

# Semantic Theory

## Semantics Construction

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Summer 2007

## Outline

- Elementary semantics construction:
  - the “principle of compositionality”
  - compositional semantics construction using type theory
- Quantified noun phrases: A challenge for compositionality
- The lambda operator in type theory

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## The Principle of Compositionality

- The meaning of a complex expression is uniquely determined by the meanings of its sub-expressions and the syntactic rules by which they are combined.
- (The principle is also called “Frege’s principle”)

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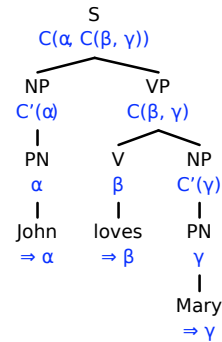
## Two Levels of Interpretation

- Semantic interpretation is a two-step process
  - Natural language (NL) expressions are assigned a semantic representation (logical formulas).
  - The semantic representation is truth-conditionally interpreted.
- Truth-conditional interpretation of logical representations is strictly compositional.
- We also want this for the process of computing logical representations from NL expressions.

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## Compositional Semantics Construction

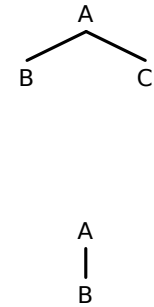
- Basic idea: we start with a syntactic analysis of an NL expression, and
- assign each syntactic node in the syntax tree a semantic representation
- by combining the representations of its daughter nodes.



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## Basic Rules

- Rule of functional application
 
$$\frac{B \Rightarrow \beta : (\sigma, \tau) \quad C \Rightarrow \gamma : \sigma}{A \Rightarrow \beta(\gamma) : \tau} \quad \text{or} \quad \frac{B \Rightarrow \beta : \sigma \quad C \Rightarrow \gamma : (\sigma, \tau)}{A \Rightarrow \gamma(\beta) : \tau}$$
- Rule for non-branching nodes
 
$$\frac{B \Rightarrow \beta : \tau}{A \Rightarrow \beta : \tau}$$



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## Basic Rules

- Rule of functional application

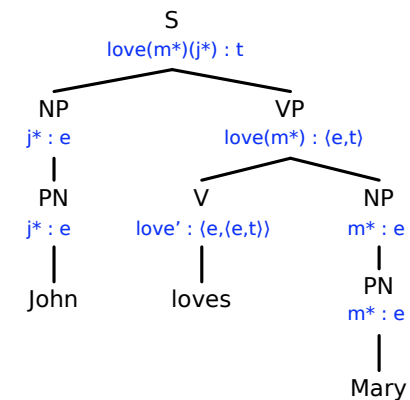
$$\frac{}{A \Rightarrow \beta : \tau}$$



- The semantic representation  $\beta$  for a word  $w$  is supplied by the lexicon.

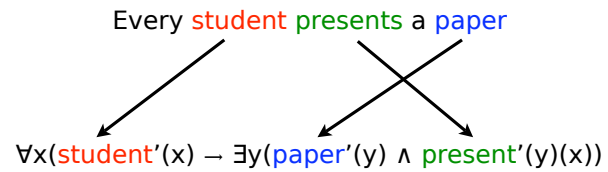
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## An Example



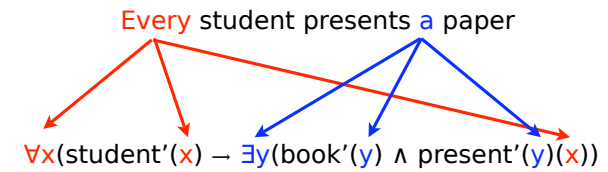
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## Noun phrases and compositionality



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## Noun phrases and compositionality



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## Noun phrases and compositionality

“John works”  $\Rightarrow$   $\text{work}'(j^*)$

“Somebody works”  $\Rightarrow \exists x(\text{work}'(x))$

“Every student works”  $\Rightarrow \forall x(\text{student}'(x) \rightarrow \text{work}'(x))$

“No student works”  $\Rightarrow \neg \exists x(\text{student}'(x) \wedge \text{work}'(x))$

“John and Mary work”  $\Rightarrow \text{work}'(j^*) \wedge \text{work}'(m^*)$

- What's the semantic representation of a noun phrase?

