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Syntax-Semantics Interface

Afra Alishahi, Heiner Drenhaus

Computational Linguistics and Phonetics Saarland University

Linking Syntax to Semantics

- How is the surface structure (syntax) linked to the underlying meaning (semantics)?
 - Alternative 1: syntax is learned independently of semantics; later the two are linked together
 - Alternative 2: syntax and semantics are learned simultaneously
- Central unit: verb argument structure
 - Relationship btw the semantics of a verb and its syntactic form
 - Number and type of the arguments that the verb takes
 - Semantic roles that the arguments receive in an event
 - Syntactic realization of the verb and its arguments

How to Convey a Relational Meaning?

chimp

apple

eat



The chimp is eating an apple

How to Convey a Relational Meaning?

[Fisher'94]



This is blicking!

The rabbit is blicking the duck

How to Convey a Relational Meaning?



She is dropping the vase. The vase is falling. *She is falling the vase.

running



drinking



breaking



looking













AGENT is VERBing

running





breaking



looking





dancing



rolling

falling

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THEME is VERBing

running







looking





dancing



rolling







Acquisition of Verb Argument Structure

• General patterns

• Young children are sensitive to argument structure regularities

bunny gorped duck \Rightarrow causal action? *kitty blicked down the street* \Rightarrow manner of motion?

- Idiosyncrasies
 - Semantically similar verbs can have different syntactic behaviour

I filled the glass with water, *I filled water into the glass *They loaded the truck with hay, They loaded hay into the truck

• A U-shaped behavioural pattern is observed for children's argument structure acquisition

Semantic Bootstrapping

- Semantic Bootstrapping (Pinker, 1984)
 - Syntactic behaviour of a verb is innately determined by the decompositional representation of its meaning

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Agent is 1st argument of CAUSE
Patient is 2nd argument of CAUSE
Theme is 1st argument of GO and BE
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• With the innate knowledge of mapping between semantics and syntax, a child can predict the correct mapping once she knows what a verb means

Argument Structure Constructions

- Construction Grammar
 - Meaning may be directly associated with syntactic forms
 - Lakoff 1987, Fillmore et al. 1988, Langacker 1999
- Argument structure construction (Goldberg, 1995)
 - A mapping between underlying verb-argument relations and the syntactic form that is used to express them

Subj V Obj Obj2 ⇔ X cause Y receive Z Example: *Pat faxed Bill the letter*.

Subj V Oblique $\Leftrightarrow X \mod Y$

Example: The fly buzzed into the room.

How are Constructions Learned?

- Tomasello (1991):
 - Argument structure patterns are acquired on a verb-by-verb basis
 - Abstract constructions learned through categorization and generalization of common patterns
- Goldberg (1995):
 - Constructional meaning is formed around the meanings of highly frequent light verbs
 - E.g., the construction "Subj V Oblique" paired with the meaning
 "X moves Y" corresponds to the light verb go

Computational Modeling of Constructions

- FrameNet (Baker, Fillmore, Low, 1998)
 - A database of lexical constructions (or frames)
- The acquisition of constructions
 - Learning lexical constructions via structure mapping (Chang, 2004)
 - Learning verb meaning from image data (Dominey, 2003; Dominey & Inui, 2004)
 - Learning abstract constructions from verb usage data (Alishahi & Stevenson, 2008)

- Learning lexical-based constructions from child-directed data
 - Goal: learning associations between form relations (word order) and meaning relations (role-filler bindings)
 - Search space: grammars defined by a unification-based formalism (Embodied Construction Grammar, ECG)
 - Form and meaning representations: subgraphs of elements and relations among them
 - Construction representation: a mapping between two subgraphs
 - Learning task: finding the best grammar to fit the observed data

• Learning lexical-based constructions from child-directed data



• The model makes generalizations at the lexical level:



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Case Study: Alishahi & Stevenson (2008)

