

# Semantic Theory

## week 10 – Presuppositions

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## Back to: Entailment

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A sentence A entails a sentence B ( $A \models B$ ) iff whenever A is true, then B must also be true.

Entailment is a relation between the *propositions* expressed by the two sentences A and B:

- (1) *John and Mary failed the test*  $\models$  *Mary failed the test*
- (2) *John or Mary failed the test*  $\models$  *Someone failed the test*
- (3) *John is an intelligent student*  $\models$  *John is a student*
- (4) *Every student works*  $\models$  *Every blond student works*

# More examples of entailment?

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- (1) *The mathematician who proved Goldbach's conjecture was a woman*  
 $\models?$  *Someone proved Goldbach's conjecture*
- (2) *Mary loves her husband*  
 $\models?$  *Mary has a husband / is married*
- (3) *It was Mary who broke the typewriter*  
 $\models?$  *Somebody broke the typewriter*
- (4) *John kissed every girl at the party*  
 $\models?$  *There were girls at the party*

# Entailment vs. Presupposition

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## Entailment:

- (1) *John and Mary failed the test*  $\models$  *Mary failed the test*
- (2) *It's not the case that John and Mary failed the test*  $\not\models$  *Mary failed the test*

## Presupposition:

- (3) *The mathematician who proved Goldbach's conjecture was a woman*  
 $\gg$  *Someone proved Goldbach's conjecture*
- (4) *It's not the case that the mathematician who proved Goldbach's conjecture was a woman*  
 $\gg$  *Someone proved Goldbach's conjecture*

# What are presuppositions?

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“A *presupposition* of a statement is a proposition that must be true in order for the statement to be interpretable (to make sense) in the first place.”

“A *presupposition* is an implicit assumption about the world whose truth is taken for granted by the speaker.”

## *Back to:* definite descriptions

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(1) *The chancellor decides*

“there is exactly one chancellor, and (s)he decides”

$\mapsto \exists x(\forall y(\text{chancellor}'(y) \leftrightarrow x = y) \wedge \text{decide}'(x))$

*the chancellor*  $\mapsto \lambda G \exists x(\forall y(\text{chancellor}'(y) \leftrightarrow x = y) \wedge G(x))$

*the*  $\mapsto \lambda F \lambda G \exists x(\forall y(F(y) \leftrightarrow x = y) \wedge G(x))$

# Definite descriptions and compositionality

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(2) *It is not the case that the chancellor decides*

Compositional analysis of the sentence leads to:

$\neg \exists x (\forall y (\text{chancellor}'(y) \leftrightarrow x = y) \wedge \text{decide}'(x))$

$\rightsquigarrow$  “Either there is no chancellor, or more than one, or there is exactly one chancellor and she doesn’t decide.”

Correct representation for the sentence:

$\exists x (\forall y (\text{chancellor}'(y) \leftrightarrow x = y) \wedge \neg \text{decides}'(x))$

$\rightsquigarrow$  “There is exactly one chancellor, and she doesn’t decide.”

# Two types of meaning information

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A sentence (containing a definite description) contains meaning information of two different types:

**Presupposition:** the requirements that the context must satisfy for the sentence to be interpretable at all.

**Assertion:** the claims that are made, based on the context.

(1) *The chancellor decides*

$\exists x(\forall y(\text{chancellor}'(y) \leftrightarrow x=y) \wedge \text{decides}'(x))$

“There is exactly one chancellor, and she decides.”



# Presuppositions and Negation

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(2) *It is not the case that the chancellor decides*

$$\exists x(\forall y(\text{chancellor}'(y) \leftrightarrow x=y) \wedge \neg \text{decides}'(x))$$

“There is exactly one chancellor, and she doesn't decide.”

- Negation only affects the assertion, not the presupposition
- The presupposition is interpreted as if it were introduced outside the scope of the negation; this is called *projection*
- We can use the property of projection to test for presuppositions.

