

Introduction to Psycholinguistics

Lecture 3: Sentence Processing



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What makes up Psycholinguistics?

- Computational models of the representations, architectures and mechanisms that underlie human language processing.
 - Linguistics:
 - + How people represent linguistic knowledge
 - Psycholinguistics:
 - + How people use this knowledge to produce and understand language
 - Computational Models:
 - + Implementations of psycholinguistic theory, using linguistic representations.
 - + More complete theory: models and predicts human behaviour
 - Experiments & Data:
 - + Descriptive studies of human language processing
 - ▲ Off-line: grammaticality judgement, completions, global reading time (?)
 - ▲ On-line: "word-by-word", self-paced reading, eye-tracking, ERP
 - + Test prediction of theories and computer models
 - + Corpus data: word-frequency, category and sense bias, subcategorization

Sentence Processing

➔ *Sentence processing is the means by which the words of an utterance are combined to yield and interpretation*

- ❑ All people do it well
- ❑ It is a difficult task: complexity and ambiguity
- ❑ Not simple 'retrieval', like lexical access
- ❑ Compositional: interpretation must be built, rapidly, even for novel word/structure input

■ What are the architectures, mechanisms and representations underlying this process?

- ❑ Architectures: modularity vs. interaction
- ❑ Mechanisms: how is input mapped to interpretations using knowledge
- ❑ Representations: How is knowledge encoded

A Simple Theory of Grammar

The Grammar

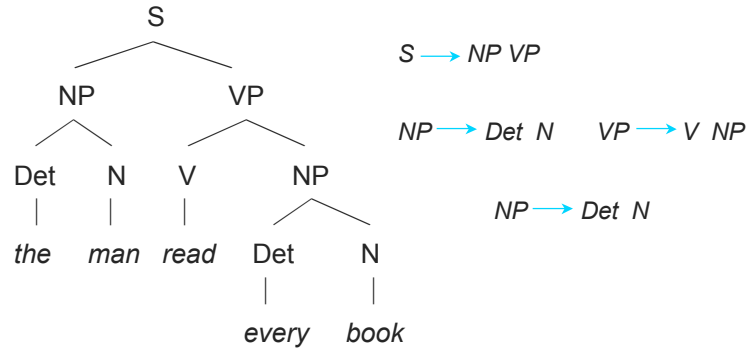
- S → NP VP
- NP → PN
- NP → Det N
- NP → NP PP
- PP → P NP
- VP → V
- VP → V NP
- VP → V NP PP

The Lexicon

- Det = {*the, a, every*}
- N = {*man, woman, book, hill, telescope*}
- PN = {*John, Mary*}
- P = {*on, with*}
- V = {*saw, put, open, read, reads*}

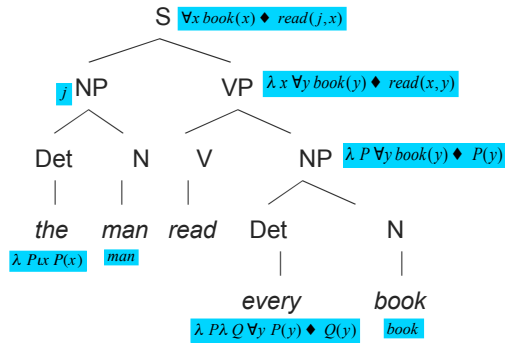
A Generated Sentence

the man read every book



Semantic Composition

- Theories of meaning and knowledge representation
- Semantic composition:
 - lexical competence + semantic operations
- "the man read every book"



Semantic Ambiguity

- Word sense ambiguity: a word may have more than one SENSE
 - Stock (*soup vs investments*), bank (*money repository vs side of river*),
- Anaphoric underspecification: anaphoric expressions derive their meaning from context:
 - *She, it, the book, every man*
- Scope ambiguity: the interpretation of sentence constituents may be controlled by the interpretation of other constituents
 - *"In New York, a man is mugged every 10 minutes"*

Syntactic Ambiguity

- Lexical ambiguity occurs when a word may be rewritten by more than one category:
 - N → {saw, hammer, book ...}
 - V → {read, saw, witnessed, ...}
- Structural ambiguity occurs when a sentence may be generated in more than one way by the PS rules:

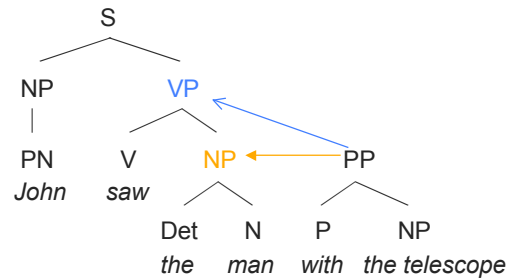
*The spy **saw** the **cop** with the **gun/binoculars***

 - The **gun** is usually interpreted as a modifier of the **cop**
 - The **binoculars** is usually interpreted as an instrument of **saw**

PP Attachment Ambiguity

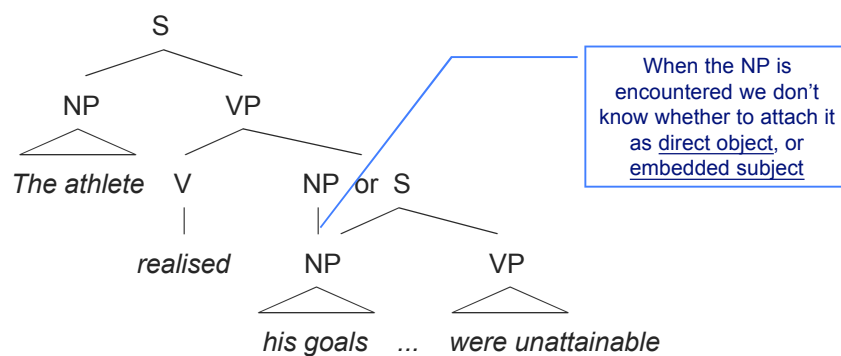
■ PPs may be attached to NPs, and VPs:

□ *John saw the man with the telescope*



Local Ambiguity: NP/S complements

□ Local ambiguity occurs during incremental parsing, when there is insufficient local information to determine the correct structure:



Processing and Ambiguity

- What if an utterance may be interpreted in more than one way:
“I saw the man on the bench in the park with a telescope”
 - choose a single parse/interpretation
 - determine all possible interpretations

- Incrementality
 - Local ambiguity:
“I knew the solution to the problem was incorrect”
 - How much is interpreted incrementally?
 - What are the implications for parsing and understanding?

- Most evidence suggest people ...
 - ... are consciously aware of only one interpretation at any time, and
 - ... construct interpretations on a word-by-word basis (at least!)

Mechanisms for syntactic processing

- Human syntactic processing requires a solution to the problem of *local and global ambiguity*

- Serial/backtracking:
 - Initial disambiguation: rule (or structure?) selection strategy
 - Reanalysis: reparsing? parse repair? ...

- Parallel:
 - Preferences: ranking strategy
 - Limitations: what structures to forget
 - Reanalysis: reranking/adjusting

- Parsing/Ranking strategies:
 - Structural, syntactic
 - Interactive: semantics, discourse, ...
 - Probabilistic

From Theory to Data

- We want to understand, and ideally model, sentence comprehension
 - Organisations, mechanisms, representations, acquisition, interaction ...
- What methods can we use to get at these issues:
 - Introspection is notoriously unreliable
 - Direct: Neuroscientific methods are not (yet!) that revealing ...
 - Behaviour: Rather we focus on observed behaviour
 - + Judgements on meaning or grammaticality
 - + Unconscious measure, e.g. reading times, priming ...
- Why do we focus on ambiguous or “pathological” sentences?
 - Ambiguity is more common than you think, yet usually not problematic
 - Understanding how people cope with ambiguity can reveal a lot about the underlying architectures and mechanisms
 - Easier to investigate *minimal pairs*: similar sentences, with one difference

