Introduction to Unbounded Dependencies

Carl Pollard The Ohio State University

July 14, 2011

(1) The Pretheoretical Term 'Overt Movement'

- Here, by **overt movement**, we mean the class of phenomena that have been discussed over the past half-century under such rubrics as **extraction** and Ā-**movement**.
- Thus it refers to phenomena such as those discussed by Chomsky (1977) 'On wh-movement' or by Levine and Hukari 2006 (*The Unity of Unbounded Dependency Constructions*).
- So phenomena that have been discussed under the rubrics of **head movement** (e.g. verb inflection, subject-auxiliary inversion) and NP-movement/A-movement (e.g. passive, raising to subject) are excluded.
- In English, there are both finite and infinitive overt movement constructions, but for expository simplicity, here we consider only the finite constructions.

(2) Some Examples of Overt Movement

- a. $JOHN_i$, Fido bit t_i . [Topicalization]
- b. I wonder [who_i Fido bit t_i]. [Indirect Question]
- c. Who_i did Fido bite t_i ? [Direct Question]
- d. The neighbor [who_i Fido bit t_i] was John. [Relative Clause]
- e. Felix bit $[who(ever)_i \text{ Fido bit } t_i]$. [Free Relative]
- f. It was John [who $_i$ Fido bit t_i]. [Cleft]
- g. $[Who_i \text{ Fido bit } t_i]$ was John. [Plain Pseudocleft]
- h. [Who_i Fido bit t_i] was he bit John. [Amalgamated Pseudocleft]

i. [[The more cats]_i Fido bit t_i], [[the more dogs]_j Felix scratched t_j]. [Left and right sides of Correlative Comparatives]

In all these examples, the expression on the left periphery that is coindexed with the trace is called the **filler**, or **extractee**, or **dislocated expression**.

(3) The Category T of Topicalized Sentences

- a. * Kim wondered whether BAGELS, Kim liked.
- b. * The fact that BAGELS, Kim likes is inexplicable.
- c. * Our town was so snooty that CROISSANTS, the cops ate.

Conclusion: $T \neq S$.

(4) Topicalization is Unbounded

- a. BAGELS, she likes.
- b. BAGELS, I think she likes.
- c. BAGELS, I don't think he even suggested she likes.

(5) Topicalization Requires a Gap

- a. * Bagels, Kim likes muffins.
- b. * Bagels, Kim likes the pumpernickel ones from Cup o' Joe.

Note: gapless constructions that superficially resemble topicalization—left dislocation constructions—have a different discourse function (of establishing a new topic).

(6) Topicalization can Involve Multiple Gaps

- a. Bagels_i, we devour t_i without bothering to toast t_i .
- b. [These reports]_i, we can file t_i immediately after photocopying t_i .
- c. [Kim and Sandy]_i, we should ask some former students of t_i to nominate t_i for a teaching award.
- d. [Kim and Sandy]_i, some former students of t_i nominated t_i for a teaching award.

(7) If One Conjunct has a Gap, they all do

- a. Nixon_i, we collect photos of t_i and books about t_i .
- b. * Nixon_i, we collect photos of t_i and books about Agnew.
- c. * Nixon_i, we collect photos of Agnew and books about t_i .

(8) Topicalization is Category-Sensitive

- a. SHE/* HER, I firmly believe would make an excellent candidate.
- b. HER/* SHE, I would never vote for t_i .
- c. On/* About/* To FIDO, you can depend.

(9) Topicalization Gap can be a Subject (modulo 'that-trace effect'

- a. Bagels, I believe Kim said were too salty for her diet.
- b. * Bagels, I believe Kim said that were too salty for her diet.
- c. ? Bagels, I believe Kim said that, unless they came from Trader Joe's, were too salty for her diet.
- d. * Fido, I wonder whether bit Felix.

(10) Topicalization Gap can be a Complement

- a. Bagels, Kim likes.
- b. That filthy carpet, not even a cockroach would sleep under.
- c. Fido, you can depend on.
- d. On Fido, you can depend.
- e. That snow is white, no sane person would dispute.

(11) Topicalization Gap can be a VP Right Modifier

- a. On Tuesday, I don't think we should meet.
- b. While the prospectives are in town, I don't think we should meet.
- c. Without an agenda, I don't think we should meet.
- d. To handle such a trivial matter, I don't think we should meet.

