# VOWEL PALATALIZATION IN MONGOLIAN 

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## ABSTRACT

Most Mongolian languages have gone through a process of palatalization which has affected the vowel and consonant systems in different ways in different languages. In this paper, phonetic data are given from the Khalkha dialect where consonant palatalization is contrastive, and where vowels preceding palatalized consonants have been umlauted. The umlauted vowels are realized as diphthongs, and at least for some speakers they contrast with original diphthongs with $i$ as the second element. The contrast is realized as differences in spectral timing.

## 1. BACKGROUND

### 1.1 The vowel system

Classical Mongolian had seven vowels (shown below) and a vowel harmony system based on palatality with three front vowels $e, \varnothing, y$, three back vowels $a, \rho, u$, and one neutral vowel $i$. It is believed that the oldest stages of the language had a back unrounded vowel $i$ as well. There has been a vowel shift in East Mongolian languages (Mongolian proper and Buriat), by which the vowel $u$ became a pharyngeal ( $[-\mathrm{ATR}]$ ) vowel $\omega$, and the front vowels $y$ and $\varnothing$ became $u$ and $\theta$, respectively [3][4] (in Southern Mongolian dialects, e.g. Baarin, e became $\partial$, as well). At the same time, the phonetic basis of vowel harmony shifted from palatality to pharyngeality ([ATR]), the vowels $a, 0$, $\omega$ being pharyngeal ([-ATR]), e, $\boldsymbol{\theta}, u$ nonpharyngeal ([+ATR]) and ineutral [4].


Vowel length is contrastive in modern Mongolian, but only in the first syllable of a word.

### 1.2 Palatalized consonants

The vowel $i$ caused palatalization of both consonants and vowels. Consonants preceding $i$ were palatalized, and in many cases the conditioning vowel disappeared (especially when word final) or became assimilated to a following vowel, in particular when that vowel was a: ama>am 'mouth', ami> am 'life'; bara> bar 'to finish'; bira>b'ar 'strength'. Palatalization did not always take place when an $i$ followed, however: miqa > max 'meat'.
In this way a whole class of palatalized consonant phonemes appeared in Khalkha ( $b^{\prime}, p^{\prime}, m^{\prime}, w^{\prime}, d^{\prime}, t^{\prime}, n^{\prime}, l^{\prime}, r^{\prime}, g^{\prime}, x^{\prime}$ ), contrasting with the corresponding plain consonants. (In Khalkha, 1 is realized as a lateral fricative $[\mathfrak{k}]$.)
The palatalized consonant phonemes in Khalkha have a limited distribution, occurring only in words with pharyngeal vowels. In non-pharyngeal words there is no contrast between palatalized and plain consonants, a fact that indicates that palatalization of consonants took place before the vowel shift that converted the front vowels $y$ and $\varnothing$ to $u$ and $\boldsymbol{\theta}$.

### 1.3 Palatalized vowels

The palatalized consonants in pharyngeal words have in their turn palatalized (umlauted) preceding vowels. Thus, $\omega, \circ$, a have umlauted allophones, here written as $\boldsymbol{\omega}, j, z, a$, before palatalized consonants
In some Southern Mongolian dialects, e.g. Baarin, the umlauted vowels are realized as monophthongs $\boldsymbol{Y}, \boldsymbol{a}, \boldsymbol{x}$, but in Khalkha they are diphthongic. Both short and long vowels were umiauted in a similar way.

Another source of palatalized vowels is original diphthongs with $i$ as the second element, $\boldsymbol{\omega}$, $\boldsymbol{\nu}$, ai. In Khalkha they are retained as diphthongs, but in Baarin they became monophthongs, merging with the umlauted vowels. There is also a nonpharyngeal diphthong $u$ in Khalkha ( $y$ in Baarin). Instead of expected *ei or *ei, e is found both in Khalkha and Baarin.

## 2. PHONETIC INVESTIGATION

### 2.1 Method

The data presented here are based on recordings of three male speakers of Khalkha Mongolian, XB, DD and BB. They were born, grew up and are still living in Ulaanbaatar. Their age was 36, 26 and 21 years, respectively. A word-list illustrating various phonetic phenomena, including palatalization, was recorded. Each word was read in isolation 3-5 times by each informant. The recording was made in Ulaanbaatar using a cassette recorder of fairly high quality. The recordings were analyzed using the MacSpeechLab II digitizer and analysis programs.

