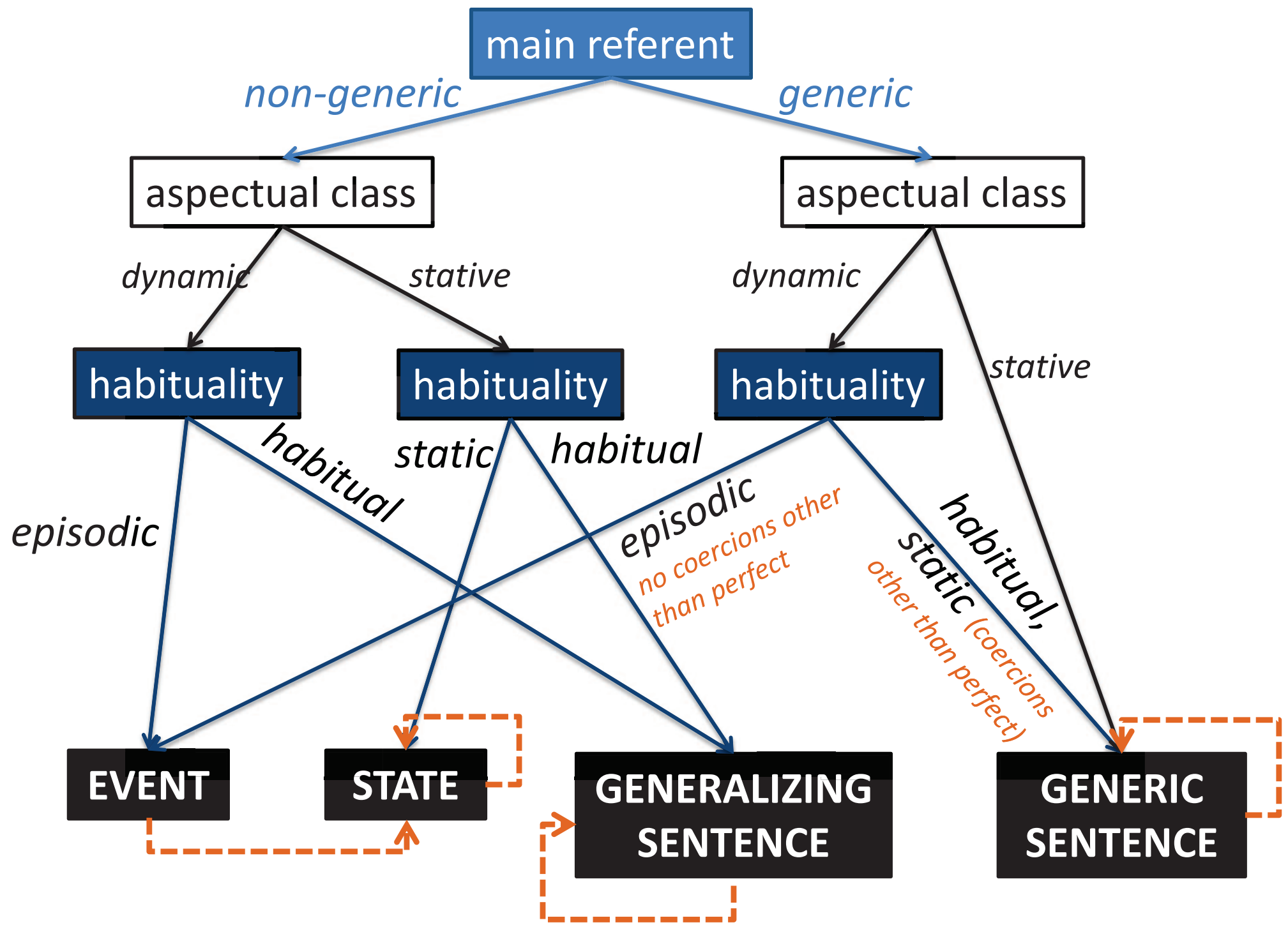
habitual: regularity

Glass breaks easily.

habitual: regularity

Mary owns four cats.

static: for STATES



negation, modals, conditional, perfect, future



SITUATION ENTITIES: ANNOTATION TOOL

USER: ANNE FRIEDRICH

HOME

LOGOUT

File: training_test_mixed.txt



8	seg_prob	... of the League of Nations area. There was a the Saarland(or simply "the Saar",
9	ST	as is frequently referred to) did not exist as a unified entity.
10	ST	Until then, some parts of it had been Prussian
11	ST	while others belonged to Bavaria.
12	EV	The inhabitants voted to rejoin Germany in a plebiscite
13	EV	held in 1935.
14	ST	From 1947 to 1956 the Saarland was a French- occupied territory(the "Saar Protectorate") separate from the rest of Germany.
15	ST	Between 1950 and 1956, Saarland was a member of the Council of Europe.
16		In 1955, in another plebiscite, the inhabitants were offered independence,
17		but voted instead for the territory to become a state of West Germany.
18		
19	seg_prob	MARS
20	ST	Mars is the fourth planet from the Sun and the second smallest planet in the Solar System.
21	ST	Named after the Roman god of war,

FEATURES

Main Referent

- ☐ not the grammatical subject
- ☐ non-generic ☐ expletive
- ☐ generic ☐ can't decide

Aspectual Class of main verb

- ☐ stative ☐ both
- ☐ dynamic ☐ can't decide

Habituality of main verb

- ☐ episodic ☐ static
- ☐ habitual ☐ can't decide

SEGMENTATION PROBLEMS

- ☐ no situation
- ☐ additional text
- ☐ multiple situations
- ☐ no complete situation
 - ☐ belongs to previous
 - ☐ belongs to following
 - ☐ belongs to no.:

SITUATION ENTITY

TYPES

- ☐ State
- ☐ Event
 - ☐ Report
- ☐ General Stative
 - ☐ Generalizing Sentence
 - ☐ Generic Sentence
- ☐ Abstract Entity
 - ☐ Fact
 - ☐ Proposition
 - ☐ Resemblance
- ☐ Speech Act
 - ☐ Imperative
 - ☐ Question

Comments:

Features – broader perspective

corpus data for sub-tasks studied in the NLP
community for which no large data sets are available

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- identifying **generic noun phrases** [Reiter & Frank 2010]

Features – broader perspective

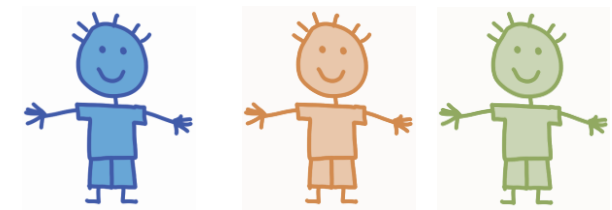
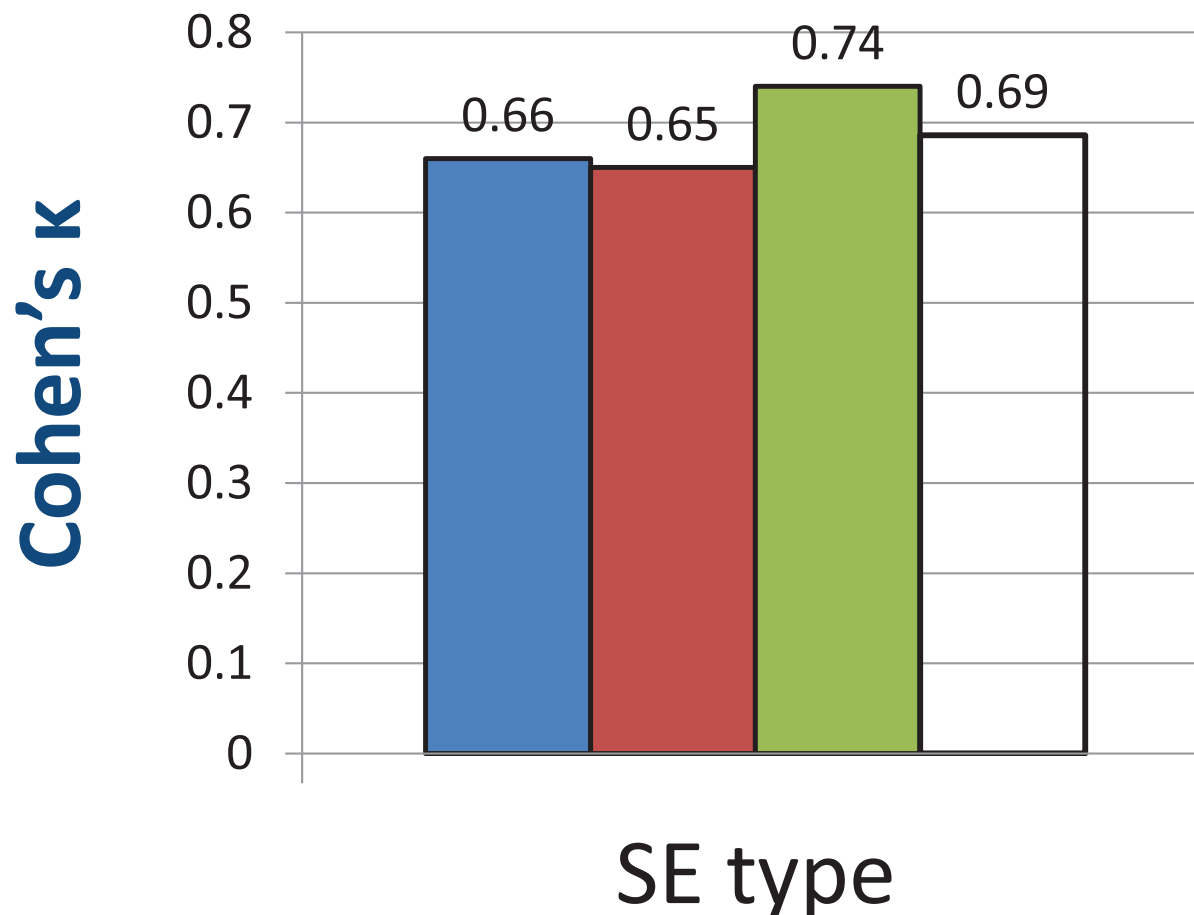
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- identifying **generic noun phrases** [Reiter & Frank 2010]
- identifying **habitual vs. episodic sentences** [Mathew & Katz 2009]

SE types: inter-annotator agreement

labels: STATE, EVENT, GENERIC SENTENCE,
GENERALIZING SENTENCE

MASC: news (2823 situations)



pairs of annotators

■ A:B

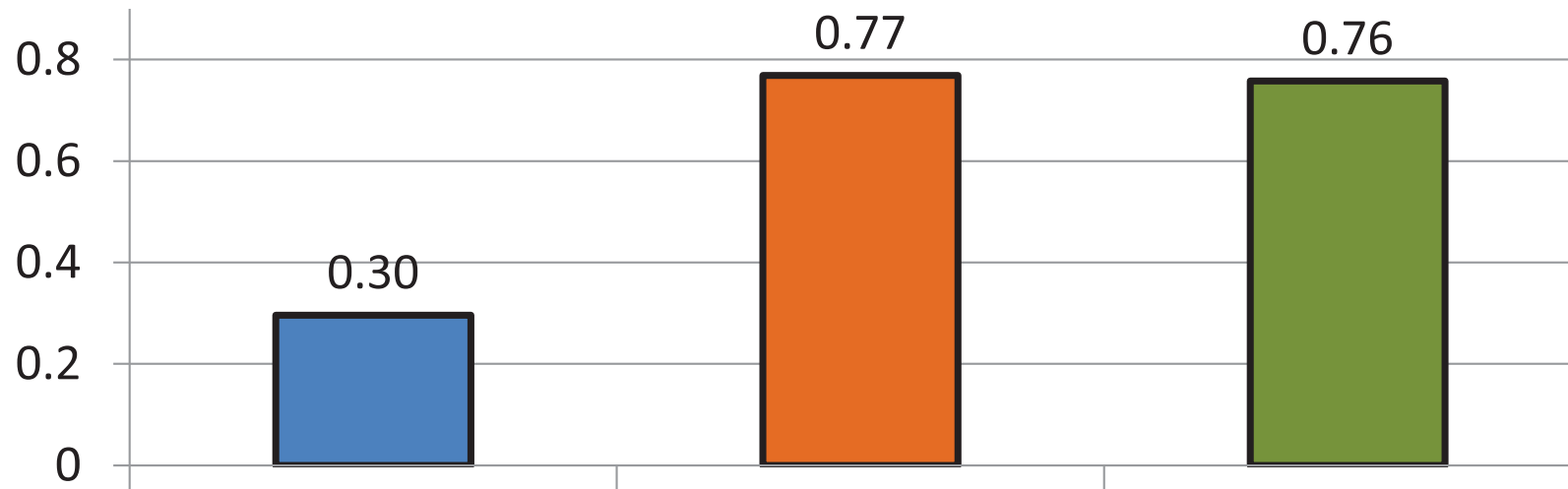
■ A:C

■ B:C

Features: inter-annotator agreement

MASC: news (2823 situations)

Fleiss' κ



main referent

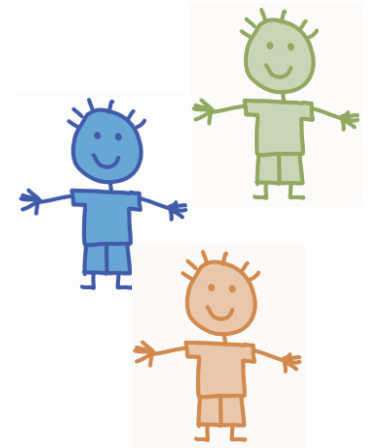
specific
generic
expletive

aspectual

class
stative
dynamic
both

habituality

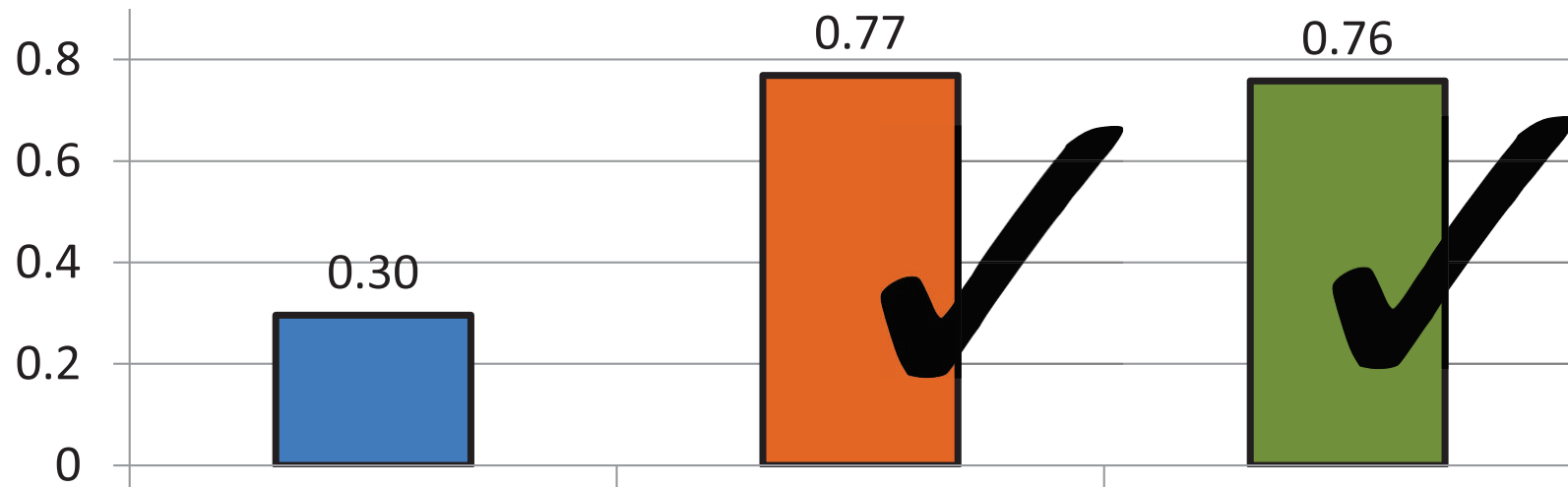
episodic
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Features: inter-annotator agreement