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## Towards a unified semantics of NPs

- “John works.”

$$\frac{j^* : e \quad \text{work}' : (e,t)}{\text{work}'(j^*) : t}$$

- “Every student works.”

$$\frac{\text{every-student}' : \langle (e,t), t \rangle \quad \text{work}' : (e,t)}{\text{every-student}'(\text{work}') : t}$$

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## Towards a unified semantics of NPs

- “John works.”

$$\frac{\text{john}' : \langle (e,t), t \rangle \quad \text{work}' : (e,t)}{\text{john}'(\text{work}') : t}$$

- “Every student works.”

$$\frac{\text{every-student}' : \langle (e,t), t \rangle \quad \text{work}' : (e,t)}{\text{every-student}'(\text{work}') : t}$$

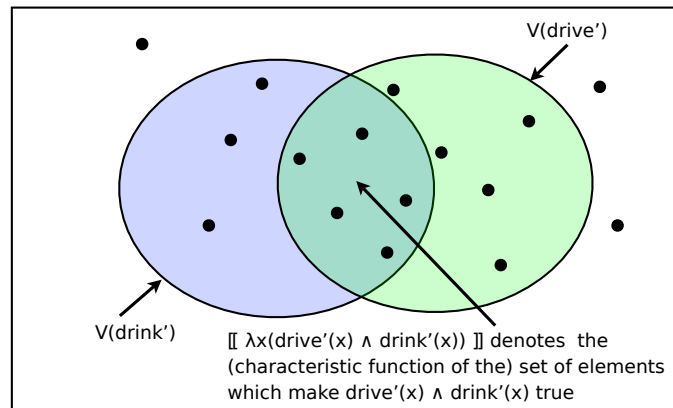
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## $\lambda$ -Abstraction

- $\lambda x(\text{drive}(x) \wedge \text{drink}(x))$ 
  - a term of type  $(e,t)$
  - denotes the property of being “an  $x$  such that  $x$  drives and drinks”
- $\lambda$ -abstraction is an operation that takes an expression and “opens” or “re-opens” specific argument positions by abstracting over a variable
- The result of abstraction over individual variable  $x$  in the formula ‘ $\text{drive}(x) \wedge \text{drink}(x)$ ’ results in the complex predicate ‘ $\lambda x(\text{drive}(x) \wedge \text{drink}(x))$ .’

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## $\lambda x(\text{drive}'(x) \wedge \text{drink}'(x))$



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## $\lambda$ -Abstraction: Semantics

- If  $\alpha \in WE_\tau$ ,  $v \in VAR_\sigma$ , then
  - $\llbracket \lambda v \alpha \rrbracket^{M,g}$  is that function  $f : D_\sigma \rightarrow D_\tau$  such that for all  $a \in D_\sigma$ ,  $f(a) = \llbracket \alpha \rrbracket^{M,g[v/a]}$
- Notice that of course  $f \in D_{(\sigma,\tau)}$ .
- In general:  $\llbracket (\lambda v \alpha)(\beta) \rrbracket^{M,g} = \llbracket \alpha \rrbracket^{M,g[v/\llbracket \beta \rrbracket^{M,g}]}$

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## β-Reduction

- By the modified variable assignment, the value of the argument of the λ-expression is passed through its body and becomes the value of all occurrences of variables bound by the λ-operator.
- We obtain the same result, if we first substitute the free occurrences of the λ-variable in λν(β) by the argument β, and only then interpret the result:
  - $\llbracket \lambda\nu(\beta) \rrbracket^{M,g} = \llbracket \alpha \rrbracket^{M,g[\nu/\llbracket \beta \rrbracket^{M,g}]}$  to
  - $\llbracket \lambda\nu(\beta) \rrbracket^{M,g} = \llbracket [\beta/\nu]\alpha \rrbracket^{M,g}$
- This is the basic idea behind the λ-calculus.

