

# Computational Psycholinguistics

## Lecture 3: Human Sentence Parsing Mechanisms (HSPM)

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(based on slides by Matthew Crocker)

# Sentence Processing

- Combine the words of an utterance to yield the interpretation of a sentence.
- Sentence processing is compositional
  - most of the sentences have never been seen before
  - the interpretation of a sentence depends of the meaning of each of its words, and their order

# Human Sentence Processing

- Main sources of information:
  - **Grammar**: an appropriate formal description of how words of a sentence can be structured into a connected, interpretable representation
  - **Empirical evidence** concerning people's behaviour when they process language
- Human Sentence Parsing Mechanism (**HSPM**)
  - Plausible mechanisms used to build syntactic representations using grammatical knowledge

# Context Free Grammars

- A simple phrase structure grammar:

S → NP VP

PP → P NP

VP → V NP

VP → V

NP → NP PP

NP → Det N

Det → the

Det → every

N → man, woman

N → book

P → with

V → read, reads

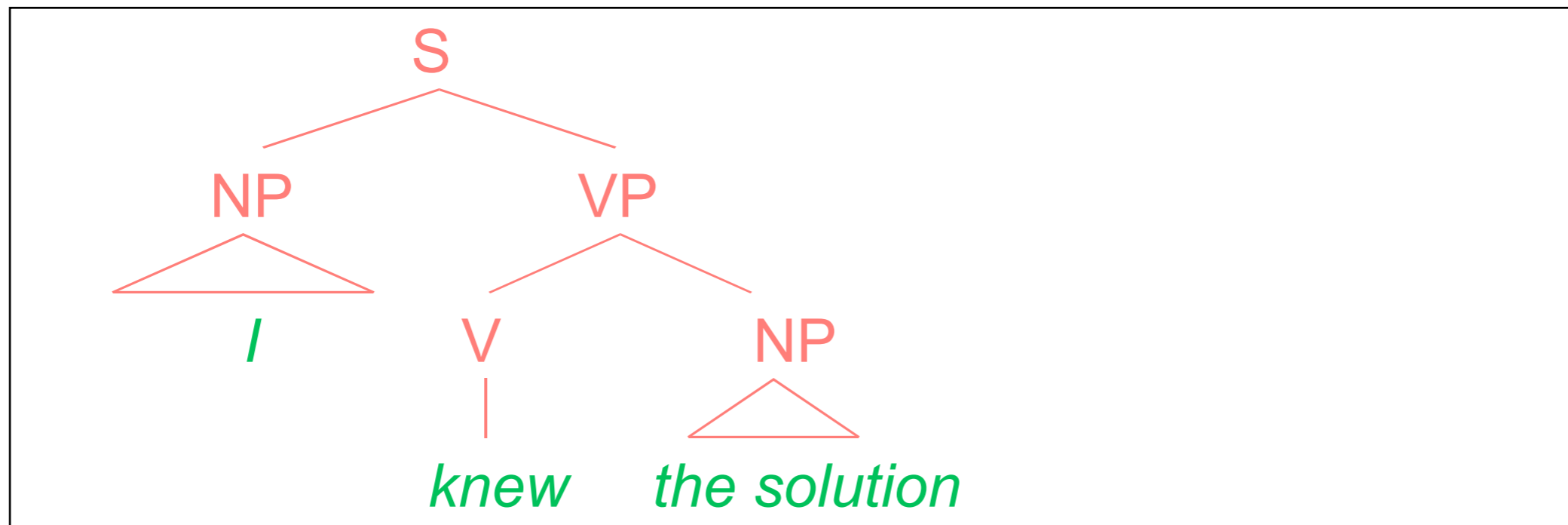
Non-terminal rules of grammar must be used to derive an utterance.  
Terminal rules of grammar must be used to derive an utterance.