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Fleiss' κ



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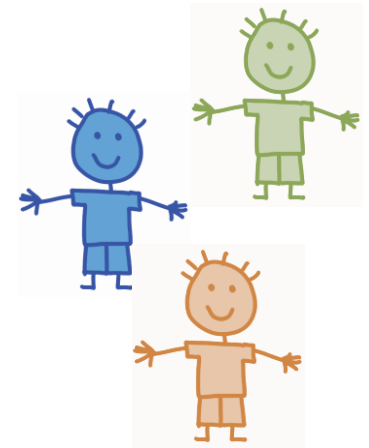
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both

habituality

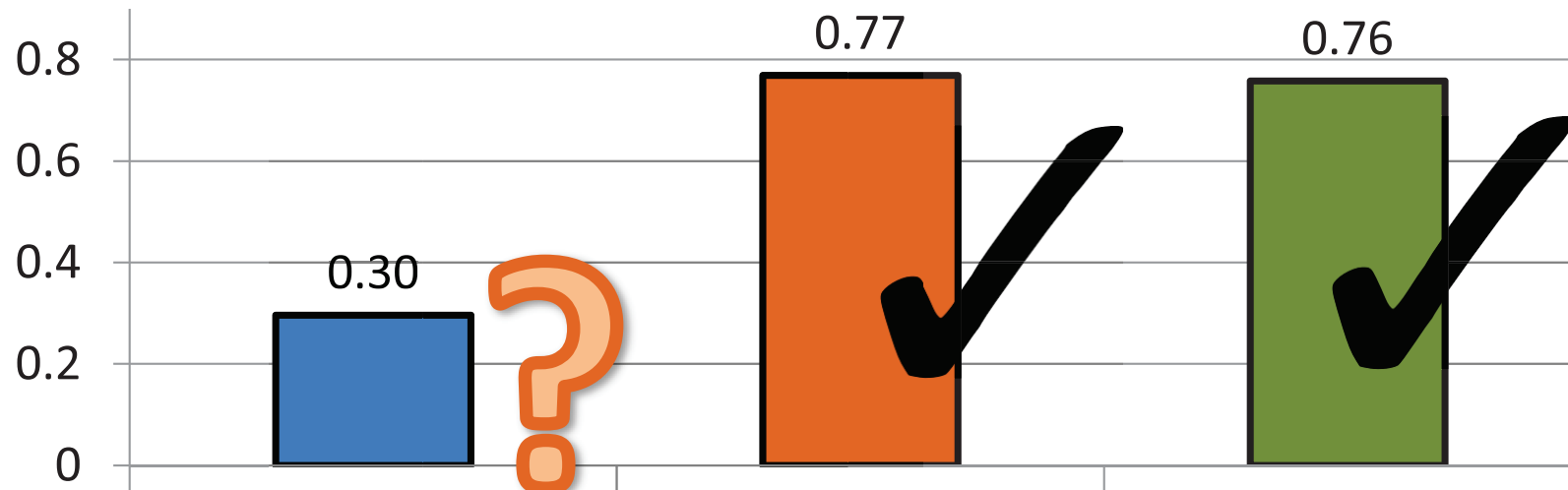
episodic
habitual
static



Features: inter-annotator agreement

MASC: news (2823 situations)

Fleiss' κ



main referent

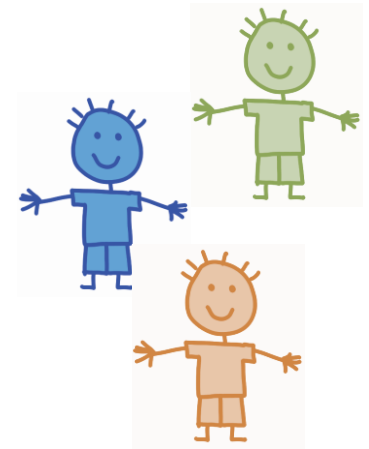
specific
generic
expletive

aspectual

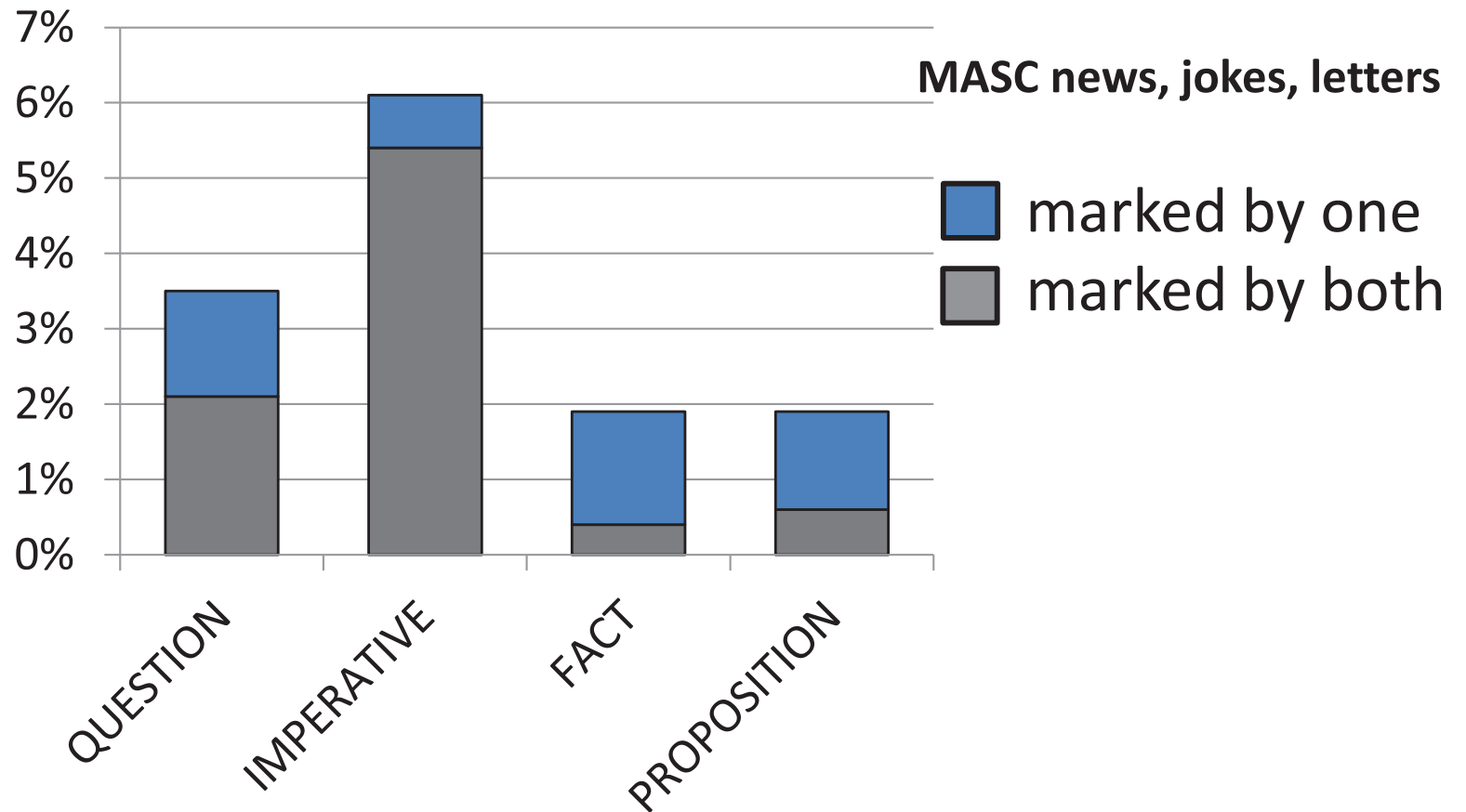
class
stative
dynamic
both

habituality

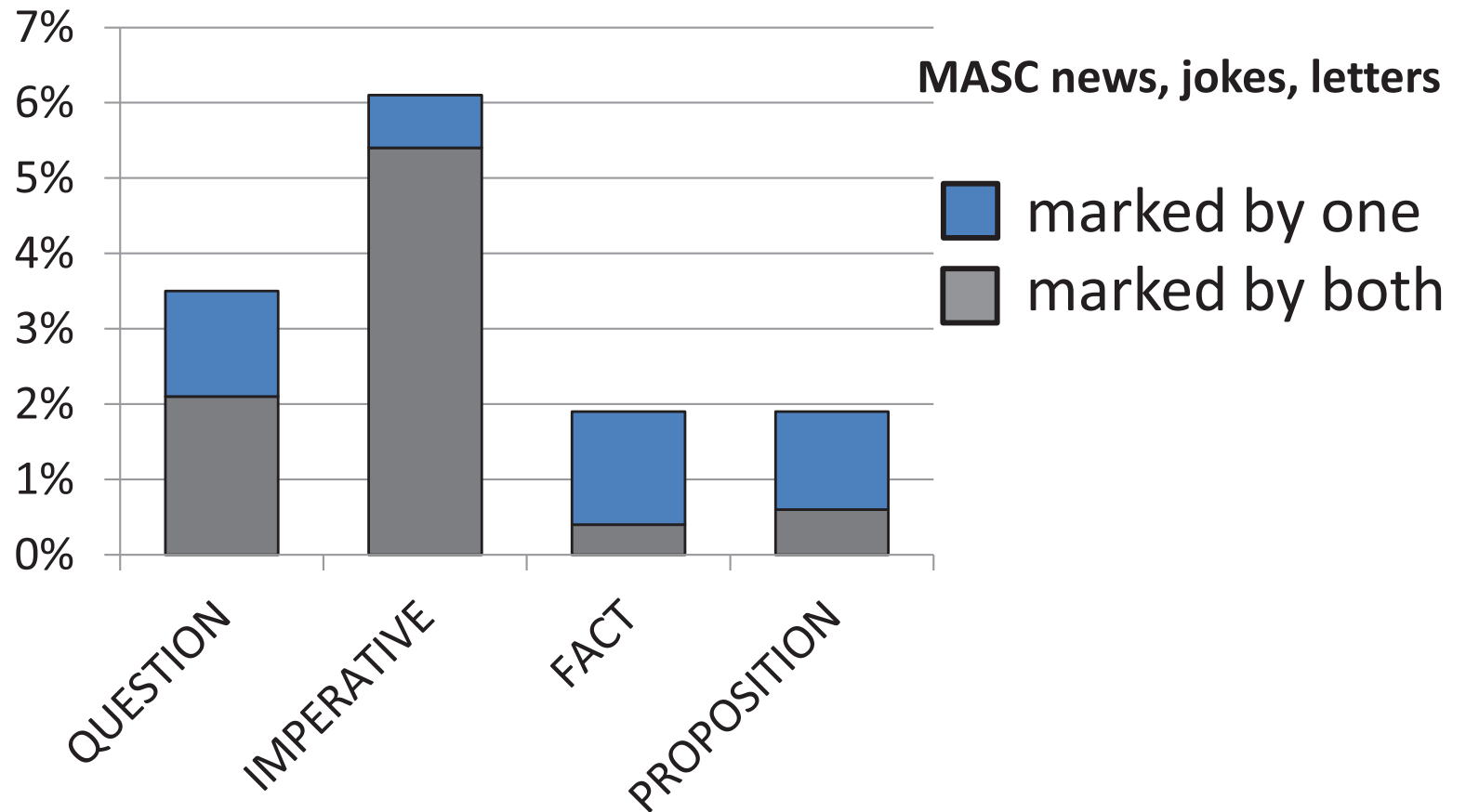
episodic
habitual
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% of situations marked as speech acts / abstract entities:

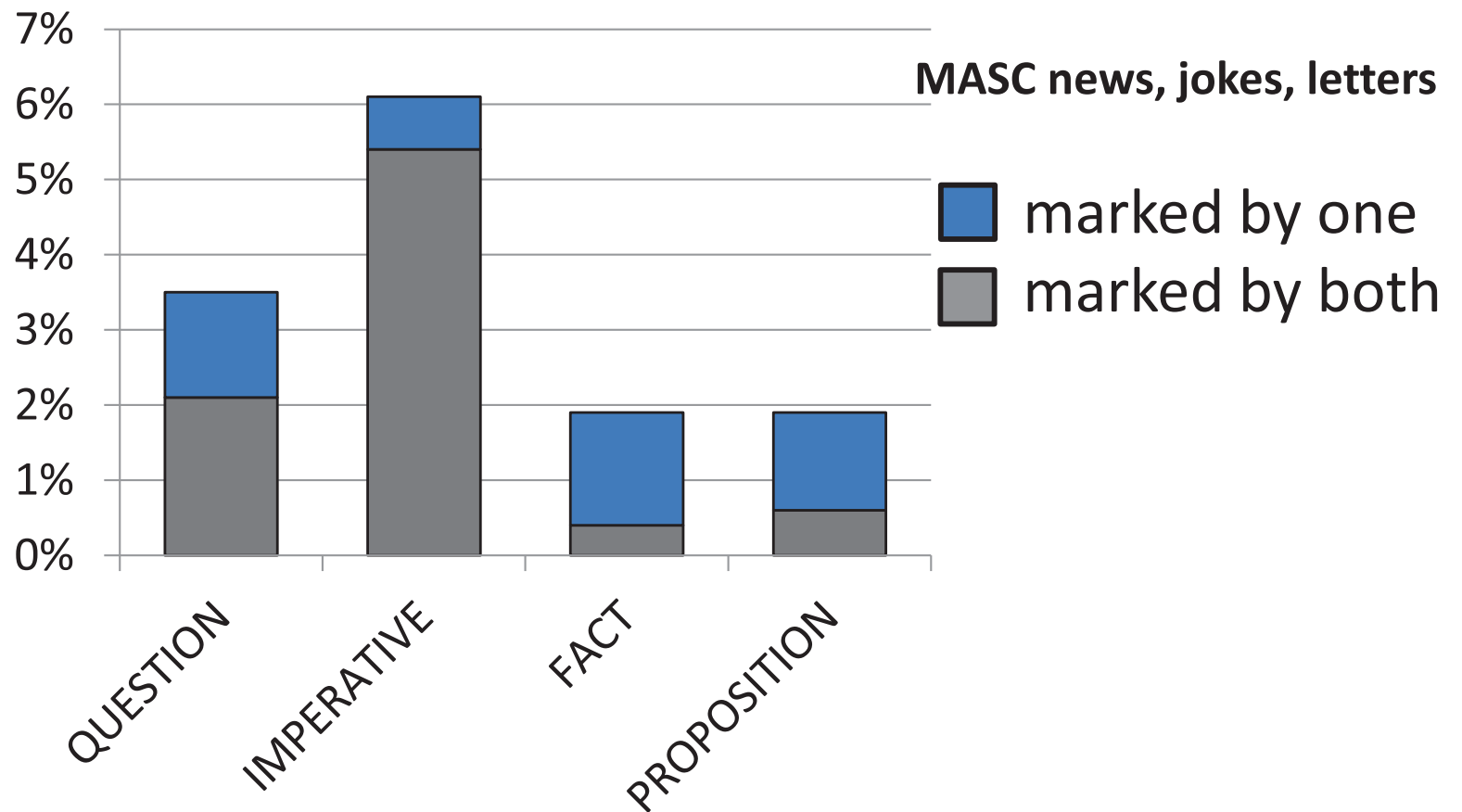


% of situations marked as speech acts / abstract entities:



indirect questions?

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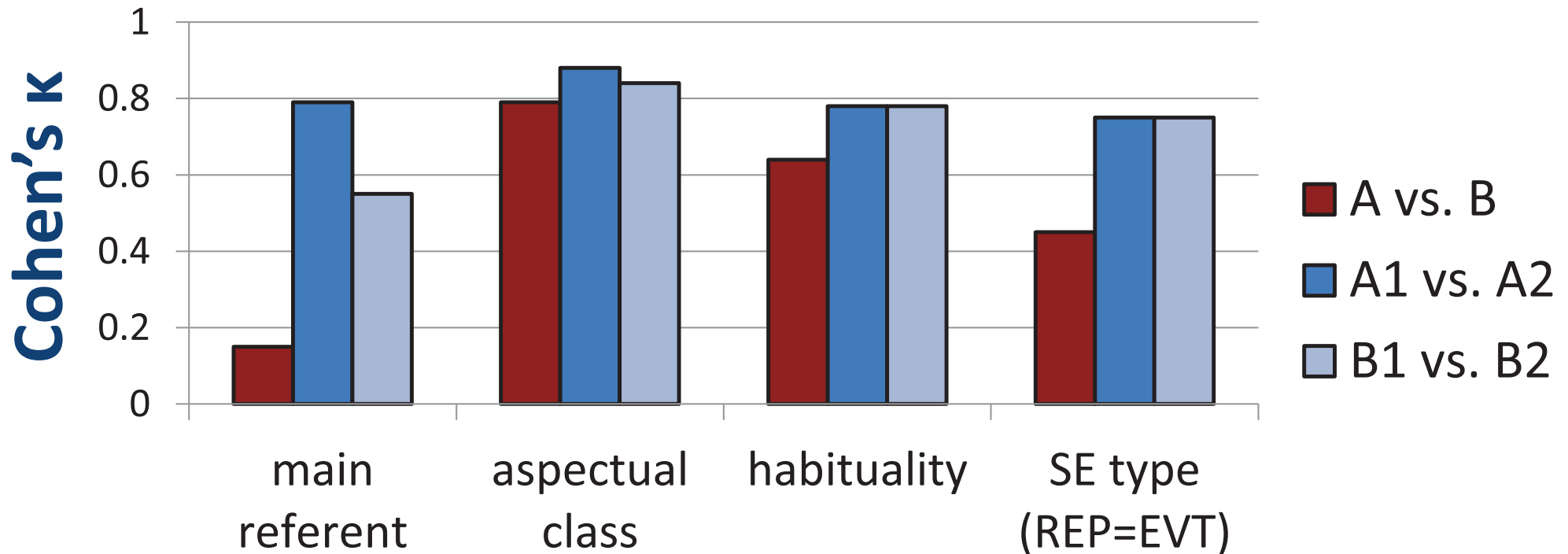
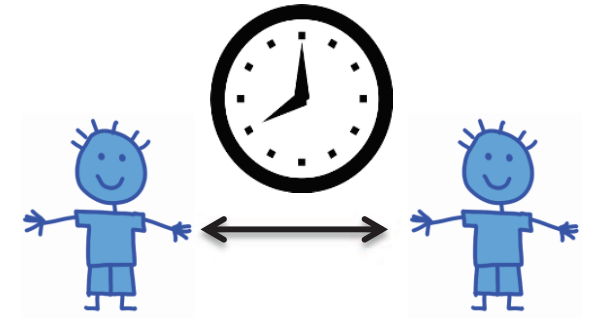


indirect questions?

no satisfying agreement yet
– lacking recall?

