# **Semantic Theory**

# Lecture 4: Further topics in sentence semantics

M. Pinkal / A. Koller Summer 2006

# Semantics of $\lambda$ -expressions

- If  $\alpha \in WE_{\tau}$ ,  $v \in Var_{\sigma}$ , then  $[[\lambda v\alpha]]^{M,g}$  is that function  $f: D_{\sigma} \to D_{\tau}$  such that for all  $a \in D_{\sigma}$ ,  $f(a) = [[\alpha]]^{M,g[v/a]}$
- Notice that of course  $f \in D_{\langle \sigma, \tau \rangle}$ .
- In general: [[( $\lambda \nu \alpha$ )( $\beta$ )]]  $^{M,g}$  = [[ $\alpha$ ]]  $^{M,g[\nu']}$ [[ $\beta$ ]] $^{M,g}$ ]

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!

## Conversion rules in the $\lambda$ -calculus

• β-conversion:

 $\lambda \nu \alpha(\beta) \Leftrightarrow {}^{[\beta/\nu]}\alpha$ , if all free variables in  $\beta$  are free for  $\nu$  in  $\alpha$ .

• α-conversion:

 $\lambda \nu \alpha \Leftrightarrow \lambda \nu'^{[\nu'/\nu]} \alpha$ , if  $\nu'$  is free for  $\nu$  in  $\alpha$ .

• η-conversion:

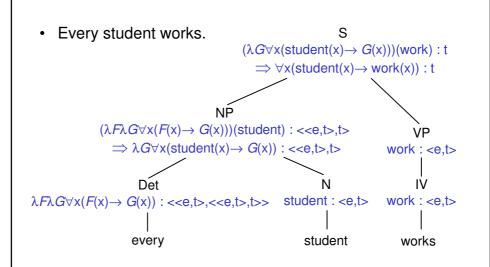
 $\lambda v\alpha(v) \Leftrightarrow \alpha$ 

The rule which we will use most in semantics construction is  $\beta$ -conversion in the left-to-right direction ( $\beta$ -reduction), which allows us to simplify representations.

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## Semantics construction



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## This week: Conservative extensions

- · Semantics construction for further constructions:
  - adjectives
  - transitive verbs
- Extensions to the logic:
  - intensionality
  - tense and modality

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# The problem with adjective semantics

John is a blond criminal criminal(j) \( \simes \text{blond(j)} \)

John is an honest criminal criminal(j) ∧ honest(j) ?

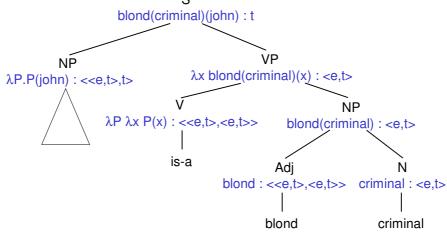
John is an alleged criminal

criminal(j) \( \lambda \) alleged(j) ??

. but this one is actually ok!

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# Another problem with adjective semantics



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## Adjective classes

- Adjectives can be classified with respect to the way that they combine the adjective and noun meanings:
  - intersective adjectives (blond, carnivorous, ...):|| blond N || = ||blond|| ∩ ||N||
  - subsective adjectives (skillful, typical, ...): || skillful  $N || \subseteq ||N||$
  - privative adjectives (past, fake, ...): || past N ||  $\cap$  ||N|| =  $\emptyset$
  - there are also other non-subsective adjectives that are not privative (alleged, ...)

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## A new problem with adjectives

- · We want the best of both worlds:
  - compositional semantics construction
  - explicit and meaningful final semantic representations
- We don't have this yet for intersective adjectives.
- · We can get this in two different ways:
  - use meaning postulates
  - use more explicit lambda terms

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## Meaning postulates

- Characterise the meaning of a word by using logical axioms.
- Meaning postulate for intersective adjectives:
  - $-\exists P\forall Q\forall x \ blond(Q)(x) \leftrightarrow P(x) \land Q(x)$
- These axioms would be part of our background knowledge.
- For example, we could infer "criminal(john)" from "blond(criminal)(john)" and this axiom.
- More generally applicable for other words.