(12) Topicalized Phrase cannot be a Dummy

- a. * It, Kim thinks is obvious that snow is white.
- b. * It, I don't believe for a minute will rain.
- c. * There, Sandy claimed was a unicorn in the vestibule.

(13) Where Topicalization Gaps Cannot be (1/2)

- a. * All_i, I never claimed Kim likes t_i cats. [Det of NP]
- b. * Six feet_i, Kim isn't t_i tall. [Deg of AP]
- c. $* Cats_i$, I never claimed Kim likes all t_i . [N of NP]
- d. $* Tall_i$, Kim isn't six feet t_i . [Head of AP]
- e. * Whether_i, I wonder t_i snow is white. [Complementizer]
- f. * That_i, I realize t_i snow is white. [Complementizer]
- g. * [Snow is white]_i, I wonder whether t_i. [Sister of Complementizer]
- h. * [Snow is white]_i, I realize that t_i . [Sister of Complemntizer]

(14) Where Topicalization Gaps Cannot be (2/2)

- a. * Aardvarks_i, we collect t_i and books about t_i . [Conjunct]
- b. * Aardvarks_i, we collect books about t_i and t_i . [Conjunct]
- c. * Probably_i, I believe Kim will t_i come. [Left modifier of VP]
- d. * Yellow_i, I like t_i cats, [Left modifier of N]
- e. * [That purr audibly]_i, I like cats t_i . [Right modifier of N]
- f. $* Cats_i$, I like yellow t_i . [Modified head]
- g. * Cats_i, I like t_i that purr audibly. [Modified head]
- h. * Left_i, I think Kim probably t_i. [Modified]
- i. * Meet_i, I prefer that we t_i next Friday. [Modified head]
- j. * Left_i, I believe Kim t_i. [Functor of Subject]
- k. * Invite_i, I think we should t_i Sandy. [Functor of Complement]

(15) Where English Topicalization Gaps Can Be

- subjects
- complements
- right modifiers of VPs
- and those are the only options.

(16) The *That*-Trace Effect

- a. Fido, Mary thinks John likes t.
- b. Fido, Mary thinks that John likes t.
- c. Fido, Mary thinks t likes John.
- d. *Fido, Mary thinks that t likes John.

(17) Tough-Movement is Unbounded

- a. Kim_i is easy to like t_i .
- b. Kim_i is easy to get people to like t_i .
- c. Kim_i will be easy to persuade people to vote for t_i .
- d. ? Kim_i will be easy to persuade students to get their parents to vote for t_i .

(18) Tough-Movement Balks at Finite Clause Boundaries

- a. *Kim_i was easy to persuade me (that) I should vote for t_i .
- b. *Dick $_i$ will be hard to prove t_i authorized Valerie's outing.

(19) Tough-Movement Requires a Gap

- a. * Kim is easy to like Sandy.
- b. * Kim will be easy to persuade people to vote for Sandy.

(20) Tough-Movement can Involve Multiple Gaps

- a. Kim_i is easy to detest t_i after talking to t_i for five minutes.
- b. Kim_i will be hard to persuade former students of t_i to nominate t_i .

(21) If One Conjunct has a Gap, they all do

- a. Kim_i was easy to accommodate t_i and entertain t_i .
- b. * Kim_i was easy to accommodate t_i and entertain her ferret.
- c. * Kim_i was easy to accommodate her ferret and entertain t_i .

(22) Tough-Movement 'Moves' Complements

- a. Felix is easy to like.
- b. Felix is easy to depend on.
- c. Fido is hard to sleep near.
- d. That snow is white is hard to prove.
- e. Whether snow is white is hard to settle definitively.

(23) PPs do not *Tough*-Move (except maybe referential ones)

- a. ? After the recession is hard to imagine.
- b. * On Felix is easy to depend.
- c. * Near Fido is hard to sleep.

(24) Tough-Gap cannot be a VP Right Modifier

- a. * On Tuesday is hard to meet.
- b. * While the prospectives are in town is hard to meet.
- c. * Without an agenda is hard to meet.

(25) Tough-Moved Expression cannot be a Dummy

- a. * It is easy to be obvious that snow is white.
- b. * It is easy to rain.
- c. * There is easy to be a unicorn the vestibule.

