# Compact Course Python 

Michaela Regneri \& Andreas Eisele

Lecture II
2010-04-07

## Overview

- Functions
- Recursion
- Collection types:
- Lists, Tuples
- Sets
- Dictionaries
- for loops
- list comprehensions


## Functions

- Functions are reusable blocks of code belonging together
- When a function is called, its code is executed
- Functions have parameters (= arguments) they can access
- Functions can return values:

In: $x=f u n()$
x is bound to the value
returned by fun()
via a return statement

| def factorial ( n ): |
| :---: |
| $\mathrm{fac}=1$ |
| $\mathrm{i}=\mathrm{n}$ |
| while i> 0 : |
| $\mathrm{fac} *=\mathrm{i}$ |
| $i-=1$ |
| return fac |
| Function body |

>>> factorial (4)
24

## Syntax of Function Definitions

- Function definitions begin
with the keyword def
- an arbitrary number (possibly 0 ) of parameters are separated by commas
- Return value is specified using a return statement; functions with no such statement or with an isolated return statement do not return any
 value


## Function Calls

- Call with parameters $p_{1}-p_{n}$ : function_name ( $p_{1}, p_{2}, \ldots$ )
- Function calls are expressions that evaluate to the return value of the function
- When calling the function, parameter variables are instantiated with the values from the call (in the order listed):

d
d
$>$ fun (1,2,3)
var 123
2
Return Value


## Functions - Variables

- Functions can introduce or access local variables
- the parameters
- variables defined in the function
- Local variables are not visible outside the function
- Variables that are written to are assumed to be local; variables that are only read are assumed to be global
def factorial (n):
fake $=1$
$\mathrm{i}=\mathrm{n}$
while( $\mathrm{i}>0$ ):
fac * $=\mathrm{i}$
$i-=1$
return flak
- Manipulation of variables within a method or function can use only local variables
will not work!
counter $=0$
def countup(): counter $+=1$


## Recursion

- Functions can call other functions
- In particular, functions can also call themselves; this is called Recursion
- In a recursive call, local variables can have different values on each incarnation of the function
- Recursion is a powerful tool which can be used to express many algorithms in an elegant way
- Caution: As with loops you have to pay attention to the fact that the recursion needs to end somewhere!


## Recursion - factorial function

- the factorial function can be defined recursively:



## Recursion - Fibonacci

- The Fibonacci numbers is a sequence of numbers, defined recursively for natural numbers:
fibonacci (0) = 0
fibonacci $(1)=1$
fibonacci $(\mathrm{n})=$ fib $(\mathrm{n}-1)+$ fib $(\mathrm{n}-2)$
def fibonacci ( n ):
if $\mathrm{n}<=1$ :
return n
else:
return fibonacci $(\mathrm{n}-1)+$ fibonacci $(\mathrm{n}-2)$


## Sequence types

- Sequence types are built-in data structures that combine multiple objects to one complex object
- Lists: a collection of elements, fixed order, modifiable
- Tuples: a collection of elements, fixed order, not modifiable
- Sets: unordered collection of elements
- Strings: sequence of characters (not modifiable)
- Dictionaries: maps from keys to values
- for objects s from any sequence:
- len(s): Number of elements in s
- s.clear(): Removes all elements from (modifiable) s
- $s 1==s 2$ : (Value) equality of s1 and s2


## Lists

- A list is an ordered collection of values
- You can write it as literal:
list $=[$ 'a', 'Hello', $1,3.0,[1,2,3]]$
- the list items do not have to have the same type (so)
- Access to list items with indices:

| $\begin{aligned} & >\text { list[0] } \\ & \text { 'a' } \end{aligned}$ | $\begin{aligned} & >\text { list[-1] } \\ & {[1,2,3]} \end{aligned}$ | $\begin{aligned} & >\operatorname{list}[-1][1] \\ & 2 \end{aligned}$ |
| :---: | :---: | :---: |