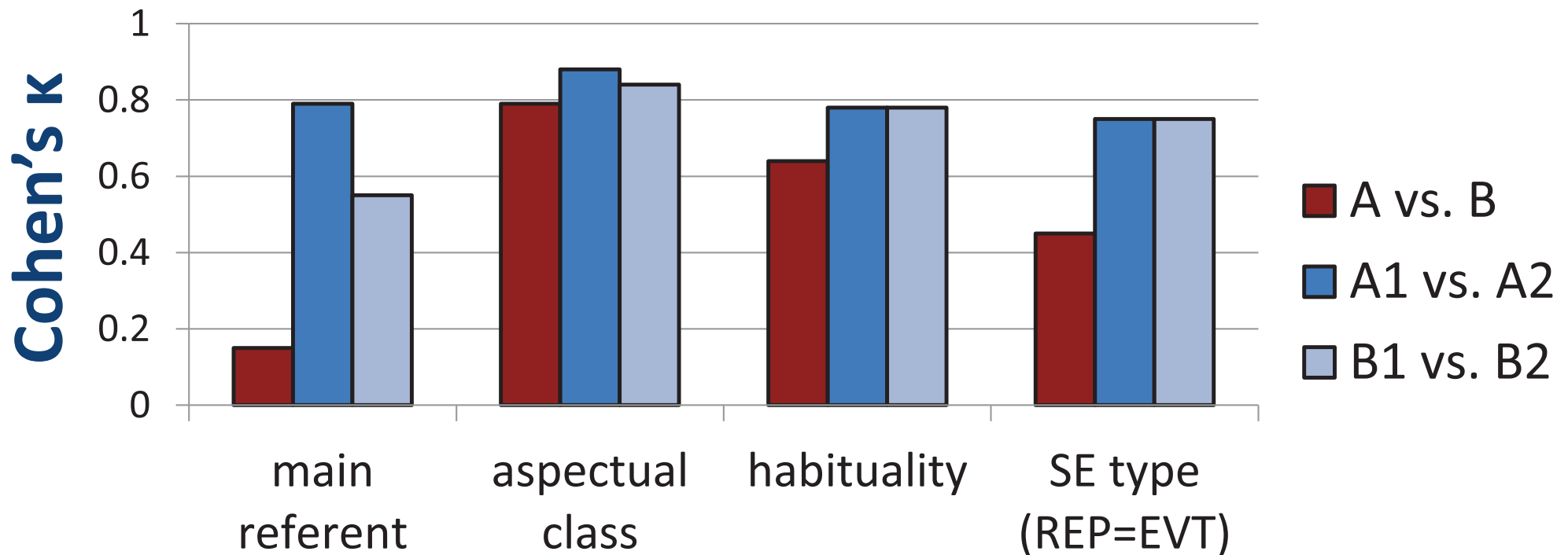
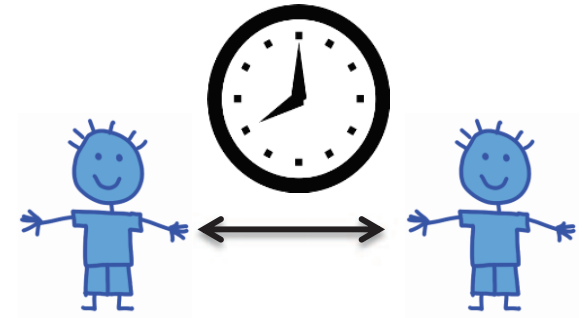
Intra-annotator consistency

11 (5 news, 5 letters, 1 jokes) documents, 600 segments
(lowest agreements on SE type)



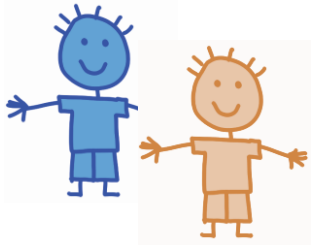
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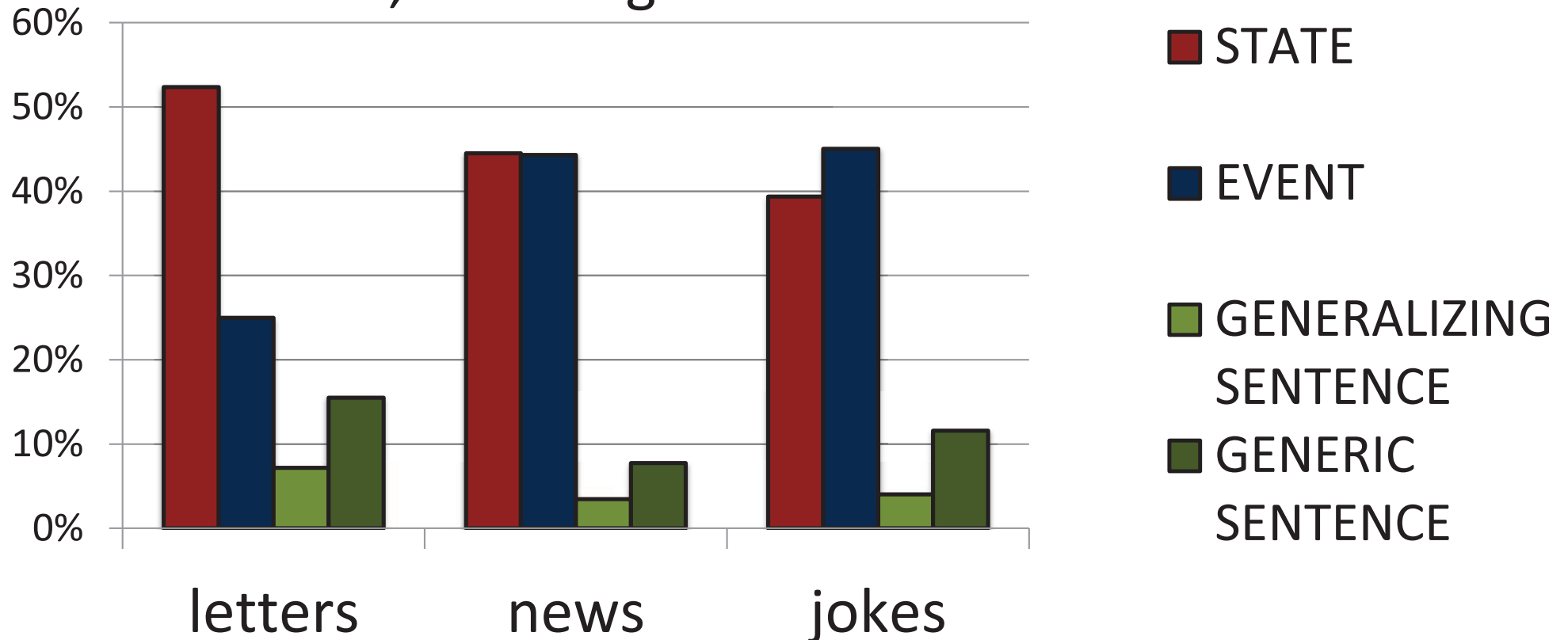
- intra-agreement > inter-agreement
 - different understanding of some cases
- annotators occasionally *do* disagree with themselves
(but: hardest part of data set, total % of noise on SE type level << 20%)

Distribution of SE types: genres

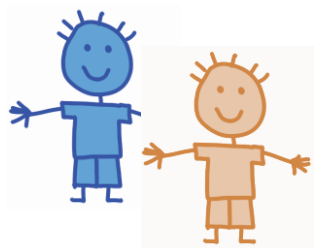


average of SE labels assigned

MASC, 9428 segments

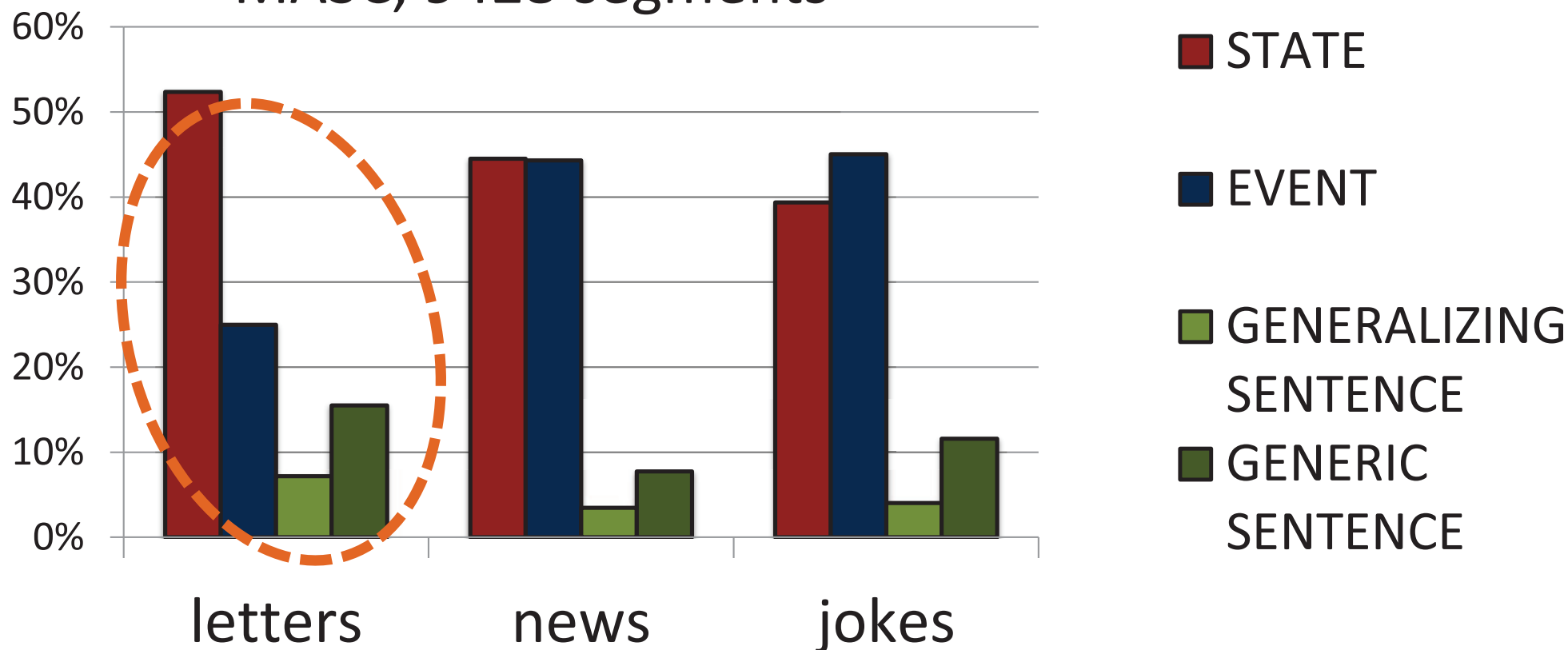


Distribution of SE types: genres



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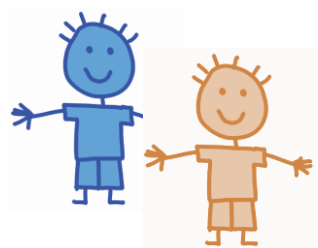
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➔ letters has fewer events, more **general statives**

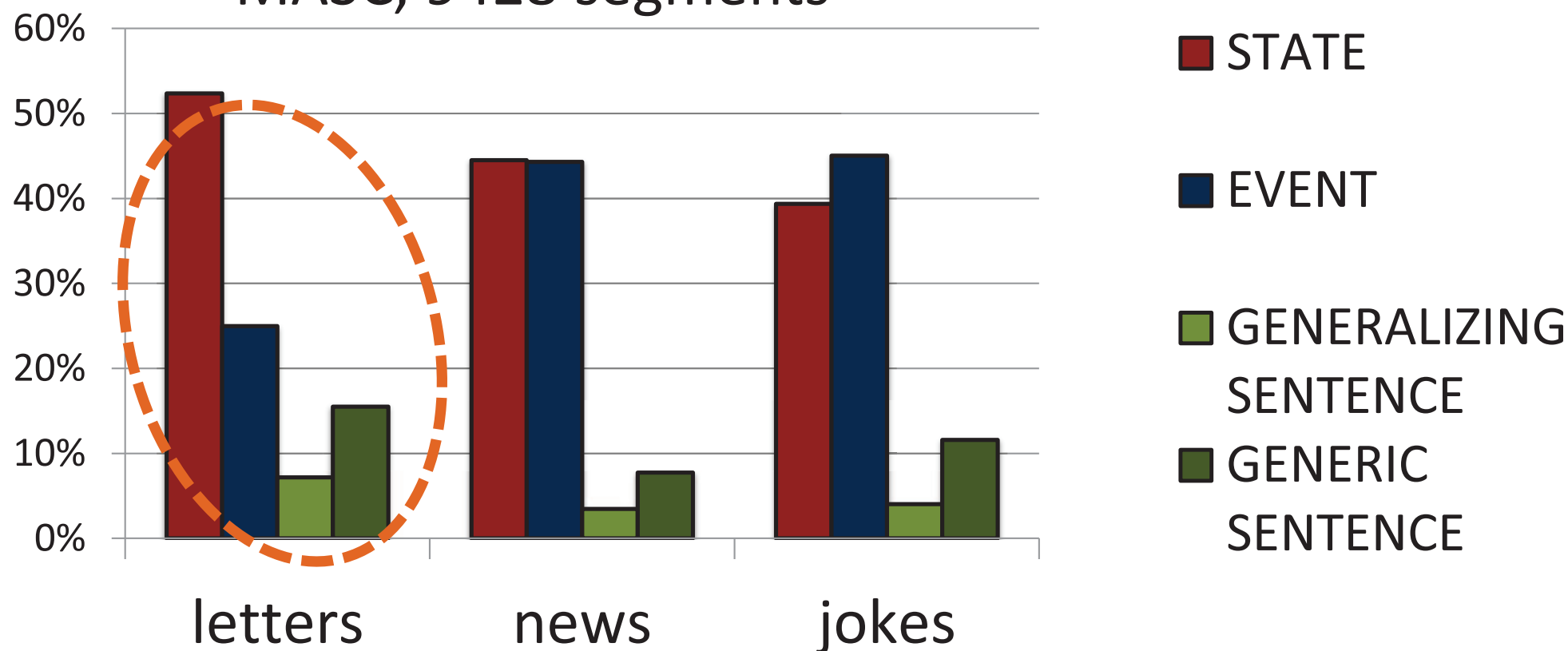
Distribution of SE types: genres

more details: [Palmer & Friedrich, 2014]



average of SE labels assigned

MASC, 9428 segments



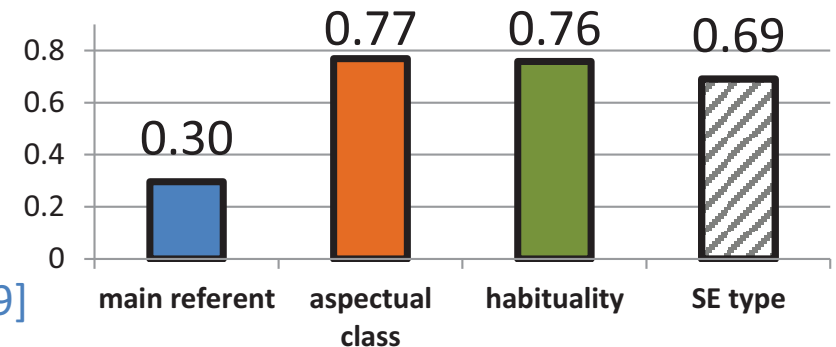
➔ letters has fewer events, more **general statives**

Summary:

annotation of situation entity types

- Annotation guidelines for situation entity types:
 - substantial agreement achieved for SE type, aspectual class & habituality
 - part of disagreements: hard cases
→ leverage for training