- A Bayesian model of early argument structure acquisition
 - Each verb usage is represented as a set of features



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- A Bayesian model of early argument structure acquisition
 - Each verb usage is represented as a set of features
 - Each construction is a cluster of verb usages
 - A probability distribution over feature values
 - The best construction is found for each new usage through a Bayesian approach



Sample Constructions

• Verb semantic primitives for Transitive Construction:



Sample Constructions

• Verb semantic primitives for Intransitive Construction:



Sample Sentence Production

• First eight usages of *fall* by Adam (CHILDES) and by one of the simulations of our model

Adam	Our model
go fall!	John fall ball
no no fall no!	toy fall
no fall!	Mary fall book
oh Adam fall.	toy fall
Adam fall toy.	cookie fall
Adam fall toy.	kitty fall
oh fall.	spoon fall
I not fall.	ball and toy fall



- Learning phases are successfully simulated:
 - Imitation
 - Overgeneralization and recovery
 - Productive generalization

Verb Semantic Roles

• Semantic (thematic) roles indicate the relations of the participants in an event to the main predicate



Theoretical Questions

- What is the nature of semantic roles?
 - Traditional view: roles are atomic and universal, such as Agent, Theme, Goal, ... (e.g., Jackendoff 1990)
 - Proto-role Hypothesis (Dowty, 1991): roles are a set of properties, such as volitional, affecting, animate
- Where do they come from?
 - Traditional view: roles and their link to syntactic positions are innate (e.g., Pinker 1989)
 - Alternative view: they are gradually learned from verb usages (e.g., Tomasello 2000)









Learnability of Semantic Roles

• Usage-based account: verb-specific roles change to general roles over time



• Experimental evidence confirms that access to general roles such as Agent and Theme is age-dependent (Shayan & Gershkoff-Stow, 2007)

Linking Semantic Roles to Grammatical Functions

- Semantic roles are linked to syntactic positions early on
 - Children are sensitive to the association between semantic roles (e.g. Agent) and grammatical functions (e.g. Subject) from an early age (Fisher 1994, 1996; Nation et al., 2003)
- Nativist account
 - Innate linking rules that map roles to sentence structure enable children to infer associations between role properties and syntactic positions (e.g., Pinker, 1989)

Computational Studies of Roles

- Assignment of general pre-defined roles to sentence constituents
 - E.g., McClelland and Kawamoto (1986), Allen (1997)
- Role learning
 - Learning verb-specific roles from annotated data (Chang 2004)
 - Discovering relational concepts from unstructured examples (Kemp et al., 2006; Doumas et al., 2008)
 - Acquiring semantic profiles for general roles from verb usages (Alishahi & Stevenson, 2008)

Case Study: Allen (1997)

- A connectionist model of thematic role assignment
 - Integrates syntax, semantics and lexical information
 - Is trained on usages of most frequent verbs in CHILDES
 - Predicts semantic properties of verbs and nouns
 - Simulates grammaticality judgment



Role Representation

• Each basic role was elaborated by a set of subroles, or protorole properties:

Cause _____ patient change of state motion travel location experiencer possessor instrument path Causation Subtypes apply force action direct cause allow help impede instrumental author agent internal cause

Semantic Prediction & Grammaticality



John Kick Mary Ball

Verb Input carry Noun Input Basket John Mary **OUTPUT** Roles Computed cause travel+ endpoint possess Core Semantics Computed move support contcont 1 2 3 4 5 6 7 8 9 101112131415161718 Time Step

INPUT

John carry Mary basket

Case Study: Alishahi & Stevenson (2010)

- A Bayesian model of early verb learning can learn
 - general conceptions of roles based only on exposure to individual verb usages
 - associations between general semantic properties and the syntactic positions of the arguments
- The acquired semantic roles
 - naturally metamorphose from verb-specific to general properties
 - are an intuitive match to the expected properties of various roles
 - are useful in guiding comprehension in the face of ambiguity

