# Semantic Theory week 6 – Events and Roles

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# Davidson's event semantics

Verbs expressing events have an additional event argument, which is not realised at linguistic surface:

• kill  $\mapsto \lambda y \lambda x \lambda e(kill'(e,x,y)) :: \langle e, \langle e, \langle e, t \rangle \rangle \rangle$  arity = n+1

Sentences denote sets of events:

•  $\lambda y \lambda x \lambda e(kill'(e,x,y))(b')(g') \Rightarrow^{\beta} \lambda e(kill'(e, g', b')) :: \langle e,t \rangle$ 

Existential closure turns sets of events into truth conditions

- $\lambda P \exists e(P(e)) :: \langle \langle e, t \rangle, t \rangle$
- $\lambda P \exists e(P(e))(\lambda e(kill'(e,g',b'))) \Rightarrow^{\beta} \exists e(kill'(e,g',b')) :: t$



# Interpreting events

Events are interpreted relative to a model structure  $M = \langle U, E, V \rangle$ , and a sort-specific variable assignment g, where

- U is a set of "standard individuals" or "objects"
- E is a set of events
- $U \cap E = \emptyset$ ,
- V is an interpretation function like in first order logic
- $g(x) \in U$  for  $x \in VAR_U$  VAR<sub>U</sub> = { x, y, z, ..., x<sub>1</sub>, x<sub>2</sub>, ... } (Object variables)
- $g(e) \in E$  for  $e \in VAR_E$  VAR<sub>E</sub> = { e, e', e", ..., e<sub>1</sub>, e<sub>2</sub>, ... } (Event variables)

## Interpreting events (cont.)

John kisses Mary → ∃e (kiss(e, j', m'))

$$\label{eq:main_set} \begin{split} & [\![ \exists e \ (kiss(e,\,j',\,m')) \ ]\!]^{M,g} = 1 \\ & \textit{iff there is an } s \in E \ \text{such that} \ [\![ kiss(e,\,j',\,m') \ ]\!]^{M,g[e/s]} = 1 \\ & \textit{iff there is an } s \in E \ \text{such that} \ \langle s, \ V_M(j'), \ V_M(m') \rangle \in V_M(kiss) \end{split}$$



#### Advantages of Davidsonian events

- ✓ Intuitive representation and semantic construction for adjuncts
- ✓ Uniform treatment of verb complements
- ✓ Uniform treatment of adjuncts and post-nominal modifiers
- ☑ Coherent treatment of tense information
- Highly compatible with analysis of semantic roles

# Verbal arguments; a related problem?

(1) John broke the window with a rock.

(2) A rock **broke** the window.

(3) The window **broke**.

And we're back to the same entailment issue:

 $\exists e(break_3(e, j, w, r)) \nvDash \exists e(break_2(e, r, w)) \nvDash \exists e(break_1(e, w))$ 

# Semantic/Thematic roles

 agent
 patient
 instrument

 (1) John broke the window with a rock

 ← ∃e [break(e) ∧ agent(e, j) ∧ patient(e, w) ∧ instrument(e, r)]

 (2) A rock broke the window.

 ← ∃e [break(e) ∧ patient(e, w) ∧ instrument(e, r)]

 (3) The window broke.

 ← ∃e [break(e) ∧ patient(e, w)]

In standard FOL: Thematic roles are implicitly represented by the canonical order of the arguments

In Davidsonian event semantics: Thematic roles are two-place relations between the event denoted by the verb, and an argument role filler.

#### Interpretation of events with thematic roles

John kisses Mary  $\mapsto \exists e (kiss(e) \land agent(e, j') \land patient(e, m'))$ 

$$\label{eq:main_set} \begin{split} & [\exists e \ (kiss(e) \land agent(e, j') \land patient(e, m')) \ ]^{M,g} = 1 \\ & \textit{iff there is an } s \in E \ such \ that \ [kiss(e)]^{M,g[e/s]} = 1 \ and \ [agent(e, j')]^{M,g[e/s]} = 1 \\ & and \ [patient(e, m')]^{M,g[e/s]} = 1 \\ & \textit{iff there is an } s \in E \ such \ that \ s \in V_M(kiss) \ and \ \langle s, V_M(j') \rangle \in V_M(agent) \end{split}$$

and  $\langle s, V_M(m') \rangle \in V_M(patient)$ 



# Thematic roles & verbal differences/similarities

Different verbs allow different thematic role configurations

- (1) a. John broke the window with a rock → agent, patient, instrument
   b. John smiled at Mary → agent, recipient
- (2) a. The window **broke** allows inanimate subject

Thematic roles capture equivalences and entailment relations between different predicates

(3) a. Mary gave Peter the book
b. Peter received the book from Mary
∀e[give(e) ↔ receive(e)] ⊨ (3a) ↔ (3b)

## Determining the role inventory

Fillmore (1968): "thematic roles form a small, closed, and universally applicable inventory conceptual argument types."

A typical role inventory might consist of the roles:

• Agent, Patient, Theme, Recipient, Instrument, Source, Goal, Beneficiary, Experiencer.