[Plank et al. 2014, Beigman Klebanov & Beigman 2009]

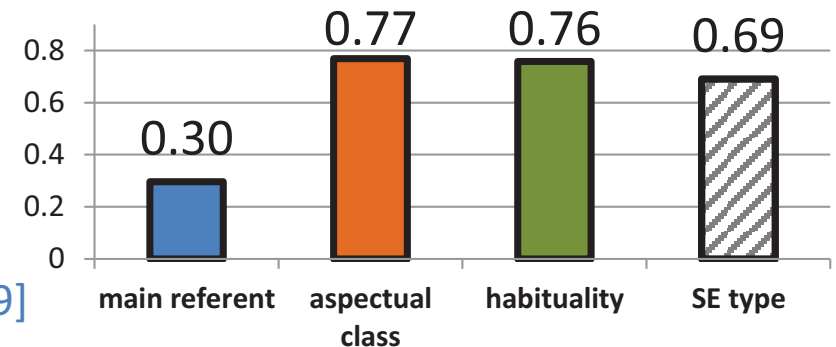


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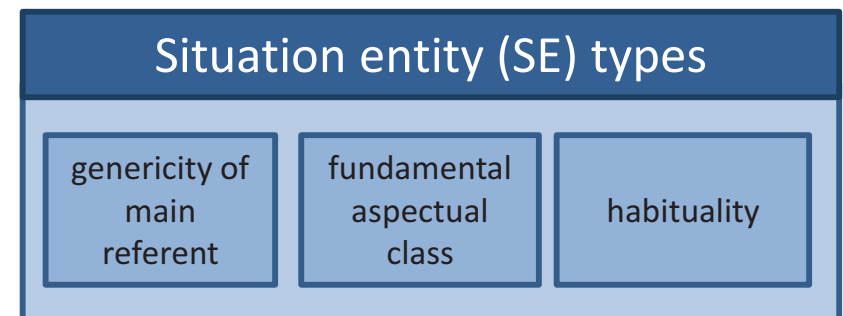
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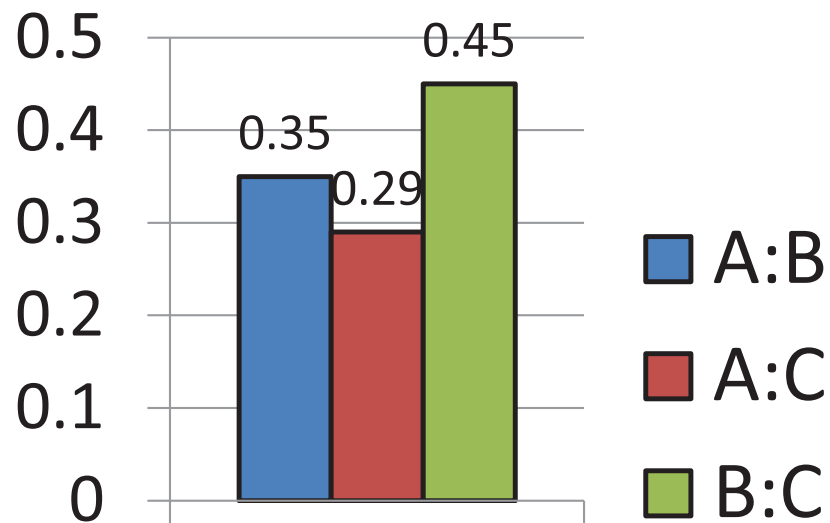


- Feature-based approach
 - helps annotators during annotation
 - analysis of disagreements
 - identify problems in guidelines
→ follow-up study on genericity



Feature: genericity of main referent (inter-annotator agreement)

Cohen's κ



**main
referent**

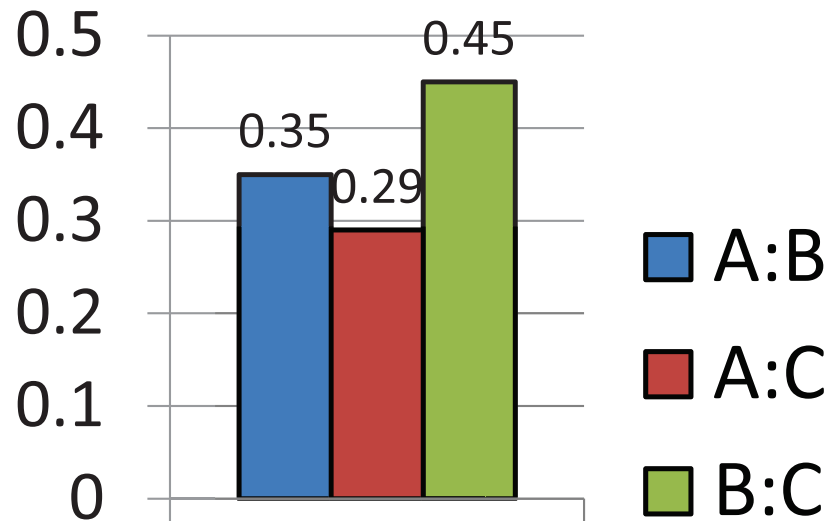
specific

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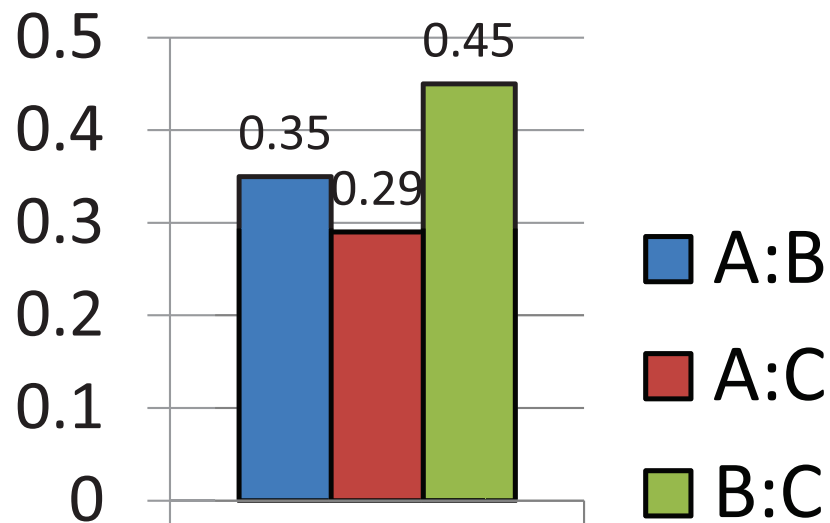
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- **clarity** of annotation guidelines?



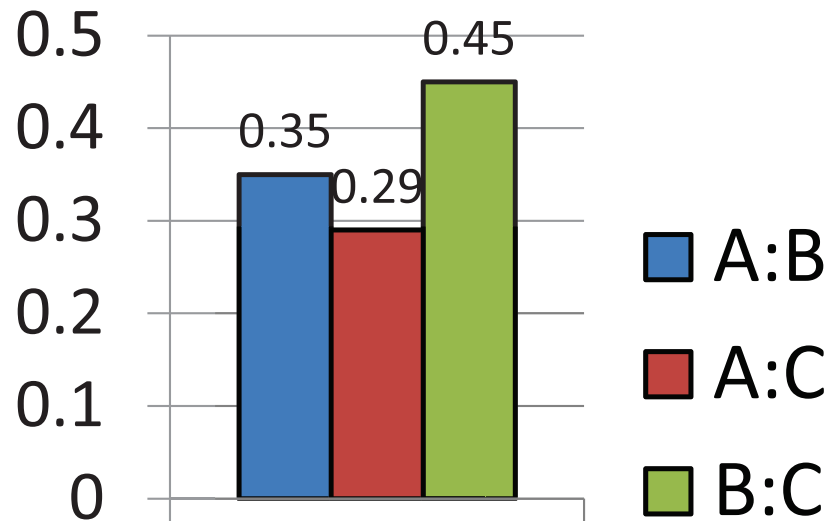
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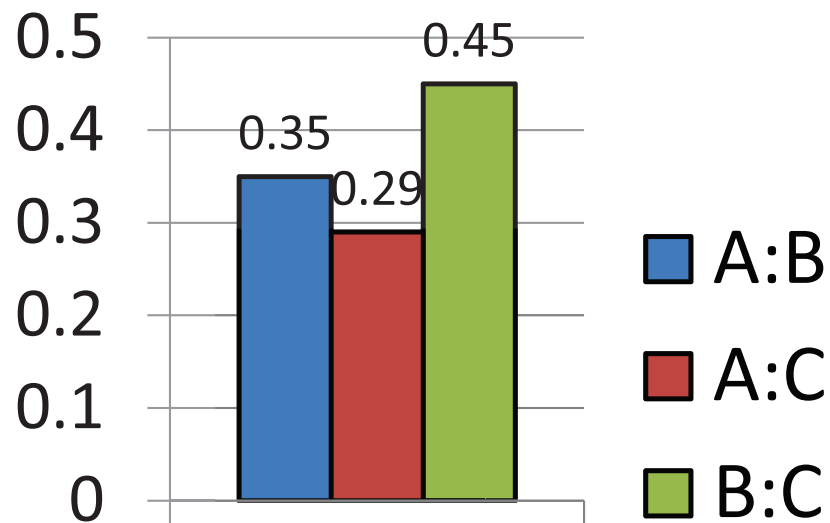
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- **clarity** of annotation guidelines?
- ***sparsity*** of label *generic*:
 - B&C ($\kappa = 0.45$)
 - 2358 non-generic
 - 122 generic by one
 - 43 generic by both

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- **clarity** of annotation guidelines?
- ***sparsity*** of label *generic*:
 - B&C ($\kappa = 0.45$)
 - 2358 non-generic
 - 122 generic by one
 - 43 generic by both
- ***ambiguity / underspecification***
 - ~ 30% of disagreements (estimate based on small qualitative analysis)
 - every kid in New York
 - “you” in letters

Generics follow-up study

address the issue of *clarity*:
compared definition to existing theories [Carlson & Pelletier 1995]
& corpora (ACE 2005),
clarified definition in manual, added examples.

Generics follow-up study

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compared definition to existing theories [Carlson & Pelletier 1995]
& corpora (ACE 2005),
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Generic noun phrases (theory applied to subjects):

(compare to Krifka et al. 1995: “The Generic Book”)

kind-referring: *The lion disappeared from Asia.*

nonspecific, referring to arbitrary member of kind:

A lion roars when it smells food.

Generics follow-up study

- address the issue of *sparsity*: enrich corpus with documents where we expect a higher proportion of general statives

Generics follow-up study

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- **Wikipedia documents**: ≈ 6100 situations, $\approx 50\%$ marked generic

category
animals
games
gangs
history
sports
tribes

The blobfish is a deep sea fish of the family...

Blobfish are typically shorter than 30cm.

*American football is a sport
played by two teams of eleven players.*

The offense attempts to advance an oval ball ...

*Five cards are dealt from a standard 52-card deck.
The player with the most piles wins.*

*The Bari tribe feels the effects as a whole.
The Bari trade ...*

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animals
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inductive

[Carlson 1995]

American football is a sport

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rules and regulations

Five cards are dealt from a standard 52-card deck.

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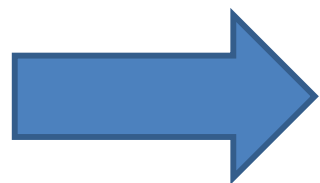
Wikipedia documents: agreement

- WikiGen corpus: 49 documents (≈ 6100 situations)
- agreement study: 14 documents (≈ 1800 situations), 3 annotators



Fleiss' K

main referent	aspectual class	habituality	SE type
0.64	0.66	0.63	0.67



substantial agreement

Generics follow-up study: lesson learned

- 1) Descriptions in **manual** were clarified, added more examples → third newly hired annotator learned scheme almost exclusively from manual.

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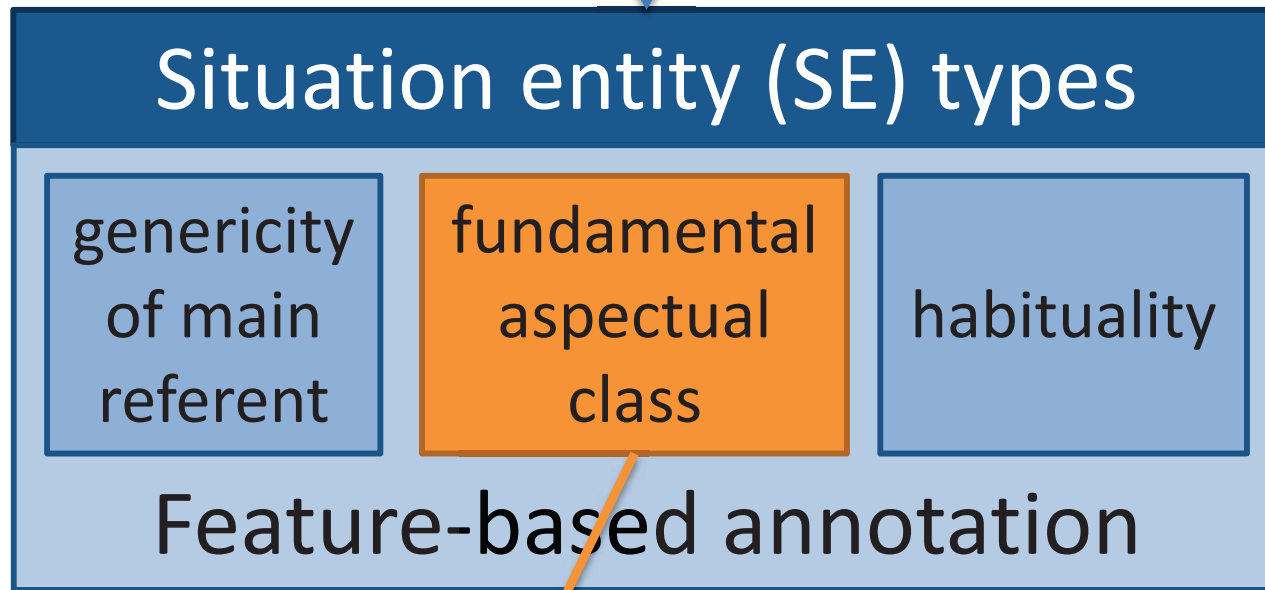
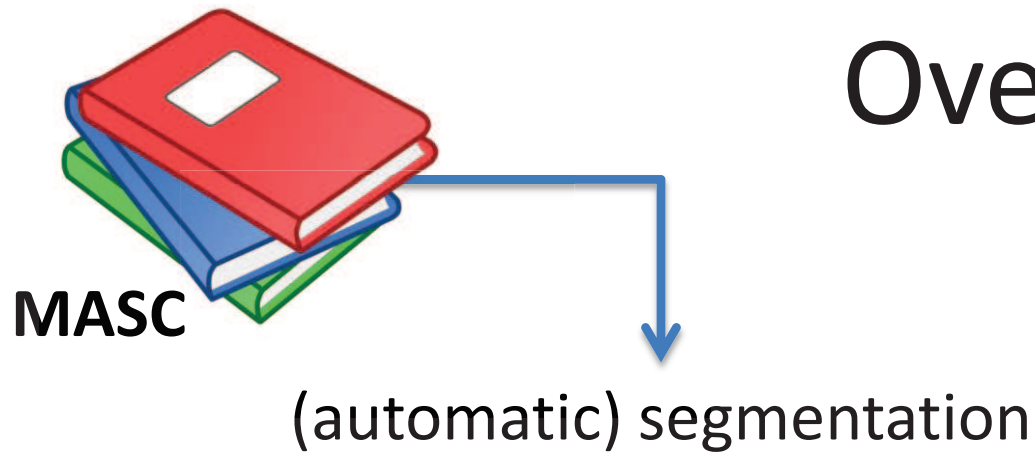
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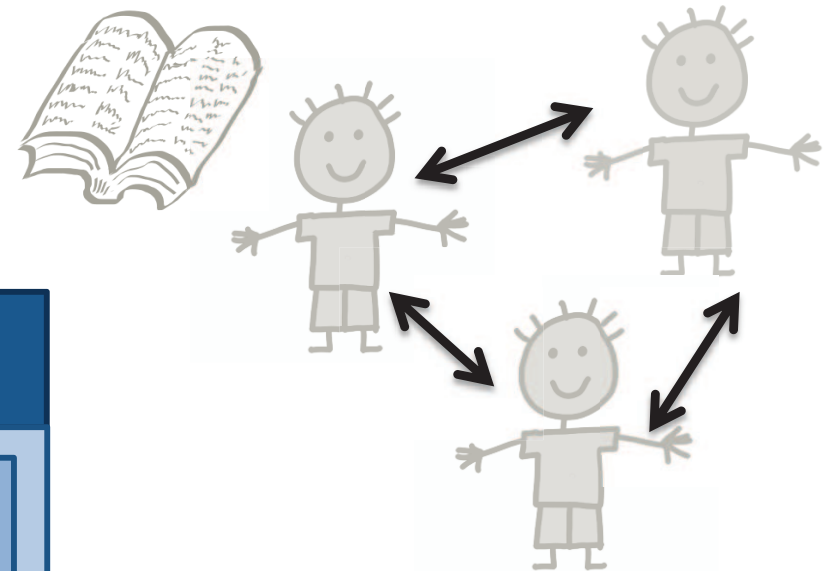
TODO: build computational model for detecting genericity of clauses