### 2.2 Results and discussion

### 2.2.1 Umlauted vowels vs. i-diphthongs

The umlauted vowels $a, 5, \dot{\infty}$ and the $i$ diphthongs ai, si, ai were compared by measuring $F_{1}$ and $F_{2}$ at the beginning and end of the vowel, and at three intermediate equidistant points. The words ail', ail,


Figure 2. $\mathrm{F}_{1}-\mathrm{F}_{2}$ plots for speaker DD. Umlauted vowels $\boldsymbol{\omega}, \boldsymbol{3}$, $a$ are shown as solid lines and $i$-diphthongs $\omega i, \nu i$, ai as dotted lines.


Figure 1. $\mathrm{F}_{1}-\mathrm{F}_{2}$ plot for all vowels of speaker BB. The $i$-diphthongs $u i$, $\omega i$, $\stackrel{i}{ }$, $a i$ are shown as dotted lines and umlauted vowels $\Phi, 5$, a as solid lines. The average formant values (of 5 tokens) of monophthongic vowels are also shown.
 are shown in Figures 1-3 and in Table 1. The simultaneous equality of $F_{1}$ and $F_{2}$ was tested with Mahalanobis' $\mathrm{D}^{2}$ test [2, p. 480] after converting the formant frequencies to the mel scale.
The umlauted vowels and the corres-


Figure 2. $\mathrm{F}_{1}-\mathrm{F}_{2}$ plots for speaker XB. Umlauted vowels $\dot{0}, 3,3$ a are shown as solid lines and $i$-diphthongs $\omega i, \nu i$, ai as dotted lines.
ponding $i$-diphthongs have similar paths in the $\mathrm{F}_{1}-\mathrm{F}_{2}$ plane, starting at a point in the neighbourhood of the corresponding nonumlauted vowel and ending in the e $\sim i$ area. According to the test results (see Table 1), à and ai are significantly different for speaker XB and also for DD, $j$ and $s i$ are different for $B B$ and DD, and $\omega$ and $\omega i$ are different for DD and XB. Some of the differences are perceptually very salient. Although it is difficult to find invariant features which differentiate umlauted vowels and $i$-diphthongs for all speakers, the three pairs differ in similar ways for each speaker. The difference lies in the timing structure of the diphthongs rather than in the starting point, end point or direction of the diphthong path.
The spectral timing of diphthongs often differs between different languages [1], but it is an unusual feature for a language to have diphthongs with the same general start and end points but which nevertheless contrast because of their spectral timing.

### 2.2.2 Palatalization of consonants

 following i-diphthongsPalatalized and plain consonants do not contrast after $i$-diphthongs. The quality of a consonant in this position was checked by measuring $F_{1}$ and $F_{2}$ at the beginning of the second vowel a in the word ailar and comparing to plain 1 in batlar and palatalized 1 ' in at 1 'ar.
The results were (means of 5 tokens):

|  | BB | DD |  |
| :---: | :---: | :---: | :---: |
|  | $\mathrm{Fr}_{1} \mathrm{~F}_{2}$ | $\mathrm{F}_{1}$ | $\mathrm{F}_{2}$ |
| bailar | 5011202 | 669 | 1387 |
| ailar | 5051452 | 438 | 2002 |
| ${ }_{\text {allar }}$ | 4381594 | 365 | 2138 |
| tests: |  |  |  |
| bailar ~ a alar | p<. 01 |  | <. 001 |
| ballar ~ atl'ar | p<. 001 |  | . 001 |
| ailar ~ ${ }^{\text {ala }}$ 'ar | $\mathrm{p}<.05$ |  | <. 05 |

As these results show, $l$ in ailar is palatalized, but slightly less than the contrastively palatalized $I^{\prime}$ in ata' $a r$.
This seems to be the only case of progressive palatalization in Khalkha.