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## More explicit lambda terms

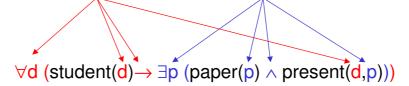
- In the special case of intersective adjectives, we can also do it by assigning the word a more elaborate lambda term:
  - $-\lambda P\lambda x (P(x) \wedge blond^*(x))$
- · This will beta-reduce to the formula we want.
- Note that the symbol "blond\*" has type <e,t> here (and should denote the set of blond individuals in the universe), but the entire semantic representation of the word "blond" has type <<e,t>,<e,t>>.

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## Back to nouns and verbs

Every student presented a paper

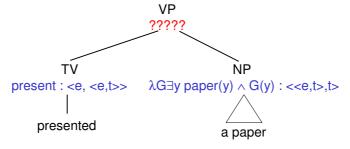


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## Quantificational NPs and transitive verbs

## A composition problem:

- every student  $\Rightarrow \lambda F \forall x (student(x) \rightarrow F(x)): <<e,t>,t>$
- $a paper \Rightarrow \lambda G \exists y(paper(y) \land G(y)): <<e,t>,t>$
- presented ⇒ present: <e,<e,t>>

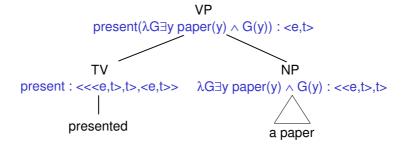


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# An attempt at a solution

Raise the type of the first-order relation:



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## Spelling out the meaning of a transitive verb

 But now our semantic representation no longer betareduces to a FOL formula!

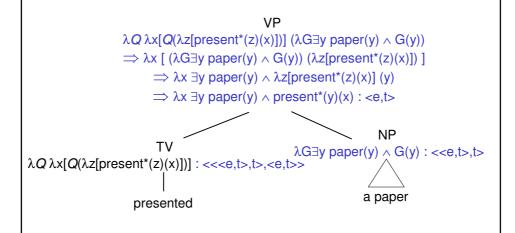
 $\forall x \ student(x) \rightarrow present(\lambda G \exists y \ paper(y) \land G(y))(x)$ 

- · Same problem as above, same solution.
- Represent transitive verbs like "present" as follows:
   λQ λx[Q(λy[present\*(y)(x)])]: <<<e,t>,t>,<e,t>>,
   where present\*: <e,<e,t>>
- This is hard to read, but it does the trick.

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## Spelling out the meaning of a transitive verb



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## Non-referential arguments

- John finds a unicorn  $= \exists x \text{ unicorn'}(x)$
- John seeks a unicorn |≠ ∃x unicorn'(x)
- Subject position of verbs is always referential.
- Direct object position of some verbs is referential, of some other verbs isn't.
- That is, not all transitive verbs can be spelled out in the same way as "present" or "find".
- I.e. there are linguistic reasons why the monster type for transitive verbs makes sense.

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## Let's look back at compositionality

- Principle of Compositionality:
  - The meaning of a complex expression is uniquely determined by the meanings of its sub-expressions and its syntactic structure.
- But if we assume this, we should be allowed to exchange sub-expressions with the same interpretation without changing the meaning ("salva veritate" substitutability).

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## Substitutability

- From the denotational version of the Principle of Compositionality, a substitution principle follows:
- If A is sub-expression in sentence C, and A and B have identical denotation, then A can be replaced by B in C without affecting C's truth value.

George W. Bush is married to Laura Bush.

"George W. Bush" = "the American president"

Therefore:

The American president is married to Laura Bush.

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## Substitutability?

In 1977, George W. Bush married Laura Bush.

"George W. Bush" = "the American president"

Therefore:

In 1977, the American president married Laura Bush.

??

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# Substitutability?

In 1977, the American president was a Democrat.