Overview

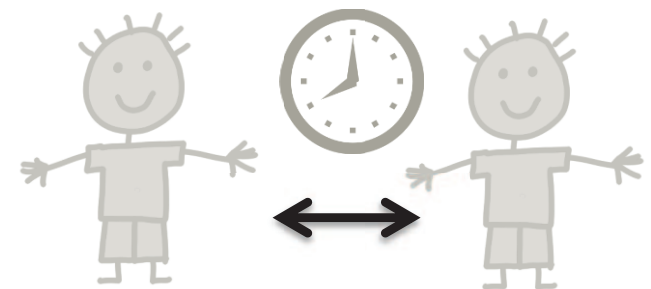


2) automatic classification

1) Corpus annotation



inter-annotator
agreement



intra-annotator
consistency

Automatic prediction of aspectual class of verbs in context

[Friedrich & Palmer, ACL 2014]



Juice **fills** the glass.
STATIVE

The glass **was filled**
with juice.
BOTH readings
possible



She **filled** the glass
with juice. **DYNAMIC**

Linguistic background

Vendler (1957):

time schemata of **verbs**

**lexical aspect /
aktionsart**

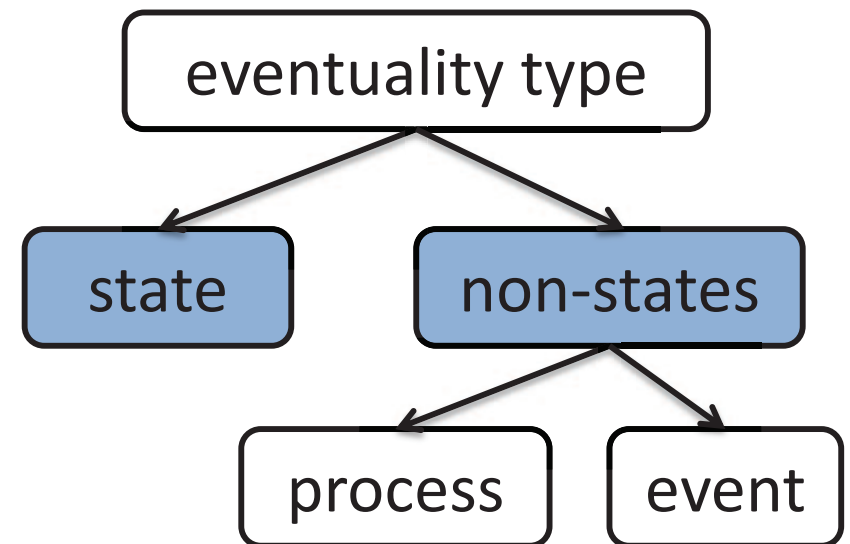
states	<i>love, own</i>	stative
activities	<i>run</i>	dynamic
accomplishments	<i>write a letter</i>	
achievements	<i>realize</i>	

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Bach (1986):
time schemata of
sentences



Task: predicting **fundamental** aspectual class

- a function of the main verb and a select group of arguments (may differ per verb)
- Siegel & McKeown (2000)

<i>John will love this cake!</i>	<i>John love cake</i>	stative
<i>John has kissed Mary.</i>	<i>John kiss Mary</i>	dynamic
<i>John drives to work.</i>	<i>John drive to work</i>	

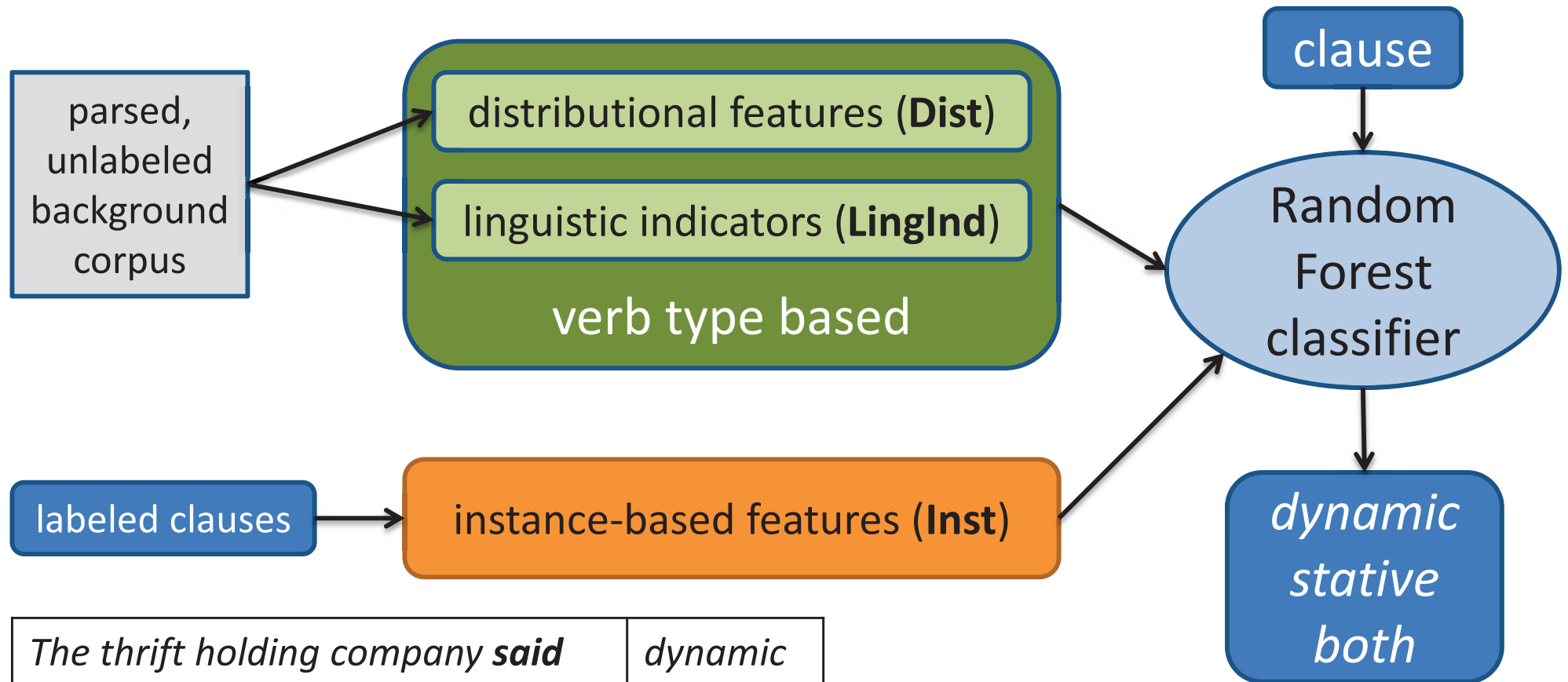
Task: predicting fundamental aspectual class

- a function of the main verb and a select group of arguments (may differ per verb)
- Siegel & McKeown (2000)
 - evaluation **type-based**
 - our work: **instance-based**

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Method: Overview

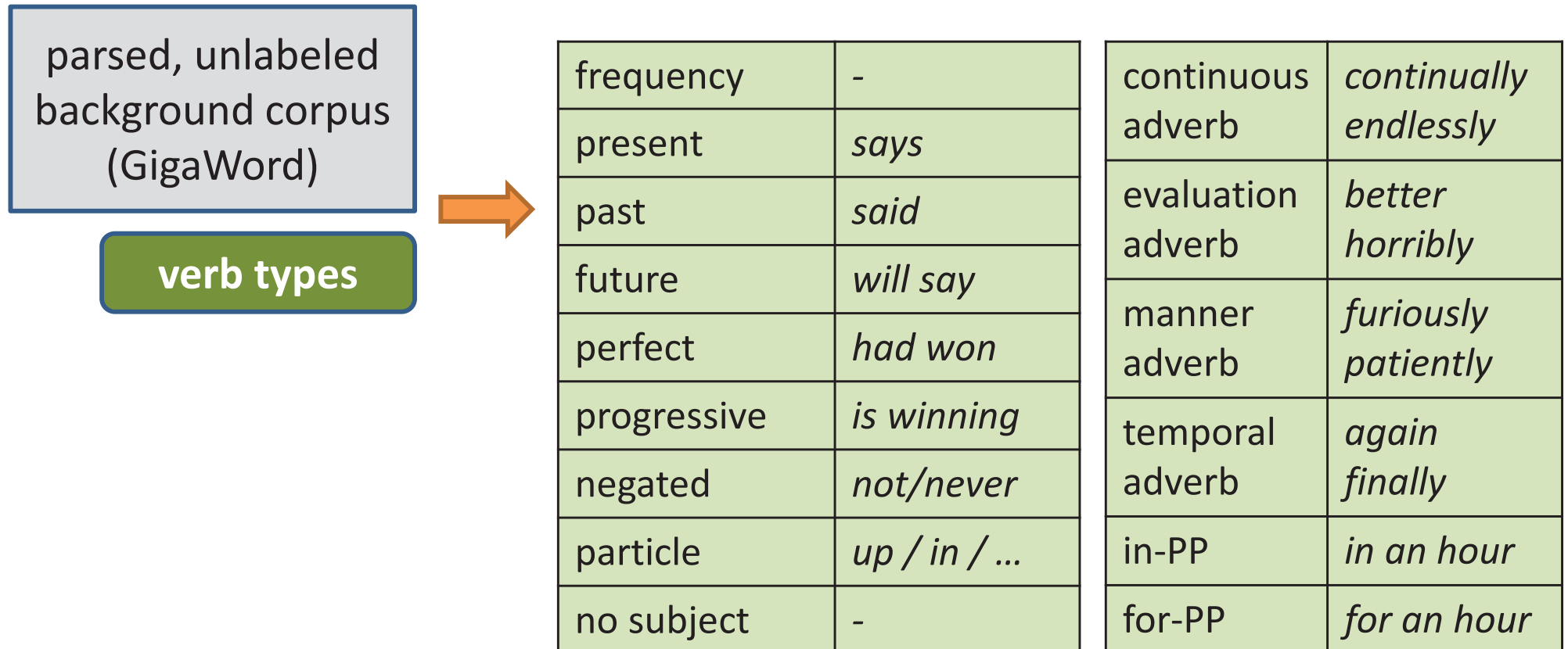
- supervised three-way classification setting



<i>The thrift holding company said</i>	<i>dynamic</i>
<i>it expects to obtain approval</i>	<i>stative</i>
<i>and recognizes the danger.</i>	<i>both</i>

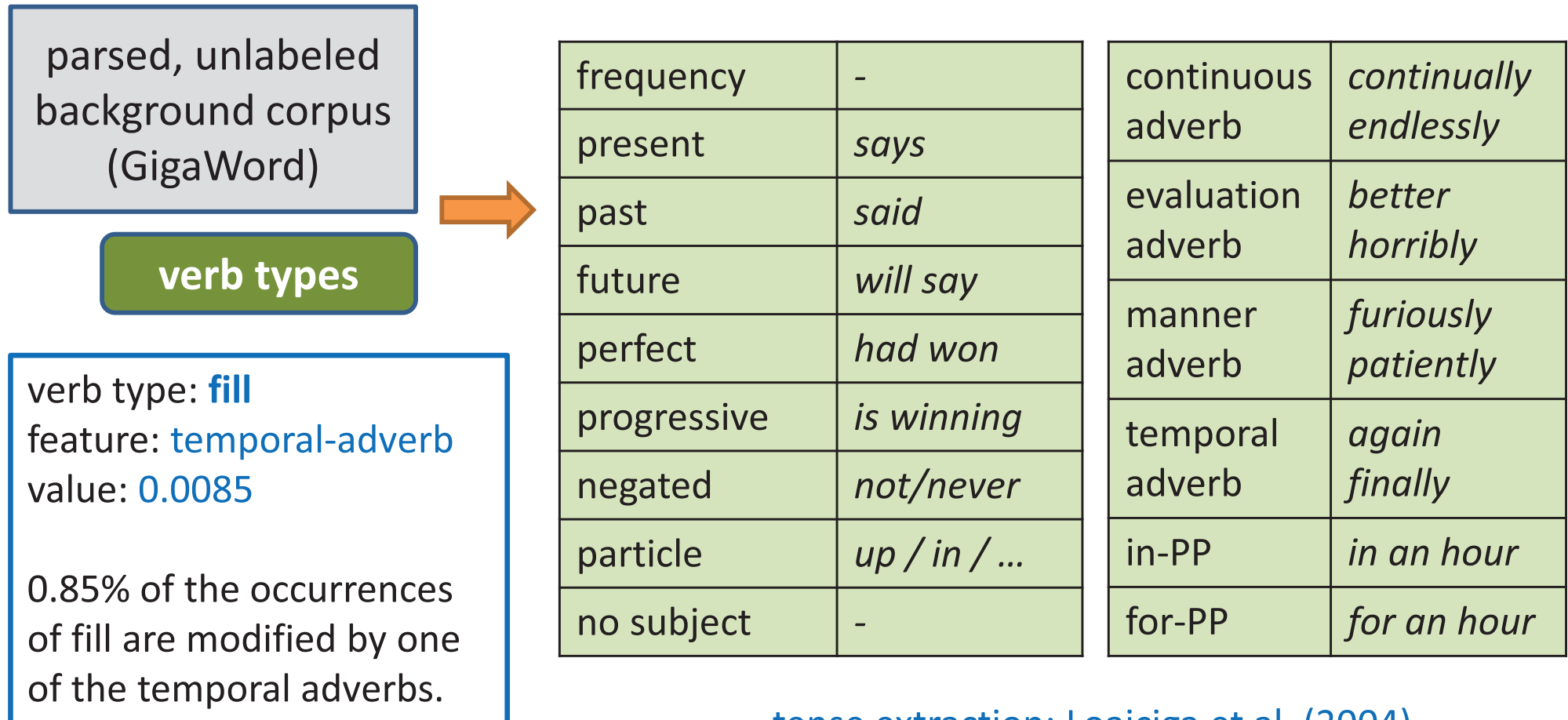
Linguistic Indicators

- co-occurrence of **verb types** with certain linguistic features (Siegel & McKeown 2000)