# Parsing Issues

- Properties of HPSM
  - Input sentence must be processed and a connected interpretation must be maintained **incrementally**
  - Parsing decisions must reflect those of human processing in dealing with structural **ambiguity**
  - Difficulties in human sentence processing must be explained through corresponding increases in **processing complexity**

# Ambiguity

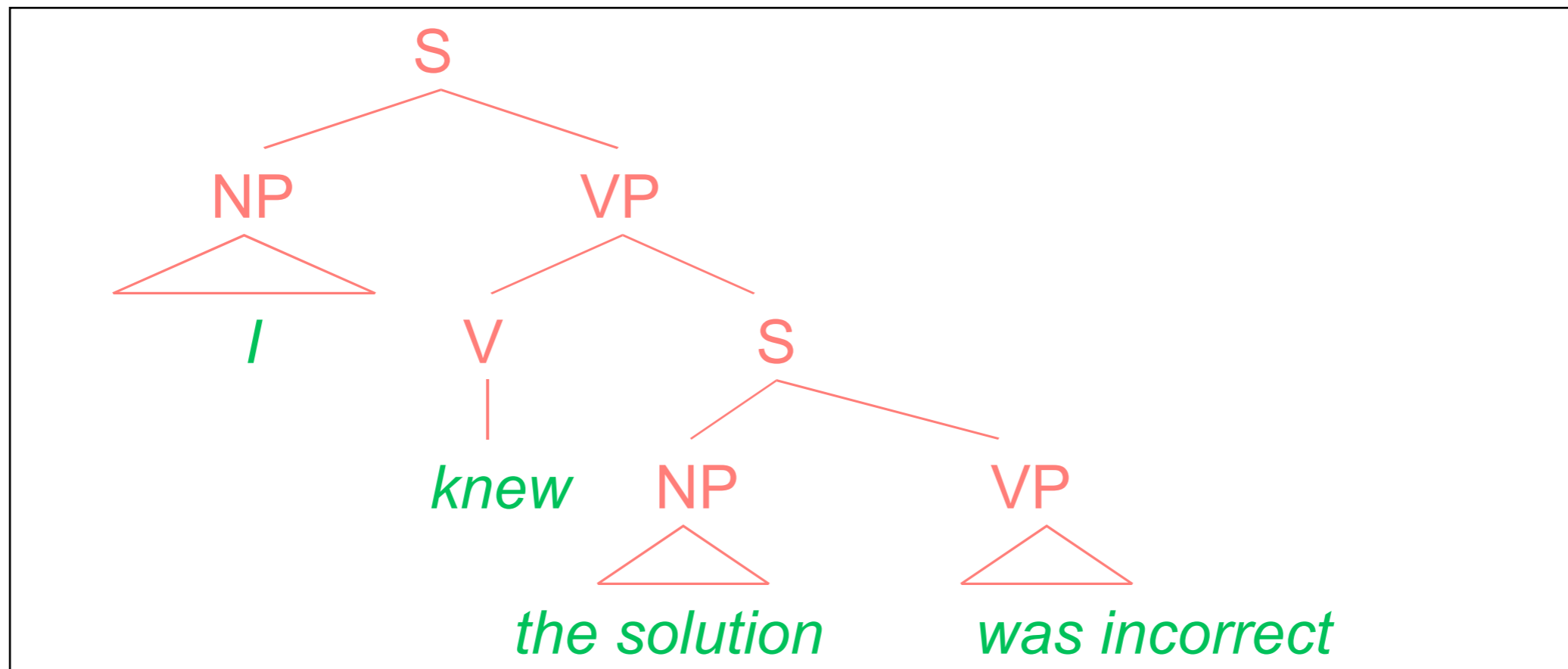
- **Local** ambiguity
- more than one possible analyses for the initial sub-string of the utterance, but disambiguated by the end.