(26) Where Tough-Gaps Cannot be (1/2)

- a. * All_i is easy to like t_i cats. [Det of NP]
- b. * Six feet_i is easy to be t_i tall. [Deg of AP]
- c. * Cats_i are easy to like all t_i . [N of NP]
- d. $* Tall_i$ is easy to be six feet t_i . [Head of AP]
- e. * Whether_i is easy to wonder t_i snow is white. [Complementizer]
- f. * That_i is easy to realize t_i snow is white. [Complementizer]
- g. * [Snow is white]_i is easy to prove that/settle whether t_i. [Sister of Complementizer]

(27) Where Tough-Gaps Cannot be (2/2)

- a. * Aardvarks_i are hard to collect t_i and books about t_i . [Conjunct]
- b. * Aardvarks_i are hard to collect books about t_i and t_i . [Conjunct]
- c. * Yellow_i is hard to like t_i cats, [Left modifier of N]
- d. * [That bite]_i is hard to like cats t_i . [Right modifier of N]
- e. * Cats_i are hard to like yellow t_i . [Modified head]
- f. * Meet_i is hard to prefer to t_i next Friday. [Modified head]
- g. * Left_i is hard to believe that Kim t_i . [Functor of Subject]
- h. * Like_i is hard to t_i Sandy. [Functor of Complement]

(28) Violins and Sonatas

- a. [This violin]_i, even [the most challenging sonatas]_j are easy to play t_i on t_i .
- b. * [This sonata]_j, even [the most exquisitely crafted violin]_i is hard t_j to play on t_i .

(29) Problems and People

- a. [Which problems]_i don't you know who_j to talk to t_j about t_i ?
- b. * [Which people] $_j$ don't you know what $_i$ to talk to t_j about t_i ?

(So whatever is going on in (28) is not specific to tough.)

(30) Nested Dependencies Constraint (NDC)

- a. [This violin]_i, even [the most challenging sonatas]_j are easy to play t_j on t_i .
- b. * [This sonata]_j, even [the most exquisitely crafted violin]_i is hard \mathbf{t}_j to play on \mathbf{t}_i .
- c. [Which problems]_i don't you know who_j to talk to t_j about t_i ?
- d. * [Which people]_j don't you know what_i to talk to t_j about t_i ?

(31) (So-Called) Parasitic Gaps (1/2)

- In the 1970's and 1980's it was falsely believed that overt movement from within a non-complement was ungrammatical.
 - a. Here's a guidebook you shouldn't go to London without reading t.
 - b. Norwegians are one ethnic group that jokes about t don't seem to upset anyone.
- However, it is true that sentences with such gaps seem better if they contain a 'coindexed' gap that is in a complement:
 - a. Here's a guidebook you shouldn't just throw away t without reading t.
 - b. Norwegians are one ethnic group that jokes about t don't seem to offend t.

(32) Parasitic Gaps (2/2)

- A related belief, also false, was that, except for across-the-board (ATB) extraction from coordinate structures, all cases of 'coindexed' gaps in the same sentence were of the kind in (31), where one gap (the 'host') is 'normal', and the other gap (the 'parasite') is only possible because the coindexed host gap 'licenses' it.
- Hence the name 'parasitic gap'.
- The following relative clauses show this belief was false:
 - a. who we sent pictures of t to t
 - b. who we consider ${\sf t}$ smarter than most contemporaries of ${\sf t}$
 - c. who we advised t that the FBI was about to arrest t
 - d. who we advised t t was about to be be fired
 - e. who we regaled t with lewd songs about t
 - f. who we bribed former allies of t to betray t

(33) Across-the-Board (ATB) Extraction

With well-known pragmatically marked exceptions, etxraction out of a coordinate structure is possible only if 'the same thing' is extracted from each conjunct:

- a. Kim_i was easy to accommodate t_i and entertain t_i .
- b. * Kim $_i$ was easy to accommodate t_i and entertain her ferret.
- c. * Kim_i was easy to accomodate her ferret and entertain t_i .
- d. Nixon_i, we collect photos of t_i and books about t_i .
- e. * Nixon_i, we collect photos of t_i and books about Agnew.
- f. * Nixon_i, we collect photos of Agnew and books about t_i .