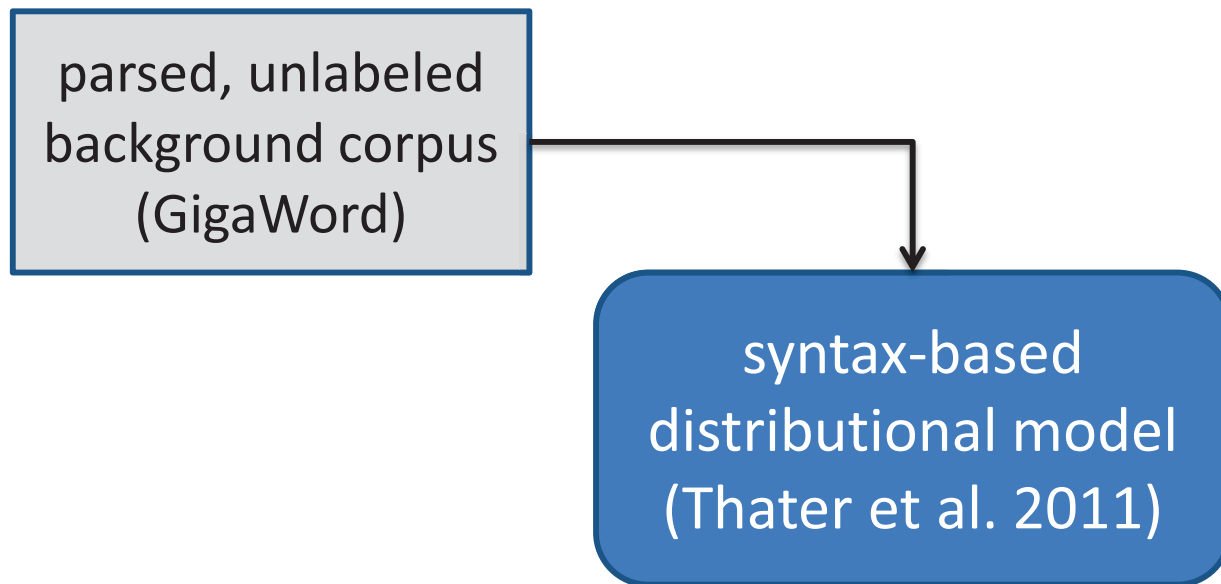
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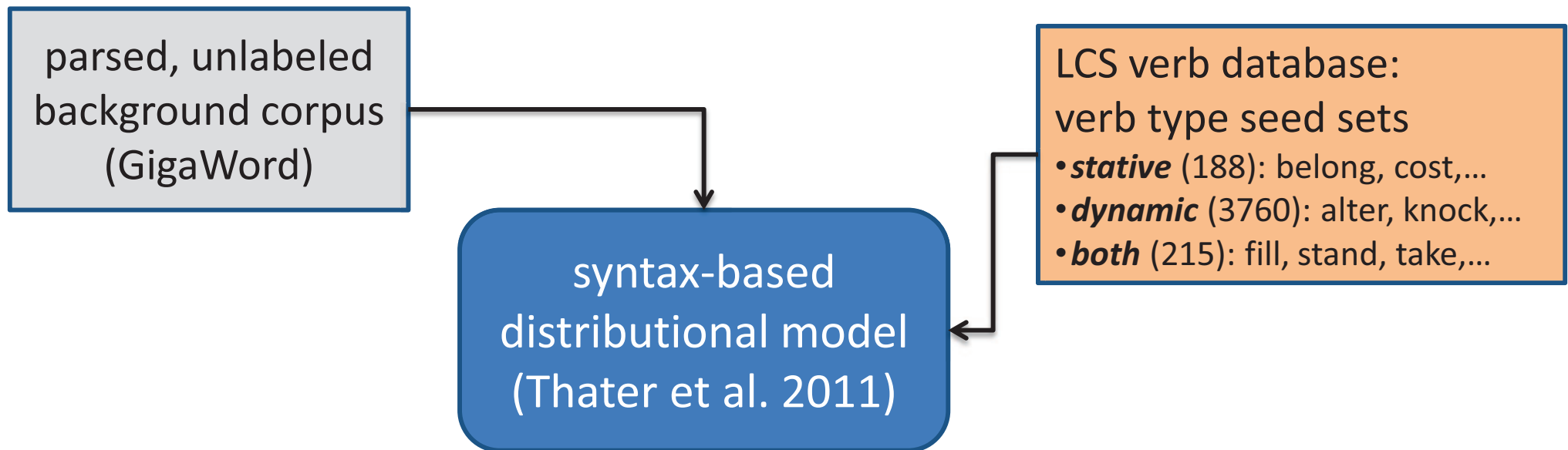
Distributional features

- average similarities with verbs in seed sets



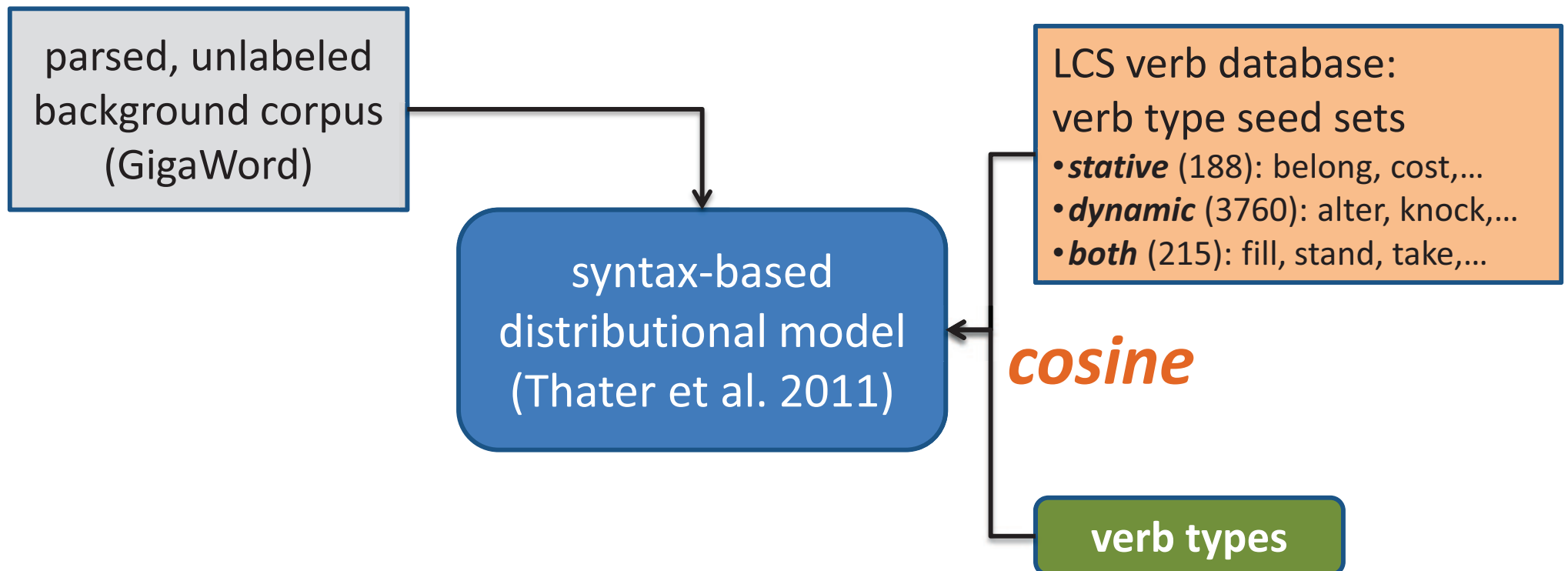
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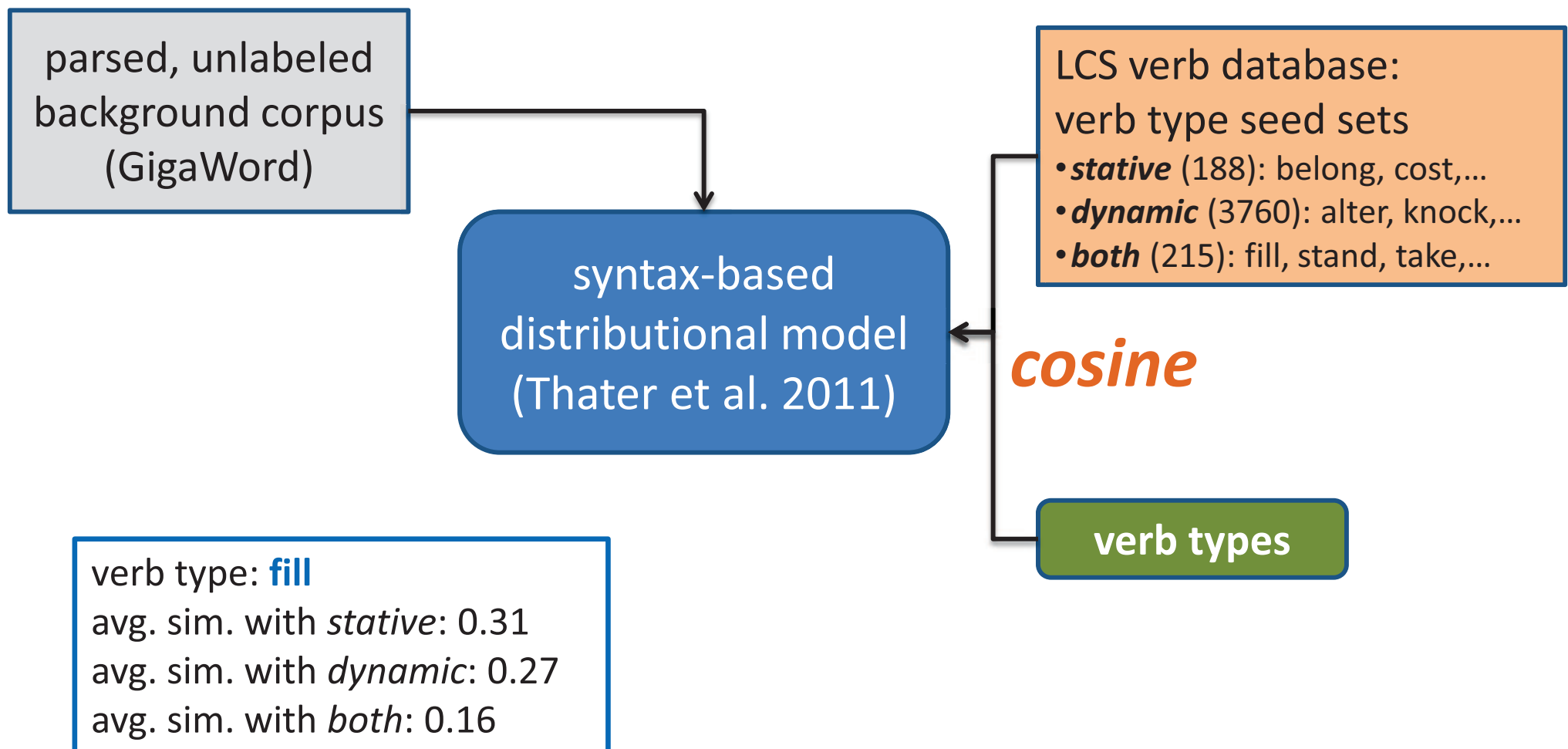
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Instance-based features

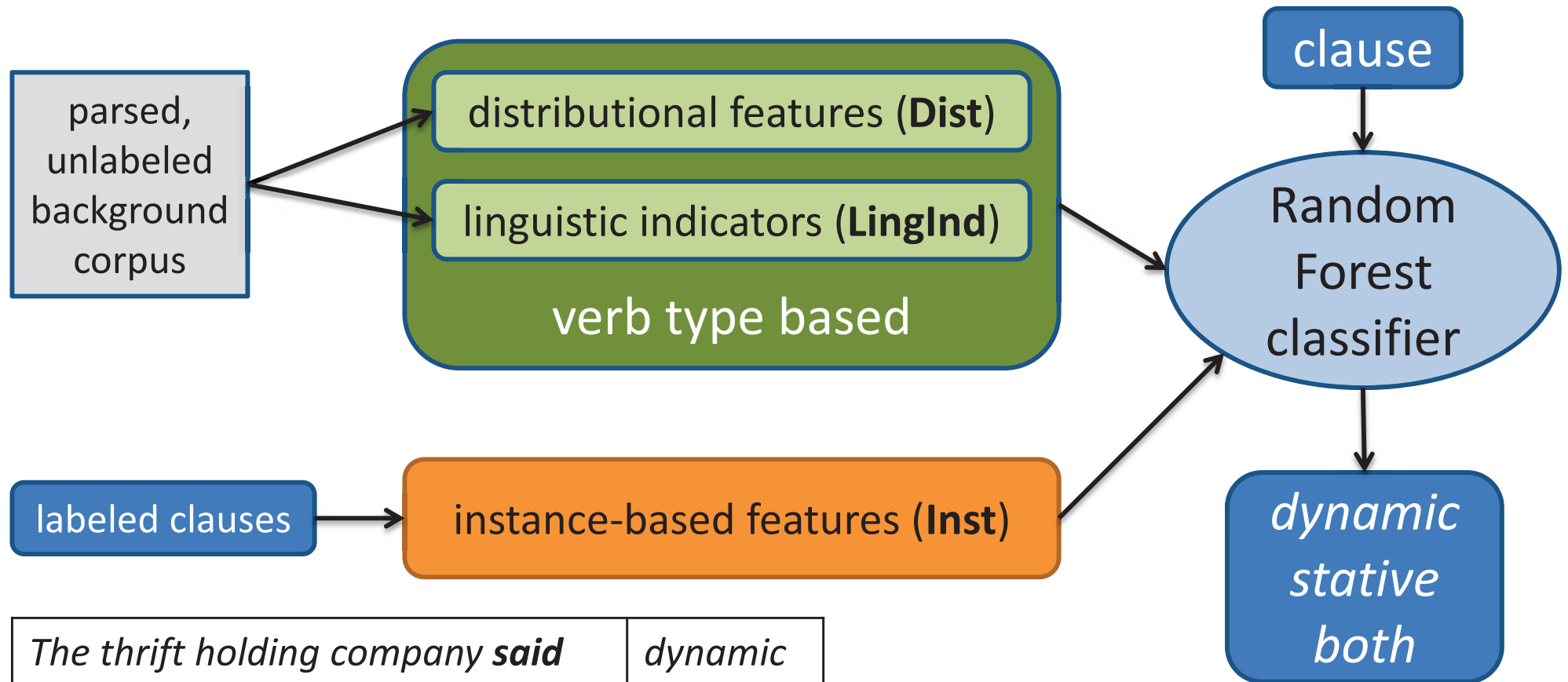
- verb-centric syntactic-semantic features

*A little girl had just **finished**
her first week of school.*

tense :past	progressive :false
pos :VBD	dobj :noun.time
perfect :true	particle :none
voice :active	subj :noun.person

Method: Overview

- supervised three-way classification setting



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Experiments 1&2: SEEN vs. UNSEEN verbs

Asp-MASC: 6161 clauses (complete texts) excluding be/have, 2 annotators, $\kappa = 0.7$, 10-fold cross validation

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SEEN verbs:

labeled training data
available

Type-based features

→ same accuracy (**84%**)
as only using Lemma
(= *memorizing most
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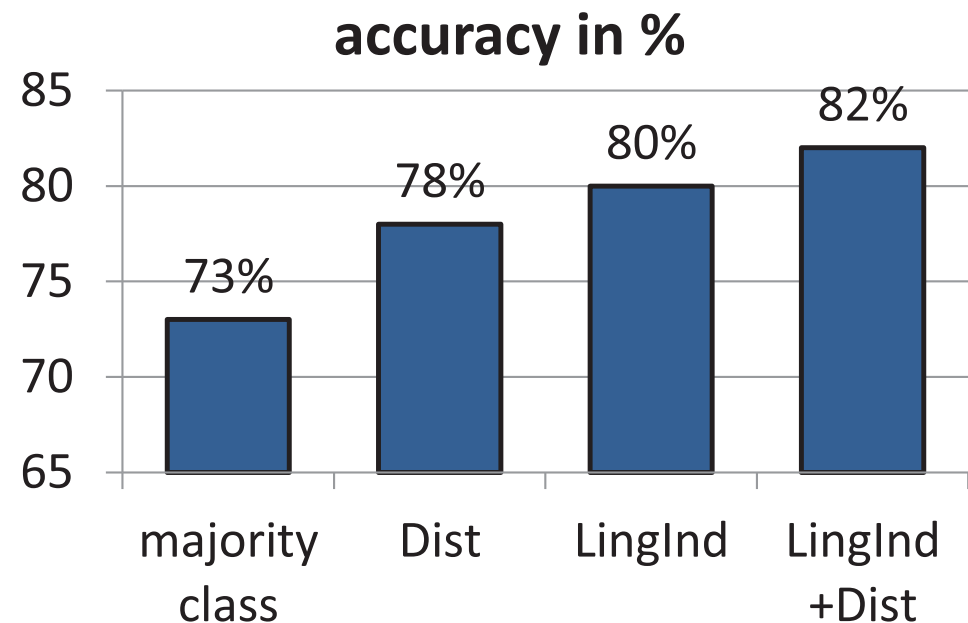
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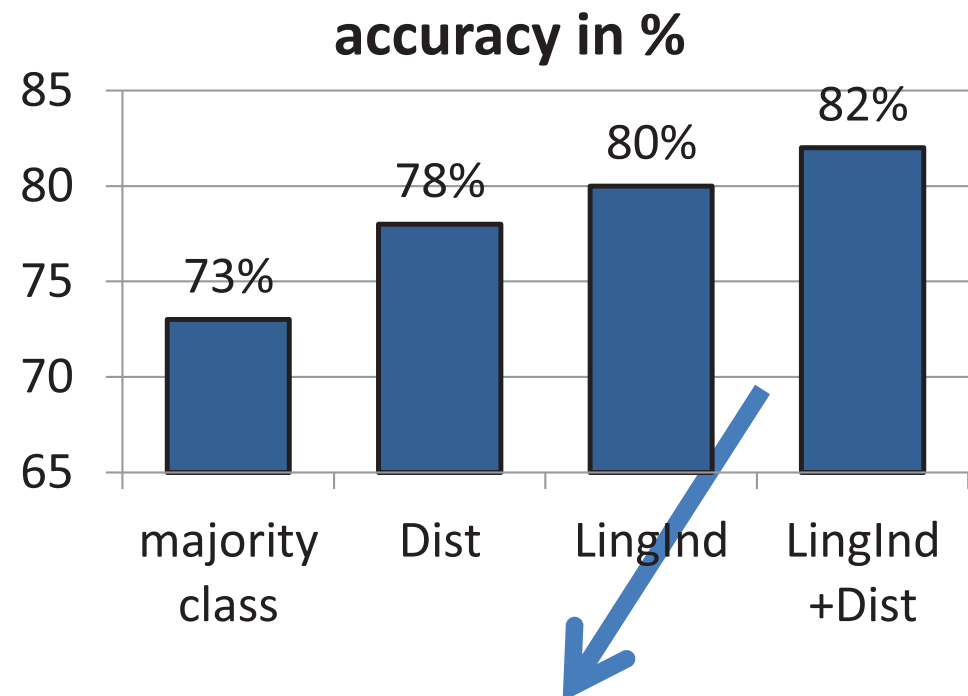
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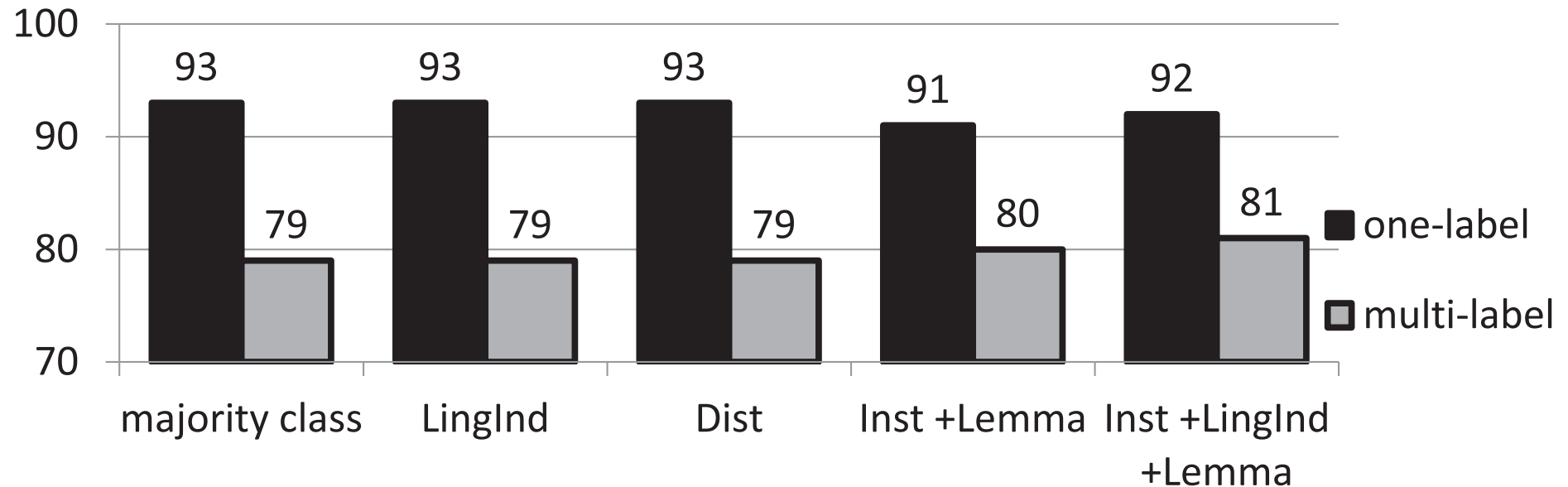


