

# Syntactic Theory

## Typed Feature Structures (TFS)

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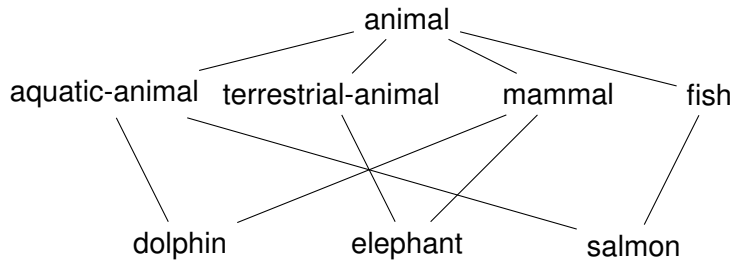
# Type Hierarchy

## Definition

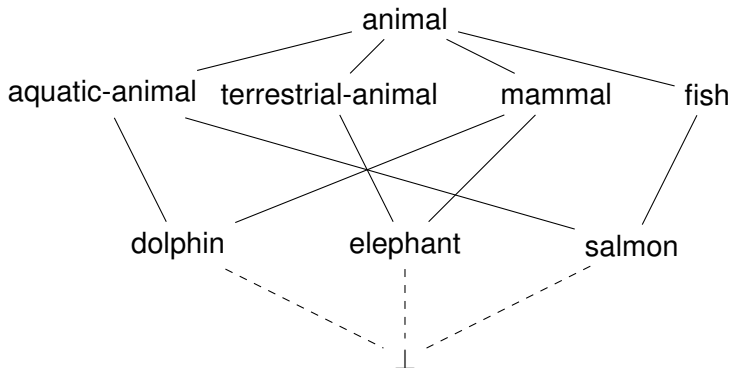
A type hierarchy is a finite bounded complete partial order  $\langle \mathbf{Type}, \sqsubseteq \rangle$

- A type hierarchy describes a classification of feature structures (and the corresponding linguistic objects modeled by the feature structures)
- Multiple inheritance allows classification on multiple dimensions
- Types are occasionally referred to as **sorts**

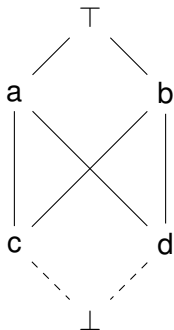
# Type Hierarchy: Example



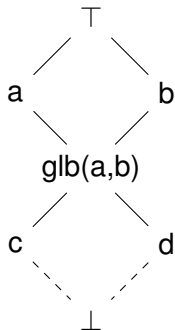
# Type Hierarchy: Example



# Type Hierarchy: Example (CPO $\Rightarrow$ BCPO)



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# Type Subsumption

For two types  $\sigma, \tau \in \mathbf{Type}$ , if  $\sigma \sqsubseteq \tau$ , then

- $\sigma$  **subsumes**  $\tau$
- $\sigma$  is more **general** than  $\tau$ ;  $\tau$  is more **specific** than  $\sigma$
- $\sigma$  is a **supertype** of  $\tau$ ;  $\tau$  is a **subtype** of  $\sigma$
- One unique type subsumes all other types: *\*top\**  $\top$   $\square$
- Types without any subtype (other than itself and  $\perp$ ) are called **maximal types** or **leaf types**
- Subsumption relation is a partial order:
  - Reflexive:  $\sigma \sqsubseteq \sigma$
  - Antisymmetric: if  $\sigma \sqsubseteq \tau$  and  $\tau \sqsubseteq \sigma$  then  $\sigma = \tau$
  - Transitive: if  $\sigma \sqsubseteq \omega$  and  $\omega \sqsubseteq \tau$  then  $\sigma \sqsubseteq \tau$