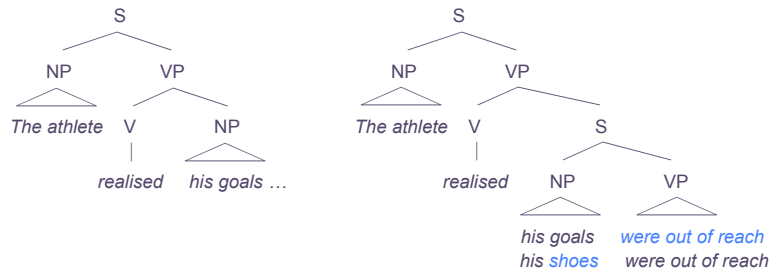
Linking Hypotheses

- Linking Hypothesis:
 - Need to relate the theory to some observed measure
 - Typically impossible to predict measures completely
- Common view: Theories of parsing typically determine ...
 - **which information** sources are used when
 - **which representation is preferred**/constructed when ambiguity arises
 - If the sentence is consistent with that representation, **processing should be easier** than if it is not
 - ⇒ Preferred sentences should have **faster reading times** in the disambiguating region than dispreferred
- Don't overfit the data: remember, our theories are *about* sentence comprehension, not reading times ...
 - Must explain **competence**, and possible **other behavioural measures**

Evidence from reading times

- Language comprehension entails the incremental recovery of an interpretation for an utterance/sentence:

- Grammar, lexicon, parser, semantics, world knowledge, situation



- Ambiguity: two possible structures

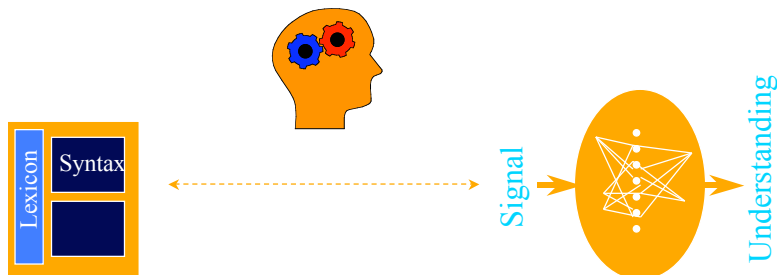
- How do we know which one people build first?
- Reading times **increase** when disambiguated towards the dispreferred interpretation.

Pickering, Traxler & Crocker, 2000

The Modularity Issue

- What is the architecture of the mind and brain?

- How is computation achieved/organised?



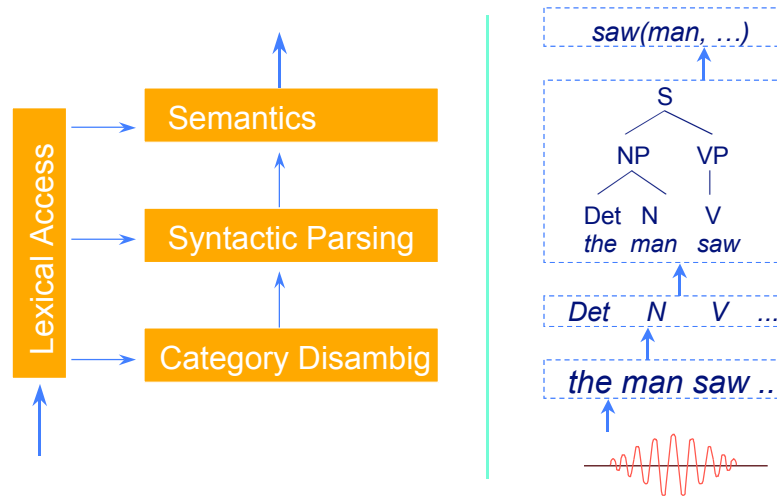
Architectures and Mechanisms

- Are there distinct modules within the human language processor?
 - What does “distinct” mean?
 - Representational autonomy: e.g. parse trees vs conceptual representations
 - + *Possibly shared procedures*
 - Procedural autonomy: e.g. parser *versus* interpreter
 - + *Possibly shared representations*
- If so...
 - How are any such “distinct subsystems” for language processing organised?
 - How do they interact?
- How does the *architecture* affect possible *mechanisms*?
 - Serial (backtracking), parallel (bounded?), underspecified ...
 - Kinds of ambiguity resolution strategies?
 - What are the implications for semantic processing?
- What are the arguments for and against ‘modularity’?
 - theoretical, computational and empirical

Towards a theory of parsing

- Syntax mediates the mapping sound to meaning
 - If syntax exists, the construction of syntactic representations must precede semantics or be part of the same system.
- The construction of syntactic dependencies and semantic interpretations occurs incrementally, word-by-word.
- Little evidence of “conscious parallelism”
- Ambiguity and incrementality entail making decisions and building interpretations in the face of “uncertainty”:
 - What kinds of mechanisms are used to deal with ambiguity?
 - What kinds of linguistic knowledge inform the decision making process?
 - What does this tell us about the architecture of the sentence processor?