# Examples of presupposition triggers (1/3)

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[Notation: “A » B” means “A presupposes B”]

## Definite descriptions

- (1) *(It's not the case that) the king of France is bald.*  
» *There is a unique king of France*
- (2) *Mary loves / doesn't love her husband*  
» *Mary has a husband*
- (3) *(It's not the case that) Mary's brother bought a house*  
» *Mary has a brother*

## Quantifiers

- (4) *John kissed / didn't kiss every girl at the party*  
» *There were girls at the party*

# Examples of presupposition triggers (2/3)

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## Factive verbs (regret, realise, being aware, ...)

(5) *John regrets that Pola is married*

» *Pola is married*

(6) *John realised that he was in debt*

» *John was in debt*

## Implicative verbs (manage to, forget to, ...)

(7) *John forgot to close the door*

» *John intended to close the door*

(8) *John managed to close the door*

» *John tried to close the door*

# Examples of presupposition triggers (3/3)

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## Aspectual verbs and items

(9) *John has **stopped** smoking*  
» *John used to smoke*

(10) *John opened the window **again***  
» *The window was open/The window was opened by John before*

## It-Clefts

(11) ***It was** John **who** ate the cake*  
» *Somebody ate the cake*

## Sentence particles

(12) ***Only** John came to the party*  
» *John came to the party*

# Presupposition Projection

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Presuppositions do not only “survive” negation, but also other kinds of embeddings:

(1) *The chancellor decides or the states' prime ministers decide*

» *There is a (exactly one) chancellor*

(2) *John possibly regrets that Mary is married*

» *Mary is married*

(3) *Mary believes that John has stopped smoking*

» *John used to smoke*

# Presupposition Filtering

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There are contexts that can “neutralise” or *filter* some presuppositions; they block projection of these presuppositions:

(1) *If John is out of town, then his wife is unhappy*

» *John has a wife / is married*

(2) *If John is married, then his wife is unhappy*

» ~~*John is married*~~

(3) *If John is married, then his daughter is unhappy*

» *John has a daughter*

# Presupposition Cancellation

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In the context of negation, presuppositions can be overwritten or “cancelled” by explicitly claiming that they are false.

- (1) *John doesn't regret that Mary is married. Mary has no husband, and John knows that.*
- (2) *It's not the case that the king of France is bald. France is a republic.*

## The projection problem:

Under what conditions does a sentence containing a presupposition trigger inherit this presupposition?

→ Presuppositions and compositionality: how to explain the presuppositions complex sentences in terms of the presuppositions of their parts?

# The Russell-Strawson debate

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- *The king of France is bald*

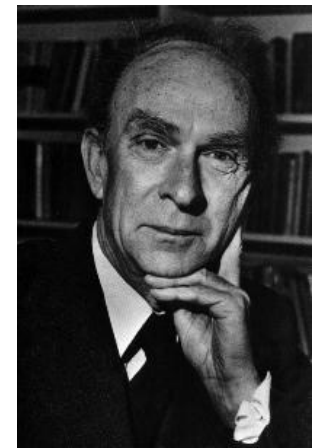
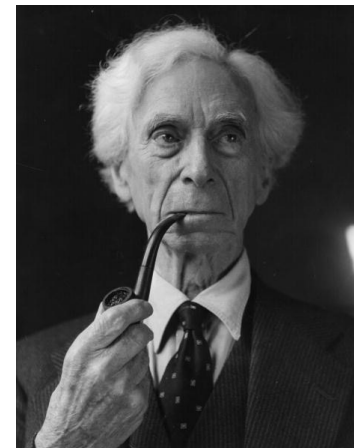
What truth-value should we assign to this sentence?

“**False** because there is no king of France”

Russell, B., 1905. “On Denoting,” *Mind*

“**Undefined** because we cannot check whether the statement is true or false”

Strawson, P.F., 1950. “On Referring,” *Mind*





# Summary: Presuppositions

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- Presuppositions are triggered by a number of different words and linguistic constructions, including definite noun phrases.
- Presuppositions behave differently than assertions in semantics construction: They are typically projected unchanged, rather than used in functional application.
- Projected presuppositions can be filtered in the semantic composition process, and can be cancelled by contextual knowledge.