Distributional Representations of Roles

Number of arguments: 2

The chimp is eating an apple

Lexical properties: {living thing, animal, chimp, ...}

Event-based properties: {volitional, affecting, animate, ...}



arg1 verb arg2

Event (Verb): Eat

Event properties:

action, consumption

Lexical properties: {entity, object, fruit, ...}

Event-based properties: {non-independently exist, affected, change, ...}

Event-based Properties of Transitive Arguments

Argument 1 (Agent)		Argument 2 (Theme)	
Probability	Event-based property	Probability	Event-based property
0.048	independently exist	0.086	state
0.048	sentient	0.031	independently exist
0.035	animate	0.031	change
0.035	change	0.031	change possession
0.035	affected		
0.035	change emotional		
0.035	becoming		
0.013	volitional		
0.013	possessing		
0.013	getting		
	-		

Lexical Properties of Transitive Arguments

Argument 1 (Agent)		Argument 2 (Theme)	
Probability	Lexical property	Probability	Lexical property
0.054	entity	0.056	entity
0.040	object	0.037	object
0.040	physical object	0.037	physical object
0.026	being	0.023	unit
0.026	organism	0.023	artifact
0.026	living thing	0.023	artefact
0.026	animate thing	0.023	whole
0.015	person	0.023	whole thing
0.015	individual	0.018	abstraction
0.015	someone	0.014	being
0.015	somebody	0.014	organism
0.015	mortal	0.014	living thing
0.015	human	0.014	animate thing
0.015	soul	0.014	person
0.015	aquical agant	0.014	- individual

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Learning Curves for Semantic Profiles



Verb Selectional Restrictions

- Most verbs impose semantic restrictions on the arguments that they take, which
 - affect the acceptability of natural language sentences: *eating food, drinking water,* **eating water,* **drinking food*
 - facilitate language comprehension and word learning
- Earlier theories view selectional constraints as defining features of the arguments:

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hit (Subj , Obj )
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Subj: HUMAN or HIGHER ANIMAL

Obj: PHYSICAL OBJECT

• Identifying necessary and sufficient restrictions is a challenge

Verb Selectional Preferences

- Resnik (1993) proposed an alternative view: verbs have *preferences* for the type of arguments they allow for
 - World knowledge is represented as a semantic class hierarchy
 - Selectional preferences are viewed as probability distributions over various semantic classes
- Verbs have different degrees of preference
 - e.g. *eat* and *sing* have strong preferences for the direct object position, but *put* and *make* do not

Computational Modeling of Selectional Preferences

- Most of the existing computational models are influenced by the information-theoretic model of Resnik (1993,1996)
 - Represent preference for an argument position of a verb as a mapping of each semantic class to a real number
 - Model the induction of a verb's preferences as estimating that number, using a training data set
 - Examples: Li and Abe (1998), Abney and Light (1999), Ciaramita and Johnson (2000), Clark and Weir (2002)
- Different approach: Erk (2007)
 - Estimate preferences for a head word based on the similarities between that word and other head words observed in a corpus.

Cognitive Modeling and NLP

- Early NLP viewed itself as building models of human understanding
- Modern NLP has shifted emphasis
 - Focus on applications: do limited tasks accurately and robustly, often without real understanding
 - Emphasis on representations, coverage and efficiency, not concerned with cognitive plausibility
- However, cognitive modeling of language is heavily informed by research in NLP
 - Modeling of human language acquisition is influenced by specialized machine learning techniques

Open Questions

- How various aspects of language acquisition interact with each other?
 - Various learning procedures are most probably interleaved (e.g., word leaning and syntax acquisition)
 - Most of the existing models of language acquisition focus on one aspect, and simplify the problem
- How to evaluate the models on realistic data?
 - Large collections of child-directed utterances/speech are available, but no such collection of semantic input
 - A wide-spread evaluation approach is lacking in the community