A Modular Model



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The Garden Path Theory

- Parser operates incrementally:
 - Each word is attached into the *Current Partial Phrase Marker*
- The parser operates serially:
 - A “race” to find an analysis: first wins
 - Reanalyse if the analysis is thematically impossible
- Ambiguity resolution strategies:
 - **Minimal Attachment:** *Adopt the analysis which requires postulating the fewest nodes*
 - **Late Closure:** *Attach material into the most recently constructed phrase marker*
 - **Active Filler Strategy:** *Associate fillers with possible gaps (traces) as early as possible.*

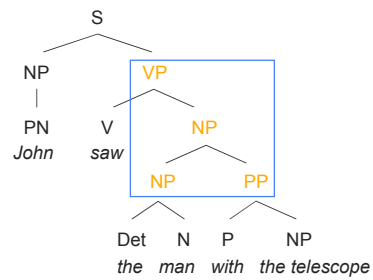
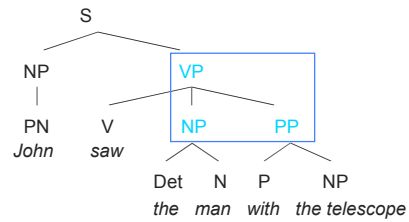
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Minimal Attachment: VP Attachment

- John saw the man with the telescope



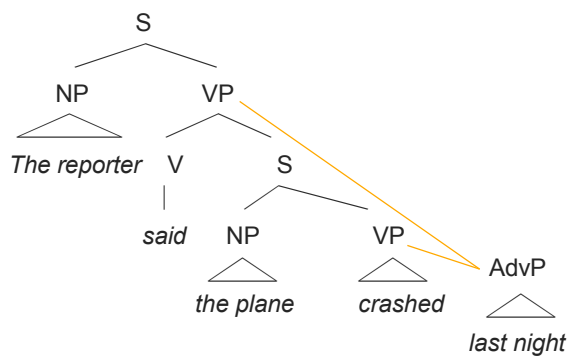
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Late Closure

- Prefer 'low attachment'



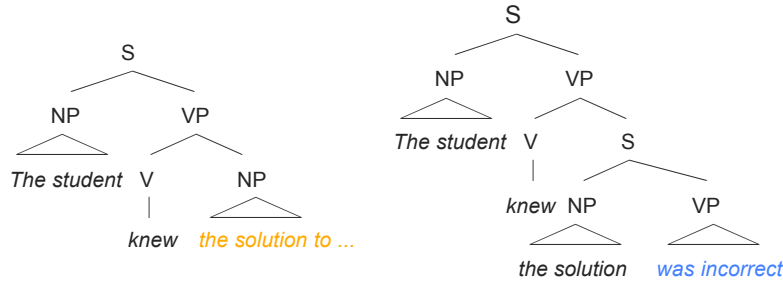
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NP/S Complement Ambiguity

- The student knew the solution **to the problem**.
- The student knew the solution **was incorrect**.



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Ambiguities revisited: [preferred/dis-preferred]

- NP/VP Attachment Ambiguity:
 - "The cop [saw [the burglar] [with the binoculars]]"
 - "The cop saw [the burglar [with the gun]]"
- NP/S Complement Attachment Ambiguity:
 - "The athlete [realised [his goal]] last week"
 - "The athlete realised [[his shoes] were across the room]"
- Clause-boundary Ambiguity:
 - "Since Jay always [jogs [a mile]] the race doesn't seem very long"
 - "Since Jay always jogs [[a mile] doesn't seem very long]"
- Red. Relative-Main Clause Ambiguity:
 - "[The woman [delivered the junkmail on Thursdays]]"
 - "[[The woman [delivered the junkmail]] threw it away]"
- Relative/Complement Clause Ambiguity:
 - "The doctor [told [the woman [that he was in love with]] [to leave]]"
 - "The doctor [told [the woman] [that he was in love with her]]"

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