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## Variable capturing

- Are λν(β) and [β/ν]α always equivalent?
  - λx[drive'(x) ∧ drink'(x)](j\*) ⇒ drive'(j\*) ∧ drink'(j\*)
  - λx[drive'(x) ∧ drink'(x)](y) ⇒ drive'(y) ∧ drink'(y)
  - λx[∀y know'(x)(y)](j\*) ⇒ ∀y know(j\*)(y)
  - λx[∀y know'(x)(y)](y) ⇏ ∀y know(y)(y)
- Let ν, ν' be variables of the same type, α any well-formed expression. ν is free for ν' in α iff no free occurrence of ν' in α is in the scope of a quantifier or a λ-operator that binds ν.

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## Conversion rules in the λ-calculus

- β-conversion: λν(β) ⇔ [β/ν]α  
if all free variables in β are free for ν in α.
- α-conversion: λν(β) ⇔ λν'[ν'/ν]β  
if ν' is free for ν in β.
- η-conversion: λν(α(ν)) ⇔ α
- The rule which we will use most in semantics construction is β-conversion in the left-to-right direction (β-reduction), which allows us to simplify representations.

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## An Example

- "John drives and drinks."

$$\begin{array}{c}
 \frac{\text{drive}' : (e,t) \quad x : e}{\text{drive}'(x) : t} \quad \frac{\text{drink}' : (e,t) \quad x : e}{\text{drink}'(x) : t} \\
 \hline
 \text{drive}'(x) \wedge \text{drink}'(x) : t \\
 \hline
 \lambda x (\text{drive}'(x) \wedge \text{drink}'(x)) : (e,t) \quad j^* : e \\
 \hline
 \lambda x (\text{drive}'(x) \wedge \text{drink}'(x)) (j^*) \\
 \Rightarrow_{\beta} \text{drive}'(j^*) \wedge \text{drink}'(j^*)
 \end{array}$$

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## Back to Noun Phrases

- We were looking for a uniform representation for noun phrases:
  - All noun phrases are uniformly represented as terms of type  $\langle\langle e,t \rangle, t\rangle$  i.e., expressions that denote sets of first-order properties  $P$  (type  $\langle e,t \rangle$ ).
  - Interpretation of “John:” the set of properties  $P$  such that John has property  $P$ .
  - Interpretation of “every student:” the set of properties  $P$  such that every student has  $P$ .
  - and so on ...

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## Back to Noun Phrases

- Interpretation of “John:” the set of properties  $P$  such that John has property  $P$ :
  - $\lambda P[P(j^*)]$
- Interpretation of “every student:”  $P$  belongs to the set if every student has property  $P$ :
  - $\lambda P[\forall x(\text{student}'(x) \rightarrow P(x))]$
- Interpretation of “a student:”  $P$  belongs to the set if a student has property  $P$ :
  - $\lambda P[\exists x(\text{student}'(x) \wedge P(x))]$

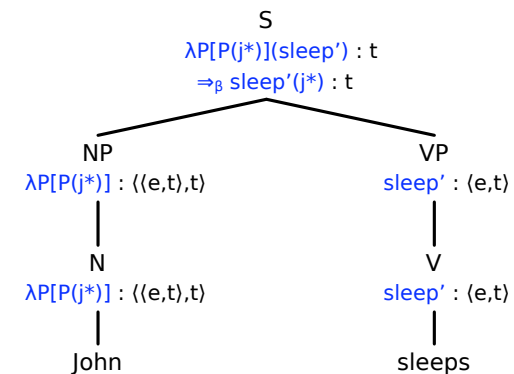
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## More Noun Phrases

John  $\Rightarrow \lambda G[G(j^*)]$   
 Somebody  $\Rightarrow \lambda G \exists x G(x)$   
 A student  $\Rightarrow \lambda G \exists x(\text{student}(x) \wedge G(x))$   
 No student  $\Rightarrow \lambda G \neg \exists x(\text{student}(x) \wedge G(x))$   
 John  $\Rightarrow \lambda G[G(j^*)]$   
 John and Mary  $\Rightarrow \lambda G[G(j^*) \wedge G(m^*)]$