# Typed Feature Structures

## Definition

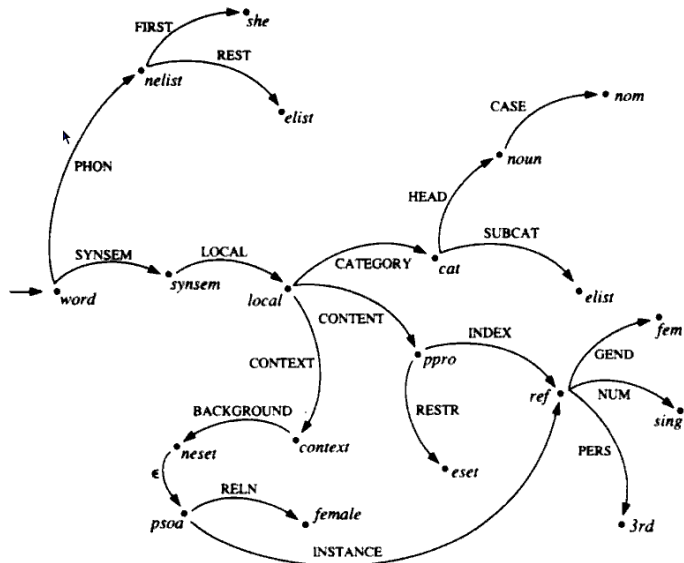
A typed feature structure is defined on a finite set of features **Feat** and a type hierarchy  $\langle \mathbf{Type}, \sqsubseteq \rangle$  as a tuple  $\langle Q, r, \delta, \theta \rangle$ , where:

- $Q$  is a finite set of nodes
- $r \in Q$  is the root node
- $\theta : Q \rightarrow \mathbf{Type}$  is a total typing function
- $\delta : Q \times \mathbf{Feat} \rightarrow Q$  is a partial feature value function

subject to the following conditions:

- $r$  is not a  $\delta$ -descendant
  - all members of  $Q$  except  $r$  are  $\delta$ -descendants of  $r$
- (\*) there is no node  $n$  or path  $\pi$  such that  $\delta(n, \pi) = n$  [non-cyclic]

# Typed Feature Structures: An Example



# Reentrancy

- A path is understood as a sequence of features:  $\pi \in \mathbf{Feat}^+$
- $\delta(n, \pi)$  is the value node starting from  $n$  following path  $\pi$
- If  $\delta(r, \pi) = \delta(r, \pi')$  and  $\pi \neq \pi'$ , i.e. two paths start from the root of the feature structure and point to the same node, then it is said there is a **reentrancy** between path  $\pi$  and  $\pi'$
- Reentrancy is also called **token identity** or **path equivalence**

# Token-Identity v.s. Type-Identity

There is another kind of identity: type identity

## Definition

Two nodes  $n$  and  $n'$  are type-identical when

- $\theta(n) = \theta(n')$
  - For any path  $\pi$ , the value of  $\delta(n, \pi)$  is defined if and only if the value of  $\delta(n', \pi)$  is defined, such that  $\theta(\delta(n, \pi)) = \theta(\delta(n', \pi))$
- 
- The identical values in type identity are specified independently; they are two values that happen to look the same
  - Token-identical values are achieved by structure sharing, i.e. different paths are pointing to the same node in the TFS

# Subsumption of Typed Feature Structures

## Definition

$F$  subsumes  $F'$ , written  $F \sqsubseteq F'$ , if and only if

- $\pi \equiv_F \pi'$  implies  $\pi \equiv_{F'} \pi'$
  - $\mathcal{P}_F(\pi) = t$  implies  $\mathcal{P}_{F'}(\pi) = t'$  and  $t \sqsubseteq t'$
- 
- $\pi \equiv_F \pi'$  means that feature structure  $F$  contains path equivalence or reentrancy between the path  $\pi$  and  $\pi'$ , i.e.  $\delta(r, \pi) = \delta(r, \pi')$  where  $r$  is the root node of  $F$
  - $\mathcal{P}_F(\pi) = \sigma$  means that the type on the path  $\pi$  in  $F$  is  $\sigma$ , in other words  $\theta(\delta(r, \pi)) = \sigma$