$>$ list[5]
IndexError: list index out of range

## Lists - methods and operators (1)

- Add items:
- append an element: list.append(elem)
- insert element at position i: list. insert(i,elem)
- Concatenating lists:
- either: newlist $=$ list1 + list2
- or: list1.extend(list2)
- Delete elements:
- li.remove(el) deletes the first el in the list li
- del li[n] deletes the element with index $n$
- Membership and non-membership (slow for long lists):
elem in list or elem not in list


## Lists - methods and operators (2)

- Index of the first occurrence of elem in list: list.index(elem)
- How often is elem in list? list.count(elem)
- Reverse a list: list.reverse()
- Sort: list.sort ()
(Only with same type)

$$
\begin{aligned}
& >\text { Li }=[5,2,7] \\
& >\text { Li.reverse () } \\
& >\text { Li } \\
& {[7,2,5]}
\end{aligned}
$$

| $>$ Li $=[5,2,7]$ |
| :--- |
| $>$ Li.sort() |
| $>$ Li |
| $[2,5,7]$ |


| $>$ Li $=[[1,2],[1,2,3],[3,2],[1,3]]$ |
| :--- |
| $>$ Li.sort() |
| $>$ Li |
| $[[1,2],[1,2,3],[1,3],[3,2]]$ |

## Lists - methods and operators (3)

- lists can be "multiplied" by integer numbers:

$$
\begin{aligned}
& >\mathrm{Li}=[1,2,3] \\
& >\mathrm{Li}=\mathrm{Li} * 3 \\
& >\mathrm{Li} \\
& {[1,2,3,1,2,3,1,2,3]}
\end{aligned}
$$

- list * n specifies a list containing n repetitions of the contents of list; $\mathrm{n}<=0$ is the empty list
- Warning: this will not generate so-called deep copies of the list! (More on this later)

| $>\mathrm{Li}=[[]]$ * 3 |
| :--- |
| $>\mathrm{Li}[0]$. append $(1)$ |
| $>\mathrm{Li}$ |
| $[[1],[1],[1]]$ |

## lists - slicing (1)

- the slicing operator can return a part of a given list
- list [ i: ] is the partial list of $i$ to the end of list
- list[ $i: j$ ] is the partial list of $i$ to (but excluding) $j$
- list[i:j:k] makes steps of size k

```
> numbers = [0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
> numbers[2:8]
[2, 3, 4, 5, 6, 7]
> numbers[2:8:2]
[2, 4, 6],
> numbers[8:2: -1]
[8, 7, 6, 5, 4, 3]
> numbers[::-1]
[9, 8, 7, 6, 5, 4, 3, 2, 1, 0]
```


## Lists - slicing (2)

- Using slicing, lists can be modified in an elegant way
 deletes the first 3 elements in list
$\square$ deletes every second entry from first to 5th element in list

```
list1[0:3] = list2
```

replace the first 3 elements of list 1 by the elements of list2

```
list1[0:5:2] = list2
```

replace every second entry from 1 and up to the 5th element in list by
successive entries of list2 (list2 must contain as many elements as list1[0:5:2]!)

## Lists - slicing (3)

$$
\begin{aligned}
& >\text { numbers }=[0,1,2,3,4,5,6,7,8,9,10] \\
& >\text { numbers }[2: 5]=[2,2,3,3,4,4] \\
& >\text { numbers } \\
& {[0,1,2,2,3,3,4,4,5,6,7,8,9,10]} \\
& >\text { numbers[0:9:2] = ['a', 'a', 'a', 'a', 'a'] } \\
& >\text { numbers } \\
& \text { ['a', 1, 'a', 2, 'a', 3, 'a', 4, 'a', 6, 7, 8, 9, 10] }
\end{aligned}
$$