*I knew the solution ...*

# Ambiguity

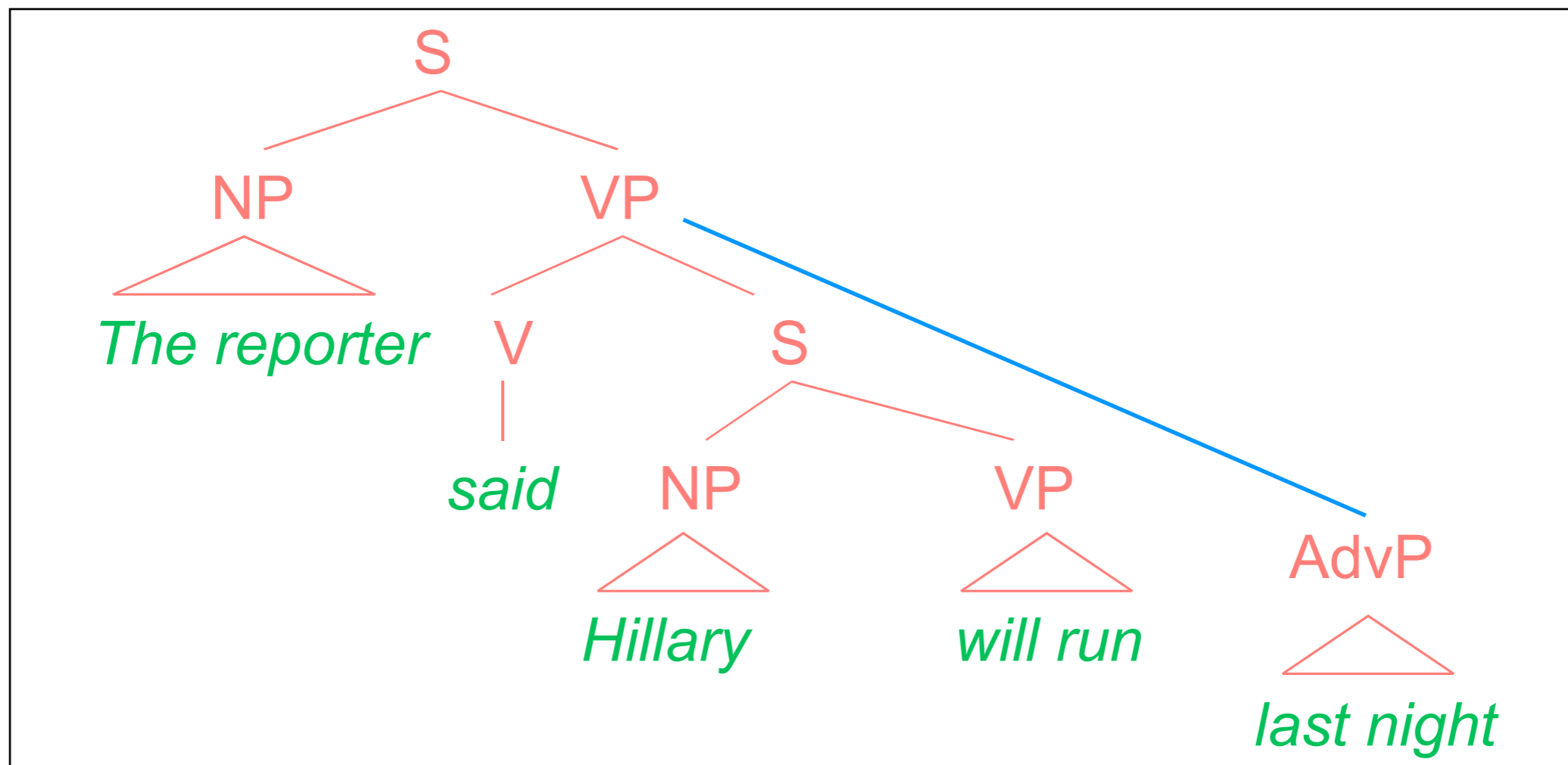
- **Local** ambiguity
- more than one possible analyses for the initial sub-string of the utterance, but disambiguated by the end.



*I knew the solution was incorrect.*

# Ambiguity

- **Global** ambiguity: the sentence has two possible interpretations.