# Constraint Function

Types are associated with constraints expressed as typed feature structures

## Definition

Constraint function  $C : \langle \mathbf{Type}, \sqsubseteq \rangle \rightarrow \mathcal{F}$  obeys the following conditions

- **Type** For a given type  $t$ , if  $C(t)$  is the feature structure  $\langle Q, q_0, \delta, \theta \rangle$  then  $\theta(q_0) = t$
- **Monotonicity** Given type  $t_1$  and  $t_2$ , if  $t_1 \sqsubseteq t_2$  then  $C(t_1) \sqsubseteq C(t_2)$
- **Compatibility of constraints** For all  $q \in Q$  the feature structure  $C(\theta(q)) \sqsubseteq F' = \langle Q', q, \delta, \theta \rangle$  and  $Feat(q) = Appfeat(\theta(q))$
- **Maximal introduction of features** For every feature  $f \in \mathbf{Feat}$  there is a unique type  $t$  such that  $f \in Appfeat(t)$  and there is no type  $s$  such that  $s \sqsubset t$  and  $f \in Appfeat(s)$

# Appropriateness of Features

## Definition

If  $C(t) = \langle Q, q_0, \delta, \alpha \rangle$ , then the appropriate features of  $t$  are defined as  $Appfeat(t) = Feat(\langle F, q_0 \rangle)$  where  $Feat(\langle F, q \rangle)$  is defined to be the set of features labeling transitions from the node  $q$  in some feature structure  $F$  i.e.  $f \in Feat(\langle F, q \rangle)$  such that  $\delta(f, q)$  is defined

## Example

*shirt*  $\left[ \begin{array}{l} \text{NECK} \quad \text{length-measure} \end{array} \right]$   
*trousers*  $\left[ \begin{array}{l} \text{WAIST} \quad \text{length-measure} \end{array} \right]$

# Well-formed Feature Structures

## Definition

$F = \langle Q, q_0, \delta, \theta \rangle$  is a well-formed feature structure if and only if for all  $q \in Q$ , we have that  $C(\theta(q)) \sqsubseteq F' = \langle Q', q, \delta, \theta \rangle$  and  $Feat(\langle F, q \rangle) = Appfeat(\theta(q))$

## Example

Typed feature structures described by the following AVMs are ill-formed

$$\begin{array}{l} \text{shirt} \left[ \begin{array}{l} \text{NECK} \quad 65\text{kg} \end{array} \right] \\ \text{trousers} \left[ \begin{array}{l} \text{NECK} \quad 50\text{cm} \end{array} \right] \end{array}$$

# Signature

## Definition

A signature consists of

- A type inheritance hierarchy  $\langle \mathbf{Type}, \sqsubseteq \rangle$
  - A corresponding constraint function  $C : \langle \mathbf{Type}, \sqsubseteq \rangle \rightarrow \mathcal{F}$
- 
- Linguistic theories are developed by describing the inheritance type hierarchy together with proper constraints
  - A constraint-based grammar framework

# Attribute-Value Matrix (AVM)

Attribute-value matrix (AVM) notation is a **description language** to describe sets of feature structures, with the following three building blocks

- **Type** descriptions selects all objects of a particular type
- **Attribute-value pairs** describe objects that have a particular property. The attribute must be appropriate for the particular type, and the value can be any kind of description
- **Tags** to specify **token identity**

$$t1 \left[ \begin{array}{cc} F1 & t2 \\ F2 & \boxed{1} \\ F3 & \boxed{1} \end{array} \right] \left[ \begin{array}{cc} & \\ & t3 \left[ \begin{array}{cc} F4 & t2 \end{array} \right] \end{array} \right]$$

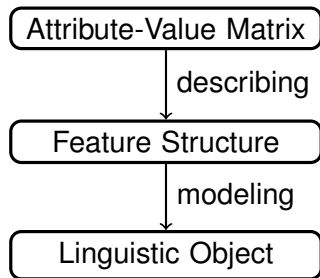
## Attribute-Value Matrix (AVM) cont.