## Tuple: tuple

- similar to lists: ('a', 1, 'b') but not modifiable
- Initializing:
- 0 items: tuple $=()$
- 1 item: tuple $=$ elem,
- more items: tuple = elem1, elem2, elem3
- access to elements with [] and slices
- more efficient than lists
- sequence unpacking:
(Also works well with lists)

$$
\begin{aligned}
& >t=' a ', 2,[2,3] \\
& >x, y, z=t \\
& >z \\
& {[2,3]}
\end{aligned}
$$

## Sets

- Sets are unordered collections of items that cannot contain any duplicate element

$$
\begin{aligned}
& >\text { numbers }=[1,2,3,1,1] \\
& >\text { nSet }=\text { set(numbers) } \\
& >\text { nSet } \\
& \{1,2,3\}
\end{aligned}
$$

- as a literal: nSet $=\{1,2,4,5\}$ (Empty set: set())
- or defined indirectly via a different sequence type: set (myList)
- duplicate items are eliminated
- efficient test of values for set membership (much faster than lists!)
- sets may only contain immutable types! (Numbers, strings, tuples of immutable values, booleans, ...)


## Sets - methods and operators (1)

- Add elem: mySet.add(elem)
- Remove elem
- set. remove(elem) (Error if elem not available)
- set.discard(elem) (Removed elem if available)
- Add all elements from set2 to set1:
set1.update(set2)
- Membership and non-membership:
elem in set or elem not in set


## Sets - methods and operators (2)

Methods can have other aggregate types as 2nd argument; operators require two sets.

- Subset / superset (Return: True / False):
- set1.issubset(set2) or set1.issuperset(set2)
- set $1<=$ set 2 or set $1>=$ set 2
- Union / intersection
(Returns: the new set)
- set1.union(set2) or set1.intersection(set2)
- set1 1 set2 or $\operatorname{set} 1 \& \operatorname{set} 2$


## Sets - methods and operators (3)

- Difference set (return: new set with elements from set1 but not in set2)
- set1.difference(set2)
- set1 - set2

- Symmetric set difference (return: new set with elements that are either in set1 or set2, But not both)
- set1.symmetric_difference(set2)
- set1 ${ }^{\wedge}$ set2



## Sets - methods and operators (4)

- all set operations are also available as 'update' method / operator
- no return value, set1 will obtain the resulting set:
- set1.difference_update(set2) set1 -= set2
- set1.symmetric_difference_update(set2) set1 ^= set2
- set1.intersection_update(set2)
set1 \& $=\operatorname{set} 2$
- set $1 \mid=\operatorname{set} 2$


## Invariant sets: frozenset

- there is a constant set variation, the frozenset
- works like set: fs = frozenset(collection)
- But all the methods that add elements, delete, modify or are forbidden (add, remove, discard, all update methods)
- All other methods and operators work in set (and give back frozenset instead set)
- Motivation: frozensets can be used in places where only immutable values are allowed, e.g. as members of other sets or keys of dictionaries


## Initialization of lists, sets, etc.

- the collection types which are not ditionaries can directly convert into each other
- Achieved via typename (collection_instance) - See sets

```
> mySet = set([1,2])
> myList = list(mySet)
> myTuple = tuple(mySet)
> tuple2 = tuple(myList)
> set2 = set(myList * 5)
```

...

## Dictionaries: dict

## (aka maps, hashes, associative arrays)

- Dictionaries are mappings from (unique) keys to values; the key must have an immutable type
- Access to values via the key
- For example, a phone book:

```
> Tel = {'Mueller': 7234, 'Meier': 8093}
> Tel['Meier']
8093
> Tel['Smith'] = 2104
> Tel
{'Mueller': 7234, 'Meier': 8093, 'Smith': 2104}
```


## Dictionaries as literals

- \{\} is an empty dictionary (!), the same as dict ( ) ==> hence \{\} cannot be used for an empty set
- some alternative spellings with the same result:
$>$ Tel $=\{$ 'Mueller': 7234, 'Meier': 8093\}
$>$ Tel = dict([['Mueller', 7234],['Meier', 8093]])
$>$ Tel = dict([('Mueller', 7234), ('Meier', 8093)])



