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## 3-D FEM ANALYSIS OF VOCAL TRACT MODELS USING ELLIPTIC TUBES WITH VOLUME RADIATION

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# **ABSTRACT** Using a 3-D FEM, we compute the

sound pressure and the particle velocity in our vocal tract model with a volume radiation in order to estimate the 3-D effect. From the simulation results, we were able to show the differences between 1-D and 3-D models for the formant frequency and band width in the following case: the cross section of the tube is flattened from circle to ellipse, and the shape of constriction is complex.

## **1** INTRODUCTION

The shape of the vocal tract and the aperture at the lips are important factors in characterizing speech sound . A vocal tract model with cascading elliptic tubes was used to represent the 1-D equivalent circuit model. In this model, however, a plane wave is assumed on the radiational part, and not only the 3-D effect of radiation, but also the 3-D effect of the constriction of the incisors is neglected. We showed that there was a 3-D effect in the elliptic tube by using a 3-D FEM[1]. In this paper, using the FEM, we try to evaluate the 3-D effect of the radiation and the constriction of the incisors. We compute the sound pressure and the particle velocity in our vocal tract model including the volume radiation. The volume radiation is hemisphere shaped. From the experimental results, we see that the 3-D effects are large on the Vocal Tract Transfer Function(VTTF). Finally, we propose a new vocal tract model with cascading non-uniform elliptic tubes. This model is based on MRI data of vocal tracts, and the shape of several cross sections is determined

by the elongation factor and the area.

## 2 FORMULATION OF THE WAVE EQUATION

It is well known that the acoustic wave equation in steady state is represented using velocity potential  $\phi$  as  $\nabla^2 \phi = k^2 \phi$ 

where  $k(\omega(\text{angular frequency})/c(\text{sound}$ velocity)) is the wave length constant, and that sound pressure p and particle velocity  $\boldsymbol{v}$  are represented as

p	=	$j\omega ho\phi$	(2
v	=	$- abla \phi$	(3

where  $\rho$  is the atmospheric pressure density. Our FEM formulation was based on the above equations.

## **3** SIMULATIONS FOR CON-STRICTION

In order to evaluate the effect of the constriction of the incisors in vocal tracts, we computed acoustic characteristics in some straight sound tubes with the constriction. We use a simulation model of the sound tube with a cross sectional area of  $\pi cm^2$ , and a length of 15cm. The two cross sectional shapes of the tube were determined by a parameter  $E_f$  (Elongation Factor)[2];  $E_f=1$  for a circle and  $E_f=2$ for an ellipse. Its driving surface is driven by sound velocity  $v_n = 1e^{j\omega t}$ . A volume of radiation, which is hemisphereical in shape, is attached to the aperture surface[3]. The radius is 3cm for  $E_f=1$  and 4cm for  $E_f=2[4]$ . As a boundary condition on the volume, the specific acoustic impedance of spherical radiation is assumed on the spherical surface, and a rigid wall baffle is assumed. The walls making constrictions

are shaped by half of the cross section with a thickness of 0.17cm and are located in the upper and lower parts of the tube. The lower one is at a distance of 14.25cm from the driving surface and the upper one is at a distance of 14.85cm. In Fig.1, we show the closeup figures of our finite element models with a circular cross section  $(E_f=1)$  in (a), and an elliptic cross section  $(E_f=2)$ in (b). Moreover, in the case of  $E_f=1$ , the constrictions are rounded as to approximate the shape of the incisors (See Fig.1(c)).



#### Figure 1: Finite element model

In Fig.2, we show the VTTF computed from our FEM and the 1-D analytical model without incisors . From the particle velocity, simulated by the FEM analysis, the VTTF  $H_v(\omega)$  is computed as

$$H_{v}(\omega) = 20 \log_{10} \left| \frac{\sum v_{l}(\omega)/A_{l}}{\sum v_{g}(\omega)/A_{g}} \right| (4)$$

where  $v_{o}$  ( $v_{l}$ ) are the normal component of particle velocity at the driving (the aperture) surface, and  $A_{o}(A_{l})$  is the area of the driving (the aperture) surface. In the results of our FEM

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Figure 2: Vocal tract transfer function

model, up to the fourth (or fifth) formant, the formant frequencies shift to lower frequencies. This means that the acoustic length of the tube seems longer than the real length. The differences of the formant frequencies between the non-rounded and rounded constriction models are a few Hz. In the case of  $E_f=2$ , there are some peaks on the VTTF between 6k and 7kHz; and these peaks are discussed in the following experiment.

Fig.3 and 4 show the sound pressure distributions([dB]) for  $E_f = 1$ (frequency is 1450*Hz*) and  $E_f=2$ (frequency is 6800Hz). Fig.3(a) is on the sagittal plane and Fig.4(a) is on the horizontal plane. (b) of both models is on the baffle of the volume, and (c) on the spherical surface. In Fig.3(a), we see that the sound wave propagates along



Figure 3: Sound pressure distribution (Ef = 1, 1450Hz)



(b) baffle (c) surface Figure 4: Sound pressure distribution (Ef = 2,6800Hz)

the acoustic pass of the constriction. If the analytical model is used with the equivalent length and area of the tube estimated from the FEM results, the VTTF may be in agreement with the FEM results. In Fig.3(b) and (c), we see that the sound wave propagates non-symmetrically with respect to the upper and lower direction because of the alternate constriction. In Fig.4(a), we see that a higher mode is formed. In Fig.4(b), the sound wave does not always propagate along the aperture. In Fig.4(c), the sound wave is distributed

vertically, therefore we guess that the sound wave propagates in a vertically polarized wave through free space.

4 SIMULATION OF A NEW VOCAL TRACT MODEL

The traditional vocal tract model is modeled by cascading uniform circular tubes. In this model, the tubes are not connected smoothly, although the outline of the human vocal tract is smooth. The question then arises about the nonsmooth connection. In this simulation model, we connect the elliptic tubes smoothly. Our model is based on MRI data of the vocal tract for the Japanese vowel /a/[5], and the shape of several cross sections is determined by  $E_f$  and the area. In Fig.5, we show a finite element model including a volume radiation (radius of 4cm).



Figure 5: Finite element model

In Fig.6, we show the VTTF computed from our FEM and the traditional 1-D analytical model. The first and second formant frequencies of the FEM solution agree relatively with analytical solutions, but the third formant



of FEM is about 100Hz lower than the analytical one. In the higher formant frequencies, there are difference in the formant frequency or band width. And there are two valleys(zeros) between 4.5k and 5kHz and between 6.8k and 7.6kHz.

In Fig.7, we show the sound pressure distributions ([dB]) on the sagittal and the horizontal plane. The driving frequencies of 4.8k and 7.2kHz correspond to the two valleys (zeros), and 5.8kHz to the non-zero. In the large



Figure 7: sound pressure distribution

cavity corresponding to the oral cavity, the effects of the higher mode in Fig.7(a) and (c) are larger than in (b). CONCLUSION

Using our 3-D FEM models, we simulated the sound wave propagation in a vocal tract model with the constriction of incisors and in our model for the Japanese vowel /a/. These models included the volume radiation. The VTTF was computed by simulated result. And the sound pressure distributions were shown.

The results of the experiment showed 3-D effects on the formant frequencies because of the 3-D shape of the vocal tract with constriction and the existence of higher modes. These facts never appear in the traditional 1-D analysis. We consider that these facts are useful for natural speech analysis.

#### ACKNOWLEDGEMENT

We are grateful to Dr. Naohisa Kamiyama for his offer of valuable data and Dr. Kunitoshi Motoki for valuable advice.

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