"George W. Bush" = "the American president"

Therefore:

In 1977, George W. Bush was a Democrat. ???

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# Substitutability?

By constitution, the American president is the Supreme Commander of the Armed Forces.

"George W. Bush" = "the American president" Therefore:

By constitution, George W. Bush is the Supreme Commander of the Armed Forces. ???

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## Substitutability?

The following sentences are true:

- The weather is bad
- A semantics lecture is taking place
- -2 + 2 = 4

#### How about these?

- It is not the case that ...
- Necessarily ...
- Yesterday, it was the case that ...
- John believes that ...

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## The lesson

- There are expressions that our semantic representations assign the same interpretation, but which cannot always be exchanged for each other without changing the meaning of the sentence.
- · Two possibilities:
  - we have to give up compositionality
  - there are meaning distinctions that our representations don't capture

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## Extensions vs. intensions

- Two concepts have the same extension if they have the same interpretations:
  - "semantics lecture taking place" and "2 + 2 = 4" are both true right now
  - "George W. Bush" and "the US president" refer to the same individual
- However, extensionally equal concepts may still have different "senses": General truths vs. statements that may become false; can believe in one but not the other...
- These senses are also called intensions.

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## Intensions are everywhere

- If we ignore intensions, we will get into trouble with substitutability in a lot of contexts:
  - propositional attitudes: verbs like "believe", "know", "doubt", "desire", ...
  - verbs of saying: "say", "claim", ...
  - tensed sentences (past, future, ...), temporal adverbs (sometimes, always, lately, tomorrow) and connectives (before, during)
  - modal adverbs (necessarily, perhaps), modal verbs (can, may, must, ...), counterfactual conditionals

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## Modelling intensions

- In order to capture the meaning of a NL expression completely, we must extend the logic to talk about intensions.
- Standard technique:
  - Introduce the concept of a "possible world";
  - define the extension of a term in each possible world;
  - the intension is the mapping of possible worlds to extensions.

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## Formal models of intensionality

- Intensional Logic (IL): Extend type theory with
  - mechanisms for talking about possible worlds (modal logic)
  - mechanisms for talking about time (temporal logic)
  - mechanisms for abstracting over possible worlds
- Montague Grammar: use IL in semantics construction (basic ideas as presented here)
- We will now look into modal and temporal aspects in some more detail.

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# Propositional Modal Logic

- Formulas of propositional modal logic: The smallest set such that:
  - Propositional constants are in For
  - If A, B are in For, so are ¬ A,  $(A \land B)$ ,  $(A \lor B)$

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## Model Structure

• Model structure for propositional modal logic:

 $M = \langle W, V \rangle$ 

- W is a non-empty set (set of possible worlds)
- V is value assignment function, which assigns each propositional constant a function W →  $\{0,1\}$ For V(p)(w) we also write  $V_w(p)$  or  $V_{M,w}(p)$ .

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# Interpretation

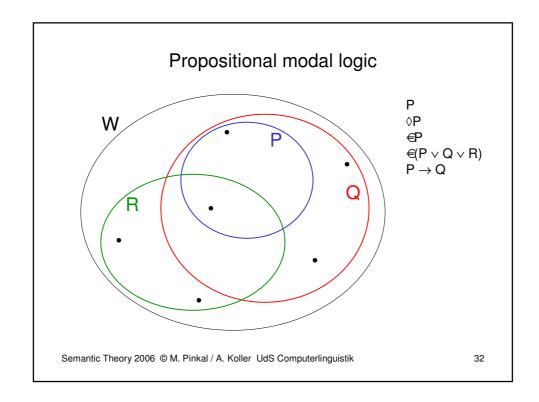
Interpretation of formulas (with respect to model structure M and possible world w):