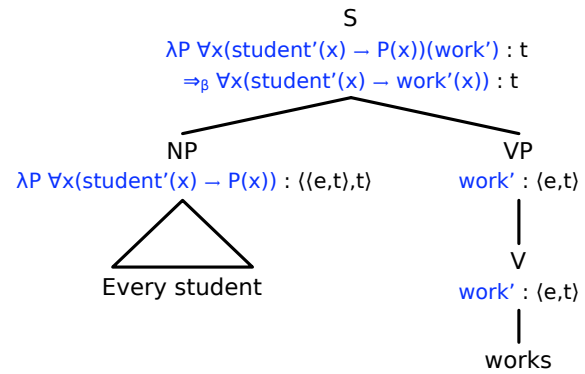
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## “John sleeps”



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## “Every student works”



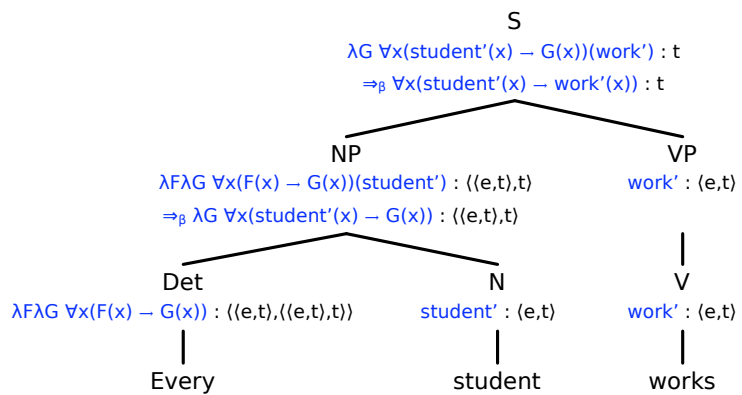
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## Determiners

a, some  $\Rightarrow \lambda F \lambda G \exists x(F(x) \wedge G(x))$   
 every  $\Rightarrow \lambda F \lambda G \forall x(F(x) \rightarrow G(x))$   
 no  $\Rightarrow \lambda F \lambda G \neg \exists x(F(x) \wedge G(x))$   
 most  $\Rightarrow \text{most}'$  (a constant)

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## “Every student works.”



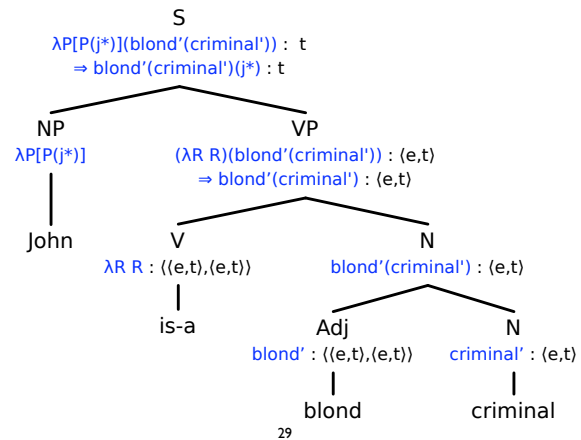
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## Back to Adjectives

- “John is a blond criminal”  
 – criminal'(j\*)  $\wedge$  blond'(j\*)
- “John is a famous criminal”  
 – criminal'(j\*)  $\wedge$  famous'(j\*) ?
- “John is an alleged criminal”  
 – criminal'(j\*)  $\wedge$  alleged'(j\*) ???

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## “John is a blond criminal.”



## Adjective Classes

- Adjectives can be classified with respect to the way they combine the adjective and noun meanings:
  - intersective adjectives (blond, carnivorous, ...):  
 $[[ \text{blond N} ]] = [[ \text{blond} ]] \cap [[ \text{N} ]]$
  - substantive adjectives (skillful, typical, ...):  
 $[[ \text{skillful N} ]] \subseteq [[ \text{N} ]]$
  - privative adjectives (past, fake, ...):  
 $[[ \text{past N} ]] \cap [[ \text{N} ]] = \emptyset$
  - there are also other non-subjective adjectives that are not privative (alleged, ...)