- Attribute-Value Matrix (AVM) is used to describe feature structures
- The order of the rows is not important
- Each attribute can only take one value, hence the following AVM is **improper** and does NOT describe any feature structure

$$\textit{person} \left[ \begin{array}{ll} \text{NAME} & \text{Sandy} \\ \text{AGE} & 29 \\ \text{AGE} & 30 \end{array} \right]$$

- It is common practice to refer to AVMs as “feature structures”, although strictly speaking they are **feature structure descriptions**

# Feature Structure v.s. Feature Structure Description



- Linguistic objects are modeled by feature structures, they are total with respect to the ontology declared in the signature. Technically, one says that these feature structures are
  - **Totally well-formed**: every node has all the attributes appropriate for its type and each attribute has an appropriate value
  - **Type-resolved**: every node is of a maximally specific type
- Each AVM can partially describe a set of feature structures by underspecifying information

# Unification of Typed Feature Structures

## Definition

The unification  $F \sqcup F'$  of two feature structures  $F$  and  $F'$  is the greatest lower bound of  $F$  and  $F'$  in the collection of feature structures ordered by subsumption

## Definition

The well-formed unification  $F \sqcup_{wf} F'$  of two feature structures  $F$  and  $F'$  is the greatest lower bound of  $F$  and  $F'$  in the collection of well-formed feature structures ordered by subsumption

- Unification is the only operation used to process TFSes
- Grammars developed in such frameworks are called unification-based grammars

## Unification of Typed Feature Structures (cont.)

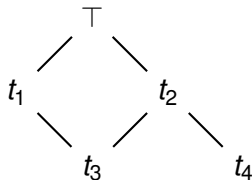
- A special symbol  $\perp$  (bottom) is introduced to denote the failed unification of two incompatible feature structures
- Conceptually,  $\forall \sigma \in \mathbf{Type} \quad \sigma \sqsubseteq \perp$
- The type hierarchy (including  $\perp$ ) is assumed to be a **bounded complete partial order (BCPO)**, so the unification operation is deterministic (glb exists for any pair of types)
- $\sigma \sqsubseteq \tau \Leftrightarrow \sigma \sqcup \tau = \tau$

# Unification of AVMs

- As FSD, the unification of two AVMs  $A_1$   $A_2$  results in a new AVM  $A_3$  that describes the intersecting set of typed feature structures described by both AVMs  $A_1$   $A_2$

## Example

$$\begin{aligned}
 & \textcircled{1} \quad t_4 \left[ \begin{array}{cc} F_1 & t_1 \\ & \end{array} \right] \sqcup t_4 \left[ \begin{array}{cc} F_1 & t_2 \\ F_2 & t_3 \end{array} \right] = \\
 & \textcircled{2} \quad t_4 \left[ \begin{array}{cc} F_1 & t_1 \\ F_2 & t_2 \end{array} \right] \sqcup_{\top} \left[ \begin{array}{cc} F_1 & \boxed{1} \\ F_2 & \boxed{1} \end{array} \right] = \\
 & \textcircled{3} \quad \left[ \begin{array}{ccc} F_1 & \boxed{1} & t_1 \\ F_2 & \boxed{1} & \end{array} \right] \sqcup_{\top} \left[ \begin{array}{ccc} F_2 & \boxed{2} & \\ F_3 & \boxed{2} & t_4 \end{array} \right] =
 \end{aligned}$$