 $[[p]]^{M,w} = V_M(p)(w)$ , if p propositional constant  $[[\neg \phi]]^{M,w} = 1$ iff  $[[\phi]]^{M,w} = 0$  $[[\phi \wedge \psi]]^{M,w} = 1$  $[[\phi]]^{M,w} = 1$  and  $[[\psi]]^{M,w} = 1$ [[ $\phi$ ]]  $^{M,w}$  = 1 or [[ $\psi$ ]]  $^{M,w}$  = 1  $[[\phi \vee \psi]]^{M,w} = 1$  $[[\phi \to \psi]]^{\ M,w} = 1$ iff [[ $\phi$ ]]  $^{M,w} = 0$  or [[ $\psi$ ]]  $^{M,w} = 1$  $[[\phi \leftrightarrow \psi]]^{\ M,w} = 1$  $[[\phi]]^{\mathsf{M},\mathsf{w}} = [[\psi]]^{\mathsf{M},\mathsf{w}}$  $[[\lozenge \ \phi]] \ ^{M,w} = 1$ iff [[ $\phi$ ]]  $^{M,w'}$  = 1 for at least one  $w' \in W$  $[[\in\!\phi]]^{M,w}=1$ 

 $[[\phi]]^{M,w'} = 1$  for all  $w' \in W$ 

iff

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# Substitutability?

Let the following sentences be true:

- The weather is bad
- A semantics lecture is taking place.
- -2+2=4

It is not the case that ...

Necessarily ...

Yesterday, it was the case that ...

John believes that ...

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# Propositional Tense Logic

- Formulas of propositional tense logic: The smallest set such that:
  - Propositional constants are in For
  - If A, B are in For, so are ¬ A, (A $\land$ B), (A $\lor$ B), (A $\rightarrow$ B),(A $\leftrightarrow$ B), **F**A, **G**A, **P**A, **H**A

**F**A – "it will at some stage be the case that A"

GA - "it is always going to be the case that A"

 ${f P}{f A}$  – "it was at some stage the case that  ${f A}$ "

**H**A – "it always has been the case that A"

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## Model Structure

 Model structure for propositional tense logic (with linear time):

```
M = \langle T, \langle, V \rangle
```

- T is non-empty set (set of points in time)
- < is a strict ordering relation on T
- V is value assignment function, which assigns each propositional constant a function T → {0,1}
   For V(p)(t) we also write V<sub>t</sub>(p) or V<sub>M t</sub>(p)

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## Interpretation

Interpretation of formulas (with respect to model structure M and time t):

```
[[p]]^{M,t} \ = V_M(p)(t), \text{ if } p \text{ propositional constant}
```

```
[[\neg \phi]]^{M,t} = 1
                                                            \lceil \lceil \phi \rceil \rceil^{M,t} = 0
                                          iff
[[\phi \wedge \psi]]^{M,t} = 1
                                                            [[\phi]]^{M,t} = 1 and [[\psi]]^{M,t} = 1
[[\phi \vee \psi]]^{M,t} = 1
                                                            [[\phi]]^{M,t} = 1 \text{ or } [[\psi]]^{M,t} = 1
[[\phi \rightarrow \psi]]^{M,t} = 1
                                                            [[\phi]]^{M,t} = 0 \text{ or } [[\psi]]^{M,t} = 1
                                          iff
[[\phi \leftrightarrow \psi]]^{M,t} = 1
                                          iff
                                                            [[\phi]]^{M,t} = [[\psi]]^{M,t}
[[F\phi]] ^{M,t} = 1 iff
                                            [[\phi]]^{M,t'} = 1 for at least one t'>t
                                            [[\phi]] ^{M,t'} = 1 for all t'>t
[[\mathbf{G}\boldsymbol{\varphi}]] ^{M,t}=1 iff
                                            [[\phi]] ^{M,t'} = 1 for at least one t'<t
[[\mathbf{P}\phi]] M,t = 1 iff
[[\boldsymbol{H}\boldsymbol{\phi}]]^{M,t}=1\quad iff\quad
                                            [[\phi]]^{M,t'} = 1 for all t'<t
```

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# Propositional tense logic



 $\begin{aligned} & \textbf{FP} \\ & \textbf{HQ} \\ & \textbf{P}(P \wedge \textbf{FQ}) \\ & \textbf{FP} \vee P \vee \textbf{PP} \end{aligned}$ 

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# Substitutability?