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## A new problem with adjectives

- We want the best of both worlds:
  - compositional semantics construction
  - explicit and meaningful final semantic representations
- We don't have this yet for intersective adjectives.
- We can get this in two different ways
  - use meaning postulates
  - use more explicit lambda terms

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## Meaning Postulates

- Characterise the meaning of a predicate that stands for a word (e.g., “blond”) by using logical axioms.
- Meaning postulate for intersective adjectives:
  - $\forall P \forall x (\text{blond}'(P)(x) \rightarrow P(x))$
- These axioms would be part of our background knowledge.
- For example, we could infer “criminal(john)” from “blond(criminal)(john)” and this axiom.

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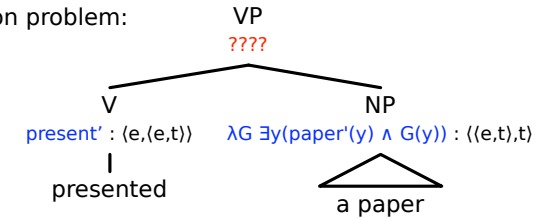
## More explicit lambda terms

- For intersective adjectives, we can also do it by assigning the word a more elaborate lambda term:
  - $\lambda P \lambda x (P(x) \wedge \text{blond}'(P)(x))$
- or alternatively as
  - $\lambda P \lambda x (P(x) \wedge \text{blond}^*(x))$
  - where “blond\*” is a constant of type  $\langle e, t \rangle$  which should denote the set of blond individuals in the universe.
- This will beta-reduce to the formula we want.

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## Transitive Verbs

- A composition problem:

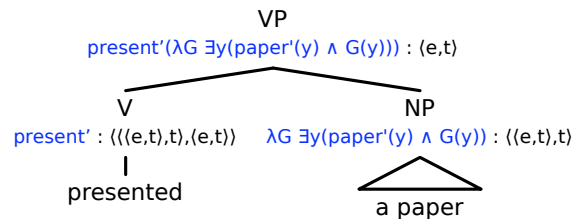


every student  $\Rightarrow \lambda F \forall x (\text{student}'(x) \rightarrow F(x)) : \langle (e, t), t \rangle$   
 a paper  $\Rightarrow \lambda G \exists y (\text{paper}(y) \wedge G(y)) : \langle (e, t), t \rangle$   
 presented  $\Rightarrow \text{present} : \langle e, \langle e, t \rangle \rangle$

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## The solution: Type-Raising

- Raise the type of the first-order relation:
  - present:  $\langle \langle (e, t), t \rangle, \langle e, t \rangle \rangle$



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## Transitive Verbs

- But now our semantic representation no longer beta-reduces to a FOL formula:
  - $\forall x [\text{student}(x) \rightarrow \text{present}(\lambda G \exists y \text{paper}(y) \wedge G(y))(x)]$
- Same problem as with intersective adjectives, same solution.
- Represent transitive verbs like “present” as follows:
  - $\lambda Q \lambda x [Q(\lambda y [\text{present}^*(y)(x)])] : \langle \langle (e, t), t \rangle, \langle e, t \rangle \rangle$ ,
  - where present\*:  $\langle e, \langle e, t \rangle \rangle$

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## “... presented a paper”

- a paper  $\Rightarrow \lambda G \exists z (\text{paper}'(z) \wedge G(z))$
- presented  $\Rightarrow \lambda Q \lambda x [Q(\lambda y [\text{present}^*(y)(x)])]$
- presented a paper
  - $\Rightarrow \lambda Q \lambda x [Q(\lambda y [\text{present}^*(y)(x)])](\lambda G \exists z (\text{paper}'(z) \wedge G(z)))$
  - $\Rightarrow \lambda x [\lambda G \exists z (\text{paper}'(z) \wedge G(z))(\lambda y [\text{present}^*(y)(x)])]$
  - $\Rightarrow \lambda x [\exists z (\text{paper}'(z) \wedge \lambda y [\text{present}^*(y)(x)](z))]$
  - $\Rightarrow \lambda x [\exists z (\text{paper}'(z) \wedge \text{present}^*(z)(x))]$

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## Conclusion

- Semantics construction is not so easy for nontrivial sentences.
- With lambda-abstraction and application, these sentences can be treated in a straightforward way.
- Lambda abstraction is a very natural and straightforward extension to lambda-free type theory, and belongs to standard definitions of type theory.

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