# Unification of AVMs

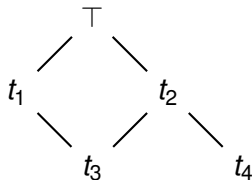
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## Example

$$1 \quad t_4 \begin{bmatrix} F_1 & t_1 \\ & \end{bmatrix} \sqcup t_4 \begin{bmatrix} F_1 & t_2 \\ F_2 & t_3 \end{bmatrix} = t_4 \begin{bmatrix} F_1 & t_3 \\ F_2 & t_3 \end{bmatrix}$$

$$2 \quad t_4 \begin{bmatrix} F_1 & t_1 \\ F_2 & t_2 \end{bmatrix} \sqcup \top \begin{bmatrix} F_1 & \boxed{1} \\ F_2 & \boxed{1} \end{bmatrix} =$$

$$3 \quad \top \begin{bmatrix} F_1 & \boxed{1} & t_1 \\ F_2 & \boxed{1} & \end{bmatrix} \sqcup \top \begin{bmatrix} F_2 & \boxed{2} \\ F_3 & \boxed{2} & t_4 \end{bmatrix} =$$



# Unification of AVMs

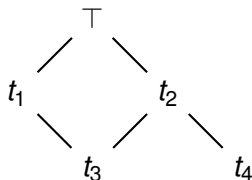
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$$2 \quad t_4 \begin{bmatrix} F_1 & t_1 \\ F_2 & t_2 \end{bmatrix} \sqcup_T \begin{bmatrix} F_1 & \boxed{1} \\ F_2 & \boxed{1} \end{bmatrix} = t_4 \begin{bmatrix} F_1 & \boxed{1} & t_3 \\ F_2 & \boxed{1} & \end{bmatrix}$$

$$3 \quad \begin{bmatrix} F_1 & \boxed{1} & t_1 \\ F_2 & \boxed{1} & \end{bmatrix} \sqcup_T \begin{bmatrix} F_2 & \boxed{2} & t_4 \\ F_3 & \boxed{2} & \end{bmatrix} =$$



# Unification of AVMs

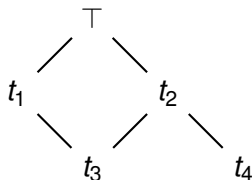
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## Example

$$1 \quad t_4 \begin{bmatrix} F_1 & t_1 \\ & \end{bmatrix} \sqcup t_4 \begin{bmatrix} F_1 & t_2 \\ F_2 & t_3 \end{bmatrix} = t_4 \begin{bmatrix} F_1 & t_3 \\ F_2 & t_3 \end{bmatrix}$$

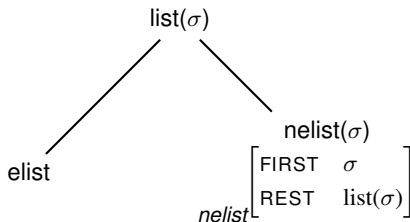
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$$3 \quad \begin{bmatrix} F_1 & \boxed{1} & t_1 \\ F_2 & \boxed{1} \end{bmatrix} \sqcup_T \begin{bmatrix} F_2 & \boxed{2} \\ F_3 & \boxed{2} & t_4 \end{bmatrix} = \perp$$

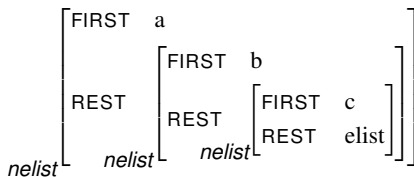


# Lists in Typed Feature Structures

- Ordered list can be described using the following type hierarchy and constraints



- For convenience, we use notation  $\langle A, B, C \rangle$  to denote



# Sets in Typed Feature Structures

- Type  $set(\sigma)$  is used to describe **sets** of feature structures of type  $\sigma$
- Notation  $\{a, b, c\}$  is used to describe set membership
- Formally introducing **sets** in typed feature structures involves a fair amount of technical complications ([Carpenter, 1992])
- For our purpose, an intuitive understanding is sufficient
- In some implementations, lists are used to simulate sets

# References I



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