Let the following sentences be true:

- The weather is bad
- A semantics lecture is taking place.
- -2+2=4

It is not the case that ...

Necessarily ...

Yesterday, it was the case that ...

John believes that ...

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# Propositional Logic with Tense and Modality

- · Syntax: Tense + modal operators
- Model structure: M = <W, T, <, V> with
   V(p): W×T → {0,1}
   alternative notation: V<sub>M.w.t</sub>(p)
- Interpretation with respect to M, w and t.

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## Semantics for FOL with tense and modalities

- Model structure:  $M = \langle U, W, T, \langle, V \rangle$ 
  - V (or  $V_{\text{M}})$  is value assignment function for non-logical constants, which assigns
    - individuals  $(\in U_M)$  to individual constants
    - functions W×T → U<sup>n</sup> to n-place relational constants
- Assignment function for variables g: IV → U<sub>M</sub>

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# Interpretation of Terms

• Interpretation of terms (with respect to model structure M and variable assignment g):

```
\label{eq:main_map} \begin{split} [[\alpha]] \ ^{M,g,w,t} = \ V_M(\alpha), \ \text{if} \ \alpha \ \text{individual constant} \\ [[\alpha]] \ ^{M,g,w,t} = \ g(\alpha), \ \text{if} \ \alpha \ \text{variable} \end{split}
```

· Notice: Interpretation of terms doesn't depend on the world and time.

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# Interpretation

• Interpretation of formulas (with respect to model structure M, variable assignment g, world w and time t):

```
[[R(t_1, ..., t_n)]] M,g,w,t = 1 iff
                                                       \langle [[t_1]] \stackrel{M,g,w,t}{,} ..., [[t_n]] \stackrel{M,g,w,t}{\rangle} \in \ V_M(R)(w,t)
[[s{=}t]]^{M,g,w,t} \ = 1
                                                       [[s]] \stackrel{M,g,w,t}{=} [[t]] \stackrel{M,g,w,t}{=}
[[\neg \phi]]^{M,g,w,t} \ = \ 1
                                                                       [[\phi]]^{M,g,w,t} = 0
[[\phi \wedge \psi]]^{M,g,w,t} \; = \; 1
                                       iff
                                                        [[\phi]]^{M,g,w,t} = 1 and [[\psi]]^{M,g,w,t} = 1
etc.
[[\exists x \phi]]^{M,g,w,t} = 1
                                                        there is a \in U_M such that [[\phi]]^{M,g[x/a],w,t} = 1
                                       iff
[[\forall x\phi]]^{M,g,w,t} = 1
                                       iff
                                                        for all a \in U_M: [[\phi]]^{M,g[x/a],w,t} = 1
                                                         [[\phi]] ^{M,g,w,t'} = 1 for at least one t'>t
[[\textbf{F}\phi]] \ ^{M,g,w,t} = 1
etc.
                                                         [[\phi]] ^{M,g,w',t} = 1 for all w' \in W , etc.
[[\in\!\!A]]^{M,g,w,t}=1
                                       iff
```

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## Non-Substitutability: Explained!

In 1977, George W. Bush married Laura Bush.

"George W. Bush" = "the American president"

Therefore: In 1977, the American president married

Laura Bush. ??

George W. Bush has always been married to Laura Bush.

George W. Bush is the American president.

Therefore: The American president has always been married to Laura Bush ???

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## Non-Substitutability: Explained!

By constitution, the American president is the Supreme Commander of the Armed Forces.

George W. Bush is the American president.

Therefore: By constitution, George W. Bush is the Supreme Commander of the Armed Forces. ???

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## Conclusion

- We extended our type theoretical semantics construction algorithm in two ways:
  - semantics construction for some new semantic phenomena (adjectives, transitive verbs)
  - extension of FOL with intensional constructs (modality, tense)
- Type theory + tense + modality + intensional abstractions = Intensional Logic (not discussed here).

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