Type-based features generalize across verb types.

Experiment 3:

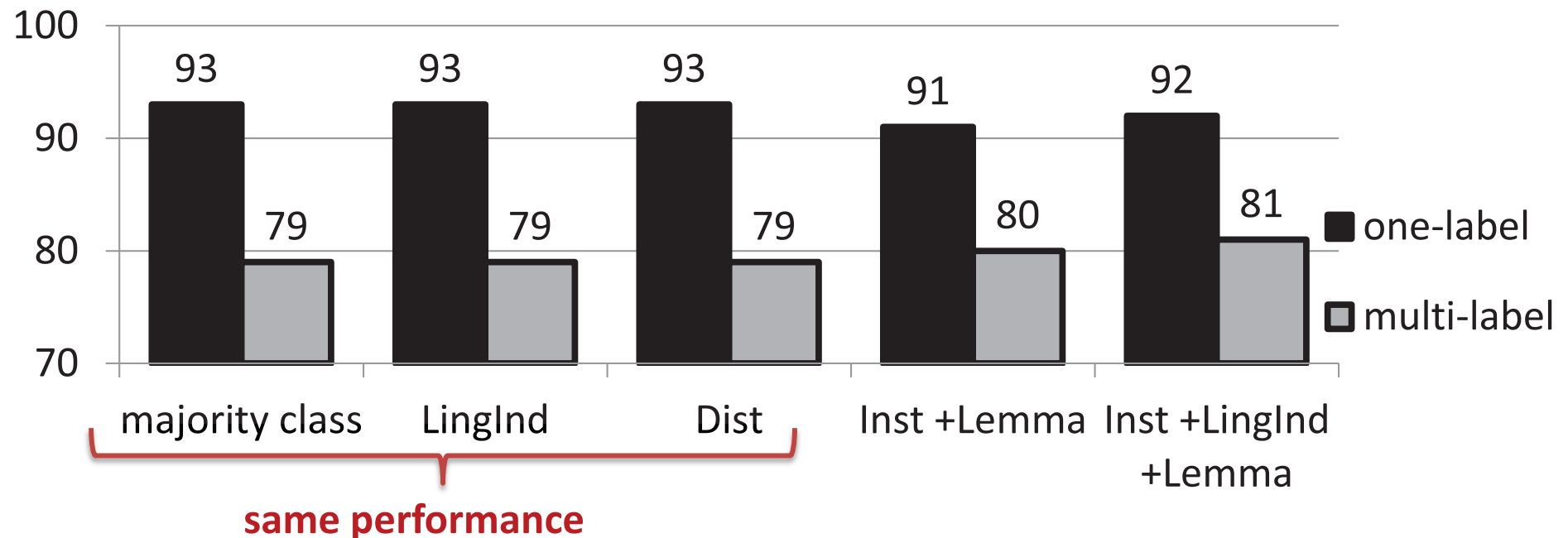
ONE-LABEL vs. MULTI-LABEL verbs

accuracy in %



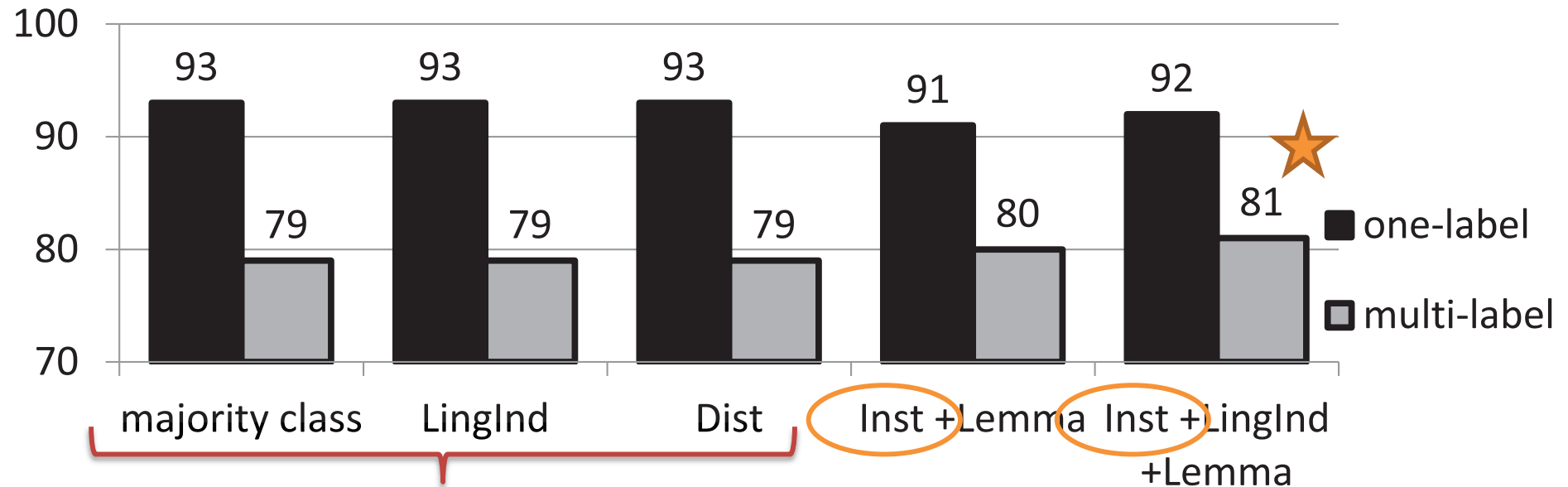
Experiment 3: ONE-LABEL vs. MULTI-LABEL verbs

accuracy in %



Experiment 3: ONE-LABEL vs. MULTI-LABEL verbs

accuracy in %



same performance

★ significantly better than majority class

Instance-based features are essential for classifying ambiguous verbs.

Experiment 4: INSTANCE-BASED classification

Asp-Ambig:

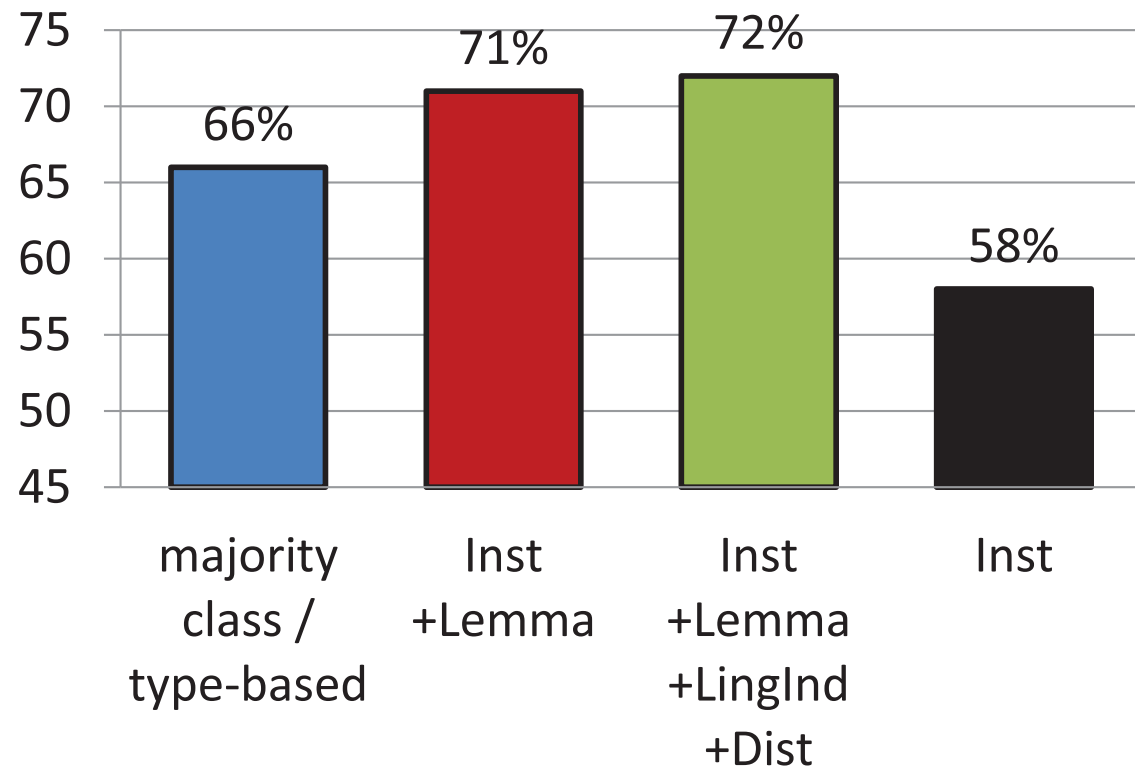
- 2667 sentences for 20 frequent ambiguous verbs (from Brown)
- 2 annotators, $\kappa = 0.6$

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Asp-Ambig: micro-average accuracy