## Dictionaries - keys (1)

- Keys must have constant values (see sets)
- frozenset is therefore permitted (as in sets)

$$
\begin{aligned}
& \hline>\text { Tel }=\{ \} \\
& >\text { Tell['Peter', 'Sophie']] = } 7473 \\
& \text { Traceback (most recent call last): } \\
& \text { File <stdin> ", Line 1, in <module> } \\
& \text { TypeError: unhashable type } \\
& \hline
\end{aligned}
$$

```
> Tel[frozenset(['Peter', 'Sophie'])] = 7473
> Tel
{frozenset (['Peter', 'Sophie']): 7473}
```


## Dictionaries - keys (2)

- keys for which the comparison with "==" gives True are considered equal
- if a key is used that it is already in the dictionary, it obtains the new value, the old one is deleted

$$
\begin{aligned}
& >\text { Tel['Peter'] }=7473 \\
& >\text { Tel['Peter'] }=9999 \\
& >\text { Tel } \\
& \text { \{'Peter': 9999\} }
\end{aligned}
$$

- Caution: 1 and 1.0 are therefore the same key!


## Dictionaries - methods (1)

- Test whether a key key exists in dict:
- key in dict
- Deleting a key / value pair (key: value):
- del dict[key] (Returns nothing)
- dict.pop(key) (returns value)
- Setting the key key to the value value, if key does not exist:
dict.setdefault(key, value)
(If key exists, the old value of key is returned, otherwise value)


## Dictionaries - methods (2)

- complement dict1 with keys/values from dict2
dict1.update(dict2)
(Keys that are in both, get the value from dict2)
- "View" of all key: dict.keys ()
- "View" of all values: dict.values ()
- "View" of all key-value pairs: dict.items ()

Caution: the order is not deterministic! The only guarantee: two calls in succession on the same system without any change of dict deliver the same sequence, corresponding to keys and values.

## Dictionaries - "views"

- Views look like this:

```
>>> map
('A': 1, 'I': 3, 'o': 4)
>>> map.keys()
dict_keys(['a', 'l', 'o'])
```

- they both reflect the current state of the dictionary
- we regard them as a collection types that we cannot change (not as immutable)
- further manipulation is possible after conversion to list:
list $=$ list (map.keys
() )


## Lists - tuples - sets - when to use what?

- fixed order, with methods to change elements: list
- fixed order, no methods or manipulation (fixed): tuple
- no particular order, manipulated: set (Much more efficient for membership testing compared to lists)
- invariant sets: frozenset
- immutable types as keys (in dict) and elements of sets (in set and frozenset)


## for

- $s$ is a collection type
- iterates over every element
for i in s :
block in S
- $i$ is the element currently considered
- at each iteration block is executed
- break, continue and else function as for while



## for

- Average of all list items using for:

```
def average (list):
    result = 0.0
```

    for number in list:
        result += number
    return results / len(list)
    - Alphabetical key-value pairs:

```
def sortedprint(map)
    key = sorted(map.keys())
    for key in key:
        print(str(key) + ':' + str(map[key]))
```



## for with dictionaries

- for can iterate also over the "View" objects of dictionaries (keys,items,values)
- you often want to iterate over all pairs in a dictionary, thanks to "sequence unpacking" it simply goes like this:

```
def oneLinePerEntry(map):
    for key, val in map.items():
        print (str(key) + ':' + str(val))
```


## Function/method definitions some advanced features

- Functions can have optional arguments, arbitrary numbers of arguments, and arguments specified via keywords
- The exact functionality may depend on the function call:
- max $\left(a_{1}, a_{2}, \ldots, a_{n}\right)$--> return maximum of $n$ arguments
- max(sequence) --> return maximal element of one argument
- Optional arguments are specified by giving a default value in the function definition (Value is shared between calls !!!)
- Arbitrary numbers of arguments are matched against a (tuple) parameter preceded by an asterisk in the definition
- Arbitrary keyword arguments are matched against a (dict) parameter preceded by a double asterisk in the definition