### 2.2.3 Influence of i on preceding

Both plain and palatalized consonants can occur before $i$ in pharyngeal words, as in ba:lig and allig. The possible influence of
$i$ on a preceding plain consonant was checked by measuring $F_{1}$ and $F_{2}$ at the end of the first vowel at in the words ba:lar and bailig, with the following results ( 5 tokens of each vowel for BB and DD, 4 for XB):

|  | $B B$ |  | $D D$ |  | $X B$ |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\mathrm{~F}_{1}$ | $\mathrm{~F}_{2}$ | $\mathrm{~F}_{1}$ | $\mathrm{~F}_{2}$ | $\mathrm{~F}_{1}$ |  | $\mathrm{~F}_{2}$,

$\mathrm{F}_{2}$ is slightly higher at the end of as in bailig than in bailar, no doubt because of coarticulation effects, but this difference is not significant in my material according to Mahalanobis' test. Since the vowel is the historical source of palatalization in Mongolian, it is somewhat paradoxical that does not palatalize preceding consonants in Khalkha.

### 2.2.4 The quality of i

The vowel $i$ is neutral in vowel harmony, but only in a restricted sense. Words with only this vowel are always nonpharyngeal, and in pharyngeal words, $i$ occurs only in suffixes. The quality of $i$ in pharyngeal words is influenced by the preceding consonant. In order to check this, $F_{1}$ and $F_{2}$ were measured at the beginning and middle of the $i$ vowel in the words ballig, atl'ig and ailig, i.e. following a plain, palatalized and non-contrastively palatalized consonant. The results are shown below where the first row for each word shows the beginning of the vowel and the second row the centre:

( 5 tokens of all words for BB and DD; 4 tokens of bailig and 3 of ailig for XB.)

There is a large difference between $i$ following plain and palatalized consonants (as is the case for the other vowels as well). The difference is still present at the middle of the vowel but is smaller there. Thus, coarticulation between a plain consonant and a following $i$ does not lead to palatalization of the consonant, as is the case in many languages, including old Mongolian, but rather to "depalatalization" of $i$, resulting in lower $\mathrm{F}_{2}$ and higher $F_{1}$, a relation which is characteristic within each pair of nonpharyngeal vs. pharyngeal vowels (e~a, o~s, u~@; cf. Figure 1).
In Baarin and other South Mongolian dialects, the contrast between plain and palatalized consonants seems to have disappeared, and $i$ has split into two phonemes, non-pharyngeal ( $[+$ ATR]) $i$ and pharyngeal ([-ATR]) $t$, thereby repairing the asymmetry of the harmony system which resulted from the loss of $i$ in Old Mongolian.

## 3. CONCLUSION

Mongolian has gone though a palatalization cycle. First $i$ palatalized preceding consonants and was then lost in many cases. The contrastive function of the lost vowels was transferred to a palatalized/ plain contrast in the consonant system, supplemented by the appearance of umlauted vowels, realized as diphthongs,
before palatalized consonants. This is the stage found in Khalkha. In Baarin and other Southern Mongolian dialects, umlauted vowels have become monophthongs and carry the contrast, contrastive palatalization having disappeared, at least partly, from the consonant system
In Khalkha, there is a contrast between umlauted vowels and original $i$-diphthongs, both being realized as diphthongs, but differing in their spectral timing. In Baarin these two sets of vowels have merged.

## REFERENCES

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Table 1. Mean values of $F_{1}$ and $F_{2}$ at five equidistant points in the umlauted vowels and $i$-diphthongs. The number of tokens of each vowel is given as well as test results for Mahalanobis' test, for each point testing whether the two vowels have the same $F_{1}$ and $F_{2}$ values.

## BB



 | 1482 | 1591 | 1654 | 1708 | 1716 | 1697 | 1784 | 1828 | 1923 | 2016 | 1401 | 1722 | 144 | 2188 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1958 |  |  |  |  |  |  |  |  |  |  |  |  |  |

 $15311580164516911672 \quad 16211776184220132092 \mid 14781573170918772013$ test: ns ns ns ns ns


| Шl: | 466 | 441 | 416 | 408 | 362 | 5 | 395 | 398 | 387 | 377 | 333 | 4 | 367 | 405 | 381 | 385 | 295 | 3 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | $\begin{array}{llllllllllllllllll}816 & 925 & 1081 & 1368 & 1678 & 765 & 932 & 1194 & 1608 & 1965 & 789 & 879 & 1077 & 1605 & 1985\end{array}$

 test: ns ns ns ns ns $<.05<.05$ ns ns ns ns ns ns ns $<.05$