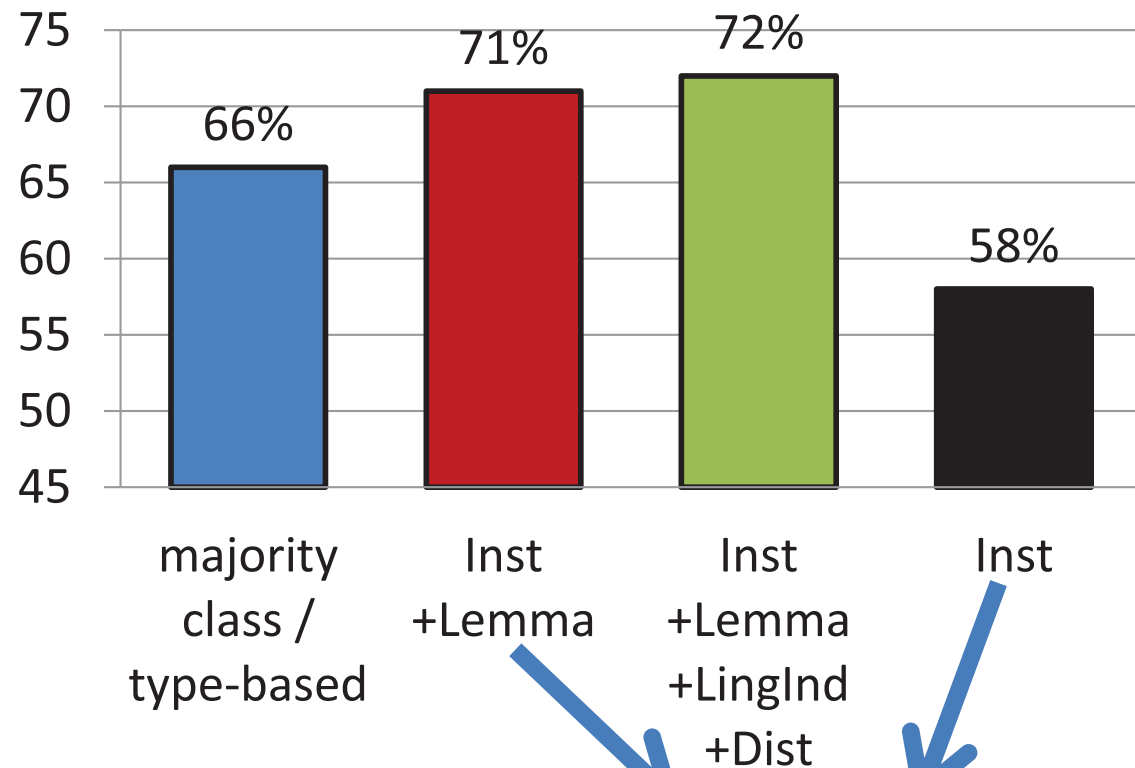


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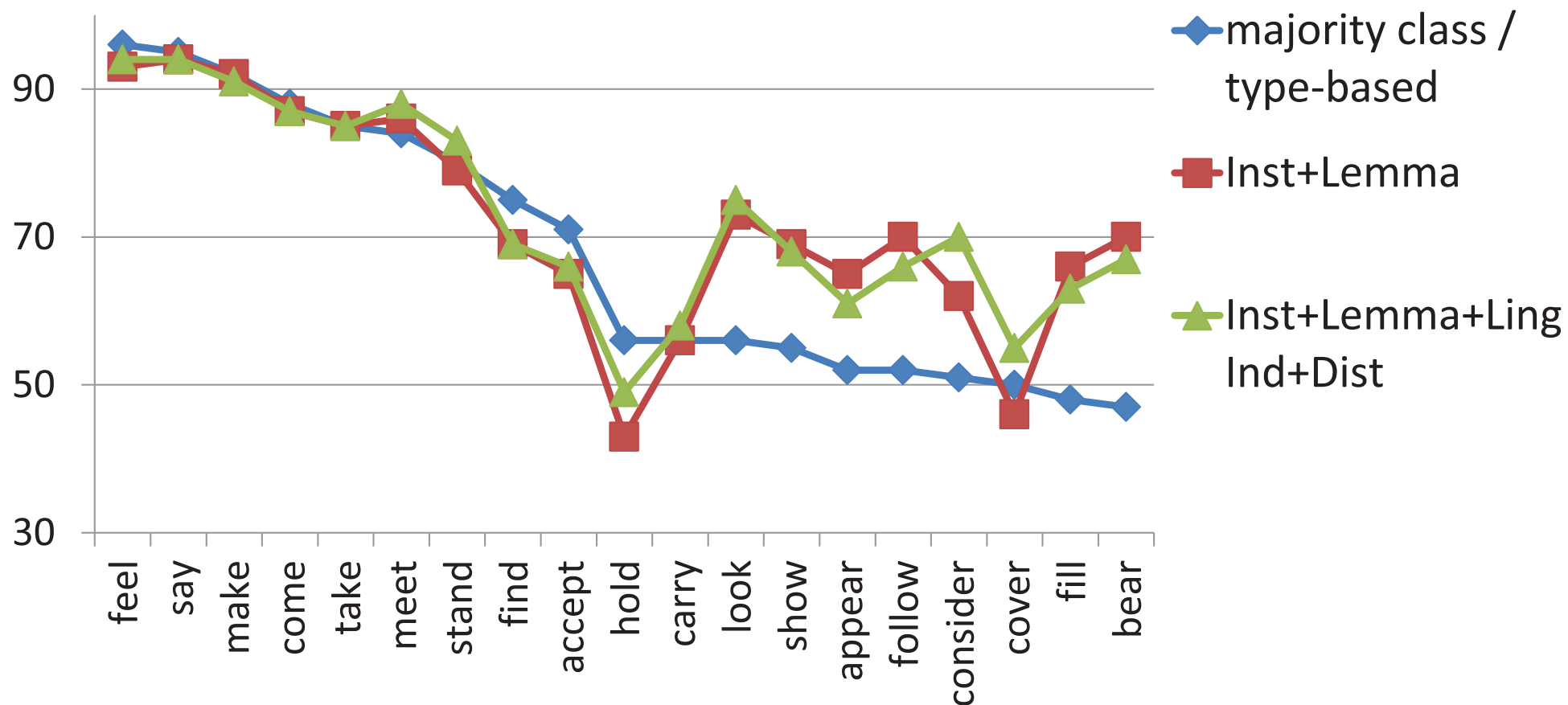
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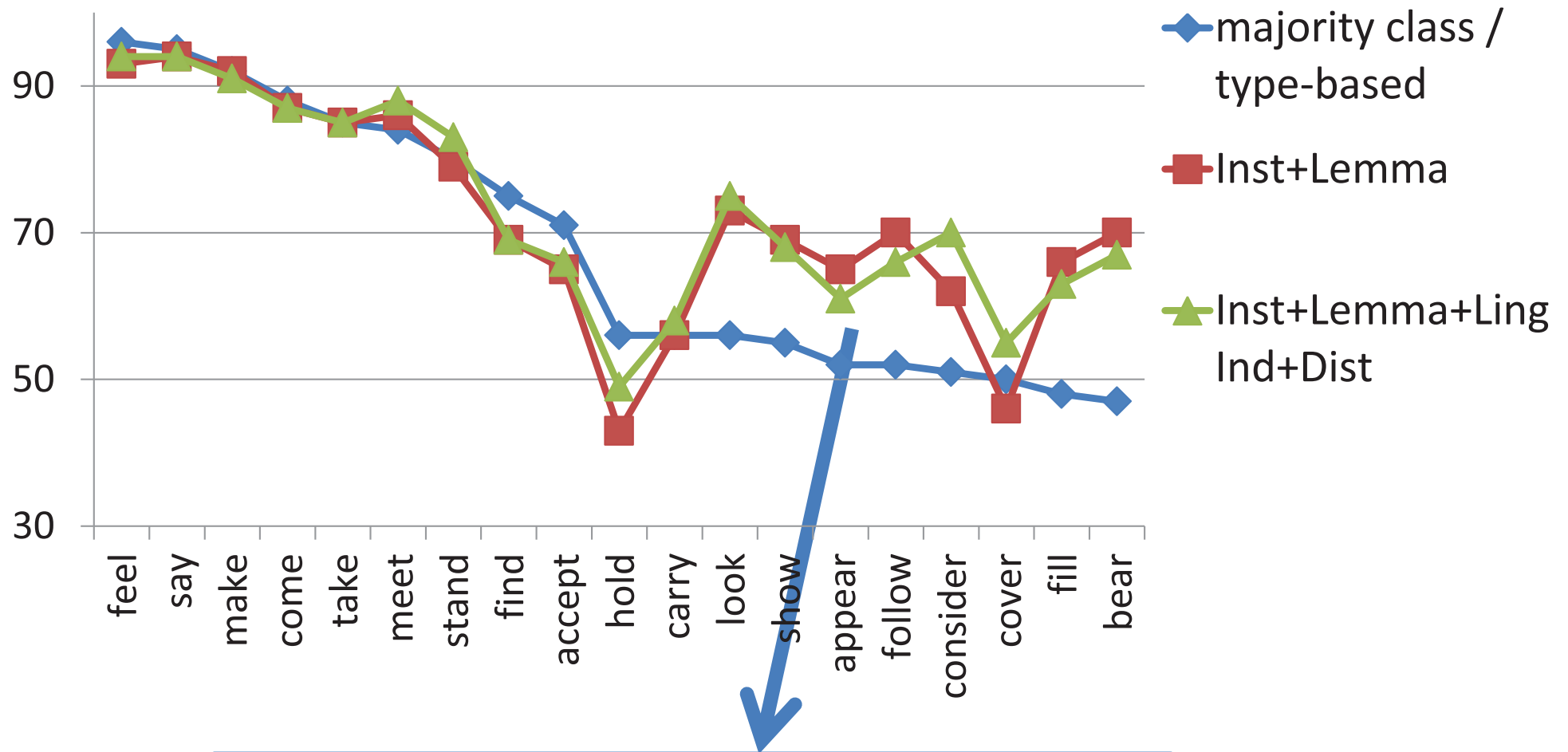


Instance-based features do not generalize across verb types.

Experiment 4: INSTANCE-BASED classification



Experiment 4: INSTANCE-BASED classification



- The more ambiguous the verb, the more essential are instance-based features.
- Type-based features (bias) helpful?
 - depends on verb type

Summary:

Automatic prediction
of aspectual class of verbs in context

- if **no labeled training data** is available, can make type-based prediction with high accuracy.


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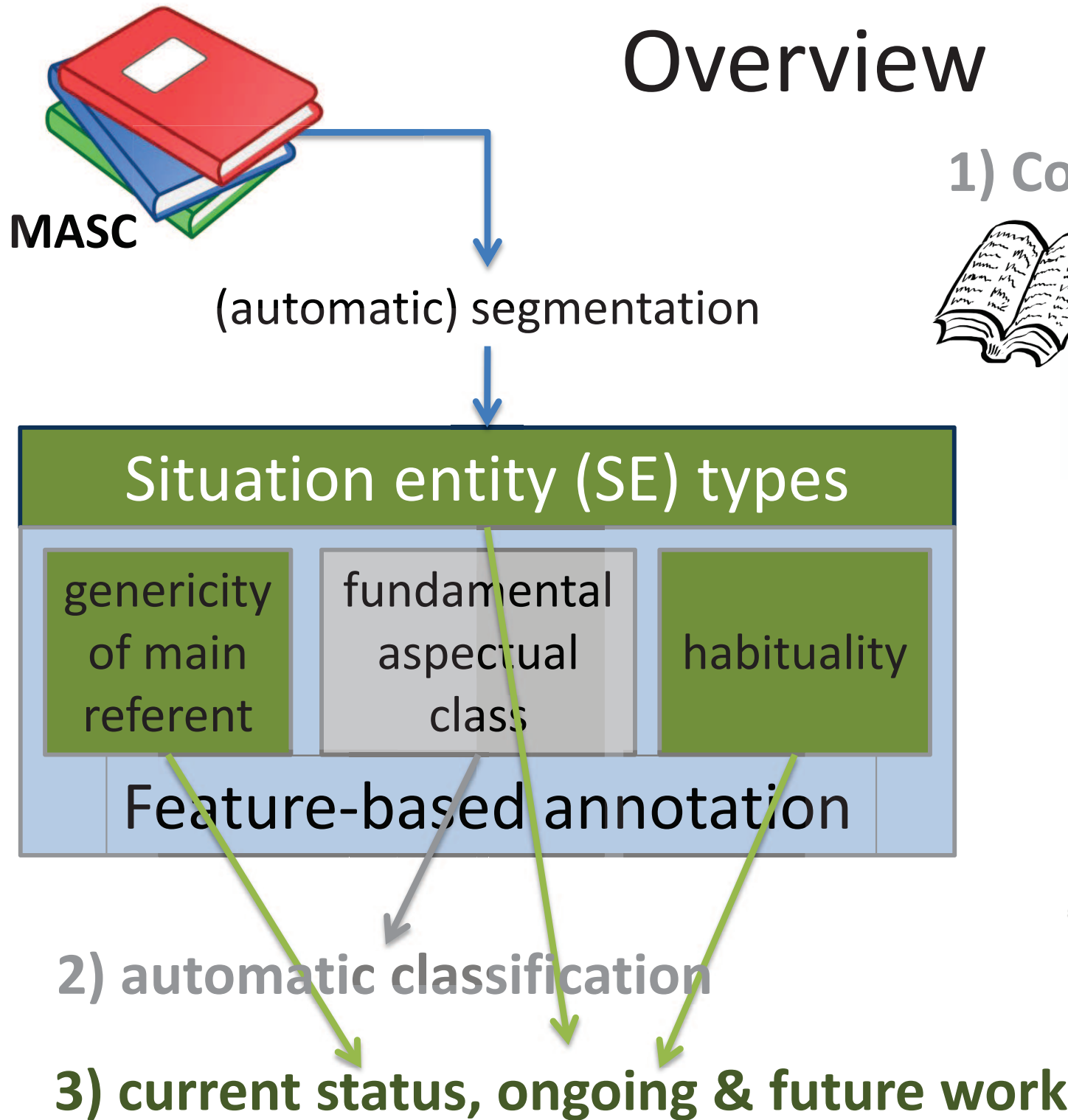
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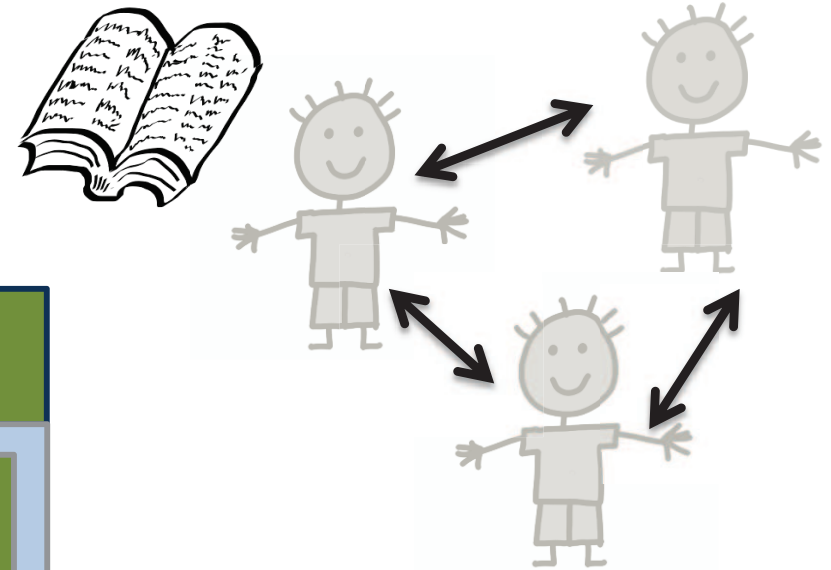
Automatic prediction of aspectual class of verbs in context

- if **no labeled training data** is available, can make type-based prediction with high accuracy.
 - for **ambiguous verbs**: need training data & context-based features.
- treat different verb types differently
- 
- globally well-performing system

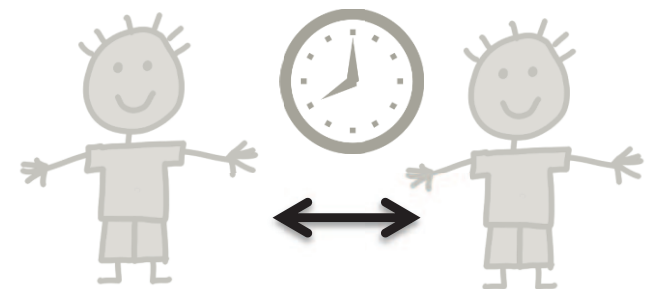
Overview



1) Corpus annotation



inter-annotator
agreement



intra-annotator
consistency

Annotation status

Plan: gold standard via majority vote

→ label all clauses twice, have third annotator give annotations for disagreed segments (without seeing the other annotator's markup)

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corpus		# segments	2x	3x
MASC	news	3382	done	done
	essays	3357	done	done
	letters	2757	done	in progress
	jokes	4414	done	in progress
	fiction	5560	in progress	in progress
	journal	2581	in progress	in progress
	travel guides	4414	done	in progress
Wikipedia		8266	done	in progress

additional planned MASC sections: email (part), blog, non-fiction, technical

Future / Ongoing work: Automatic classification

- of **habituality**
- of the main referent's **genericity**
- of the clause's **situation entity type**

Future / Ongoing work: Automatic classification

- of **habituality**
- of the main referent's **genericity**
- of the clause's **situation entity type**

approach: combination of local features
with discourse-based features

- extending upon Palmer et al. (2007)

Relevance of discourse modes

[Smith 2003]



EVENT,
STATE

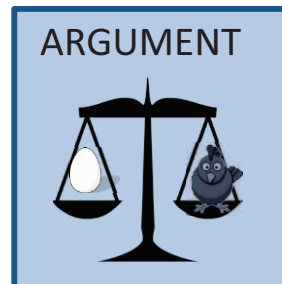
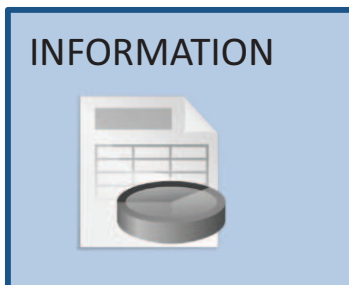


EVENT, STATE,
general statives



EVENT,
STATE,
ongoing
EVENT

FACT, PROPOSITION,
general statives



- **future work:** create **annotated corpus** for discourse modes

Relevance of discourse modes

[Smith 2003]



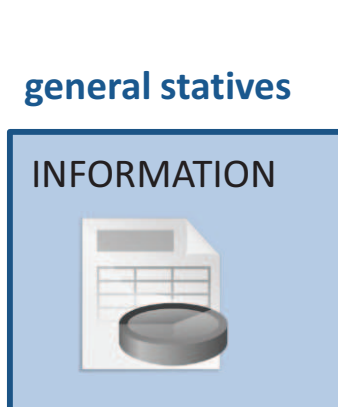
EVENT,
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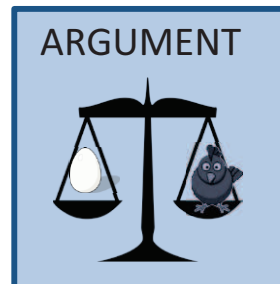
EVENT, STATE,
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EVENT,
STATE,
ongoing
EVENT



general statives



FACT, PROPOSITION,
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- **automatic classification** of discourse modes (using SE types & other features)

Relevance of discourse modes

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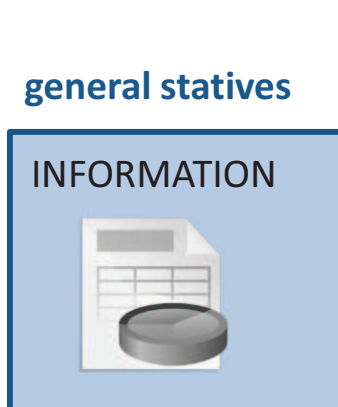
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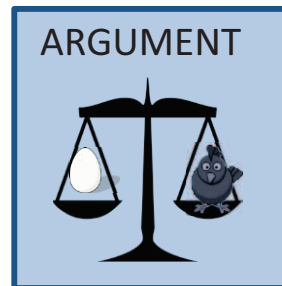
EVENT, STATE,
general statives



EVENT,
STATE,
ongoing
EVENT



general statives



FACT, PROPOSITION,
general statives

- **future work**: create **annotated corpus** for discourse modes
- **automatic classification** of discourse modes (using SE types & other features)
- 'applications'
 - temporal processing of discourse
 - genre, stylistics
 - machine translation
 - argumentation mining

Future / ongoing work

Aspectual class of light verbs

have a heart attack vs. have a daughter

make sense vs. make a cake

frequent & ambiguous verbs, object matters

→ need a good solution to improve overall performance

→ does distributional information help?

Future / ongoing work

situation entity types

aspectual information

how speaker/writer presents a situation



**use of SEs in
different
languages?
relationships?**

Future / ongoing work

situation entity types

aspectual information

how speaker/writer presents a situation



use of SEs in
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MT evaluation

Can we use SE type
information for evaluating
translation quality?
(start with related
languages)

Future / ongoing work

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Situation entities in 汉语

aspectual information leads to default
interpretations of time in Chinese

[Smith & Erbaugh 2005]

→ inferring temporal information

[Zhang & Xue 2014]

Future / ongoing work

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Situation entities in 汉语

aspectual information leads to default
interpretations of time in Chinese

[Smith & Erbaugh 2005]

→ inferring temporal information

[Zhang & Xue 2014]

→ develop annotation scheme

→ compare use of SE types / features
vs. English

<http://sitent.coli.uni-saarland.de>

Thanks to

Manfred Pinkal

Bonnie Webber

Andreas Peldzsus

Melissa Peate Sorensen

Ambika Kirkland

Ruth Kühn

Fernando Ardente

Christine Bocioneck

We are



*to hear your
suggestions or
ideas for
collaborations.*

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