But... there are some difficult cases:

- (1) Lufthansa is replacing its 737s with Airbus 320
- (2) John sold the car to Bill for 3,000€
- (3) Bill bought the car from John for 3,000€

# Semantic corpora with thematic roles

- Propbank: includes a separate role inventory for every lemma
- FrameNet: "Frame-based" role inventories

Frames are structured schemata representing complex prototypical situations, events, and actions

(1) [Agent Lufthansa] is replacing Frame: REPLACING [Old its 737s] [New with Airbus A320s]

(2) [Agent Lufthansa] is substituting Frame: REPLACING [New Airbus A320s] [Old for its 737s]

# Semantic corpora with thematic roles (cont.)

**Propbank** (Palmer et al. 2005): Annotation of Penn TreeBank with predicate-argument structure.

- (1) [Arg0 Lufthansa] is replacing [Arg1 its 737s] [Arg2 with Airbus A320s]
- (2) [Arg0 Lufthansa] is substituting [Arg1 Airbus A320s] [Arg2 for its 737s]

**FrameNet** (Baker et al. 1998): A database of frames and a lexicon with frame information

- (3) [Agent Lufthansa] is replacingFrame: REPLACING [Old its 737s] [New with Airbus A320s]
- (4) [Agent Lufthansa] is substitutingFrame: REPLACING [New Airbus A320s] [Old for its 737s]

Pred Arg0 Arg1 Arg2	replace Lufthansa its737s AirbusA320s
Pred	substitute
ArgO	Lufthansa
Argl	AirbusA320s

its737s

Arg<sub>2</sub>

Frame	REPLACING
Agent	Lufthansa
Old	its737s
New	AirbusA320s

#### Advantages of Davidsonian events

- ✓ Intuitive representation and semantic construction for adjuncts
- ✓ Uniform treatment of verb complements
- ✓ Uniform treatment of adjuncts and post-nominal modifiers
- ✓ Plausible treatment of tense information
- Compatible with analysis of semantic roles

... but how does it combine with other semantic constructs?

# A problem with events and quantification

John kissed Mary

 $\mapsto \lambda P.P(j') [ \lambda P.P(m')(\lambda y \lambda x \lambda e [kiss(e) \land agent(e,x) \land patient(e,y)]) ]$ 

 $\Rightarrow^{\beta} \lambda e [kiss(e) \land agent(e,j') \land patient(e,m')]$ 

 $\Rightarrow^{\text{E-CLOS}} \exists e \text{ [kiss(e) } \land \text{ agent(e,j') } \land \text{ patient(e,m')]}$ 

John kissed every girl

 $\mapsto \lambda P.P(j') [ \lambda P. \forall x(girl'(x) \rightarrow P(x))(\lambda y \lambda x \lambda e [kiss(e) \land agent(e, x) \land patient(e, y)]) ]$ 

 $\Rightarrow^{\beta} \lambda e [\forall x(girl'(x) \rightarrow kiss(e) \land agent(e,j') \land patient(e,x)]$ 

 $\Rightarrow^{\text{E-CLOS}} \exists e [\forall x(girl'(x) \rightarrow kiss(e) \land agent(e,j') \land patient(e,x)]$ 

# Two solutions to the event quantification problem

#### Solution I

Interpret sentences as generalized quantifiers over events:  $\langle \langle e,t \rangle, t \rangle$  instead of  $\langle e,t \rangle$  (E-CLOS part of lexical semantics) (Champollion, 2010; 2015)

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kiss \mapsto \lambda F_{\langle v,t \rangle}. \exists e \text{ (kiss(e) } \land F(e)\text{)} :: \langle \langle v,t \rangle,t \rangle \approx \{ F \mid F \cap KISS \neq \emptyset \}
solution II
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Introduce separate types for regular NPs and quantified NPs, and restrict existential closure to regular NPs (Winter & Zwarts, 2011; de Groote & Winter, 2014)

john  $\mapsto$  j :: e every girl  $\mapsto \lambda P \lambda Q. \forall x(girl(x) \rightarrow Q(x)) :: \langle \langle e, t \rangle, \langle \langle e, t \rangle, t \rangle \rangle$ kiss  $\mapsto \lambda x \lambda y \lambda e. kiss(e, x, y) :: \langle e, \langle e, \langle v, t \rangle \rangle$ e-clos  $\mapsto \lambda P. \exists e(P(e)) :: \langle \langle v, t \rangle, t \rangle$ separate type for events!

#### Solution I: Sentences as GQs over events



(Champollion, 2010; 2015)

#### Solution II: Type-restriction for existential closure

$$\frac{\vdash \text{EVERY} : N \to (NP \to S) \to S \qquad \vdash \text{GIRL} : N}{\vdash \text{EVERY GIRL} : (NP \to S) \to S}$$
(1)

$$\frac{\vdash \text{KISSED} : NP \to NP \to V \qquad x : NP \vdash x : NP}{x : NP \vdash \text{KISSED} x : NP \to V \qquad \qquad \vdash \text{JOHN} : NP}_{x : NP \vdash \text{KISSED} x \text{ JOHN} : V}$$
(2)

$$\frac{\vdash \text{E-CLOS} : V \to S \qquad x : NP \vdash \text{KISSED } x \text{ JOHN} : V}{x : NP \vdash \text{E-CLOS} (\text{KISSED } x \text{ JOHN}) : S} \\
\frac{\downarrow \text{L} \lambda x. \text{E-CLOS} (\text{KISSED } x \text{ JOHN}) : NP \to S}{\vdash \lambda x. \text{E-CLOS} (\text{KISSED } x \text{ JOHN}) : NP \to S} (3)$$

.

$$\begin{array}{c} \vdots & {}^{(1)} \\ \vdash \text{ every Girl} : (NP \to S) \to S \\ \hline \vdash \lambda x. \text{ e-clos} (\text{kissed } x \text{ john}) : NP \to S \\ \hline \vdash \text{ every Girl} (\lambda x. \text{ e-clos} (\text{kissed } x \text{ john})) : S \end{array}$$

(Winter & Zwarts, 2011; de Groote & Winter, 2014)