## Function/method definitions some advanced features

```
def test(a,b,c=33,d=44,*e,**f): print (a,b,c,d,e,f)
>>>test(1,2)
1 2 33 44 () {}
>>>test(1,2,3,4,5,6)
1 2 3 4 (5, 6) {}
>>>test(k1=1,k2=2,b=3,a=4)
4 3 33 44 () {'k2': 2, 'k1': 1}
>>>test(999)
TypeError: test() takes at least 2 positional arguments
(1 given)
```


## Building sequences (1): range

- The type range is used to create sequences of consecutive numbers
- range does not (longer) return a list, but an iterator-like collection type
- Iterators can be used with for loops
- range(m) corresponds to the elements [0,1,..., m-1]
- range( $n, m$ ) $\approx[n, n+1, \ldots, m-1]$
- range ( $n, m, k$ ) does steps of size $k$ (as in slicing)


## Building sequences (2): enumerate

- Sometimes, we want to iterate over sequence elements and indices at the same time, e.g. in order to
- remember the location of certain elements
- check constraints between neighbouring elements
- compute statistics over the location of elements
- enumerate(sequence) returns pairs (index,value) where index is from range ( $0,1 \mathrm{len}$ (sequence))
for i, val in enumerate(seq): do_something(i,val) <~> for i in range(len(seq)):
do_something(i,seq[i])
- enumerate is more general, also works with sequences that can be traversed only once (e.g. while reading a file)


## Building sequences (3): zip

- Sometimes, we want to iterate over several sequences in parallel and generate tuples
- zip(seq1,seq2,...,seqN) iterates over n-tuples of corresponding values (vall,val2,...,valn) where val_i is taken from seq_i
- A snippet from http://norvig.com/python-iaq.html :

Q: Hey, can you write code to transpose a matrix in 0.007 KB or less?
A: I thought you'd never ask. If you represent a matrix as a sequence of sequences, then zip can do the job:
$\ggg m=[(1,2,3),(4,5,6)]$
>>> zip(*m)
[(1, 4), (2, 5), (3, 6)]
To understand this, you need to know that $f(* m)$ is like $\operatorname{apply}(f, m) \ldots$

## Dictionaries with default values collections.defaultdict

- defaultdicts are very convenient for counting/collecting events found in streams of data
- You need to specify the type of the default values
- Useful options include: int, list, set, as well as embedded defaultdicts

```
>>> from collections import defaultdict
>>> di = defaultdict(int)
>>> for c in "Hello": di[c] += 1
>>> di
defaultdict(<class 'int'>, {'H': 1, 'e': 1, 'l': 2,
'o': 1})
>>> di['a']
0
```


## List comprehensions

- Very compact, yet readable way to generate lists from simpler list, inspired by the set builder notation in mathematics and similar constructs e.g. in Haskell
- General form:
[expression for_loop $1_{1}$... for_loop if_clause $_{1}$... if_clause ${ }_{k}$ ]
- Often used to create auxiliary representations for sorting, extracting interesting cases etc.
- Can be nested to build nested lists
(at the cost of reduced readability!)


## List comprehensions - examples

- Build a table of powers of small integers:

```
[[i**n for n in range(1,5)] for i in range(11)]
```

- Build strings with certain properties:

```
s = ['']
for i in range(5):
    s = [x+c for x in s for x in 'abc']
s = [x for x in s if 'aba' in x]
```

- Find key with largest value in a dict:
_, key = max([(val,key) for key,val in d.items()])


## Summary

- Functions
- Recursion
- Collection types: lists, tuples, sets, dictionaries
- New control structure: for loop
- List comprehensions