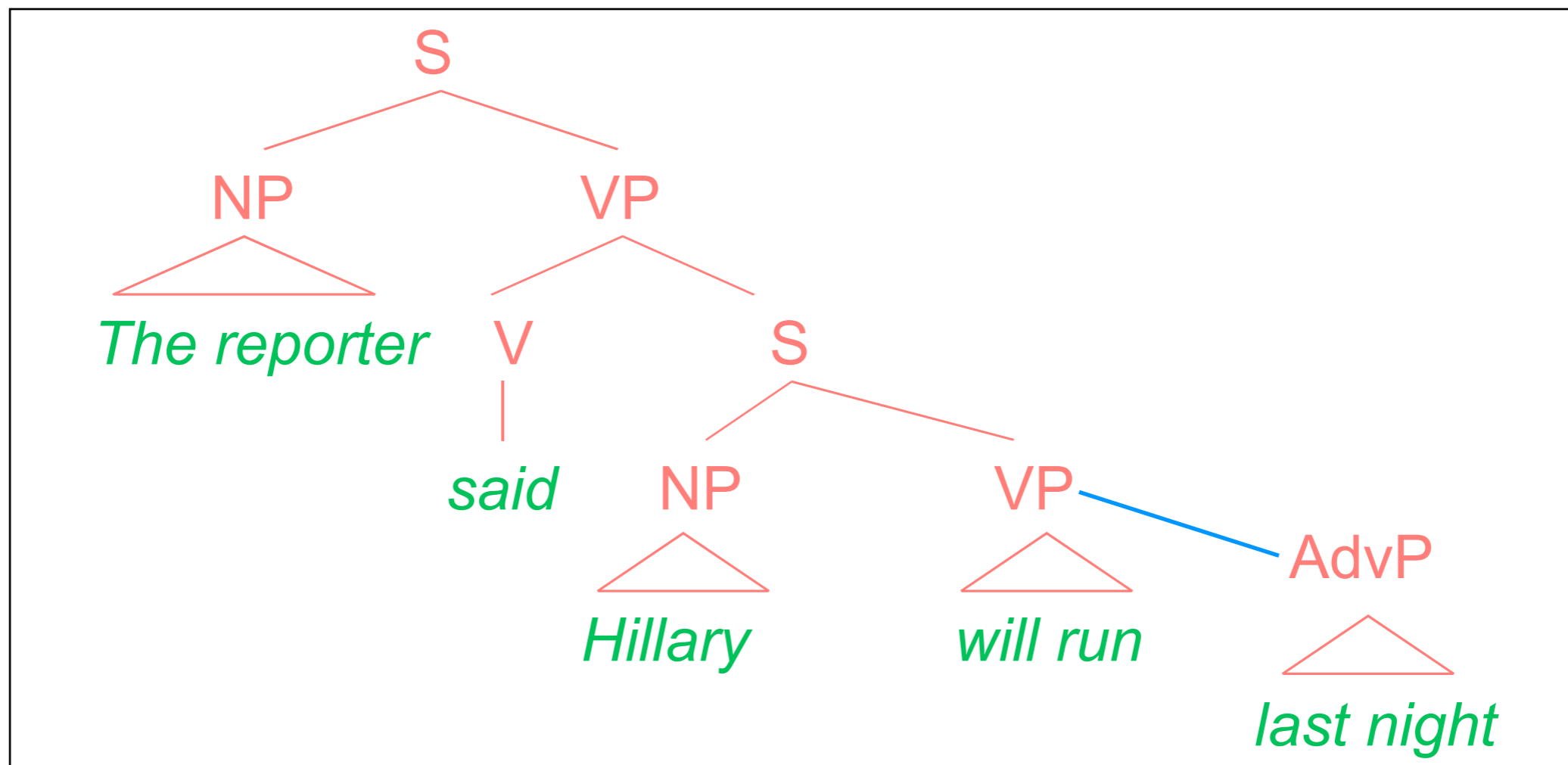


*“The reporter said Hillary will run last night.”*



# Ambiguity

- **Global** ambiguity: the sentence has two possible interpretations.



*"The reporter said Hillary will run last night."*

# Processing Complexity

- Garden-path sentences

*“The woman sent the letter was pleased.”*

compare to:

*“I know the solution to the problem was wrong.”*

- Center-embedded constructions:

*“The rat that the cat that the dog chased bit died.”*

compare to:

*“John’s brother’s cat despises rats.”*

*“This is the dog that chased the cat that bit the rat that died.”*

# Parsing Mechanisms

- Algorithms to build a parse tree for an utterance
  - **left-to-right**, head-driven, right to left
  - top-down, bottom-up, mixed
- Processing complexity:
  - **Time**: what time is required to parse a sentence as a function of sentence length, grammar size?
  - **Space**: how much memory does the parser require?

# Bottom-up Parsing

- Driven by the words in the sentence
- Combine words 'bottom-up' into higher level constituents
- A simple instance: **shift-reduce parser**
  - previously seen constituents are stored in a **stack**
  - **shift**: move the algorithm to the next word
  - **reduce**: combine the constituents already found into new constituents

# Bottom-up Parsing: An Example

*“The ...”*

stack: [Det]

Det  
|  
*the*

# Bottom-up Parsing: An Example

*“The woman ...”*

**stack: [Det,N]**

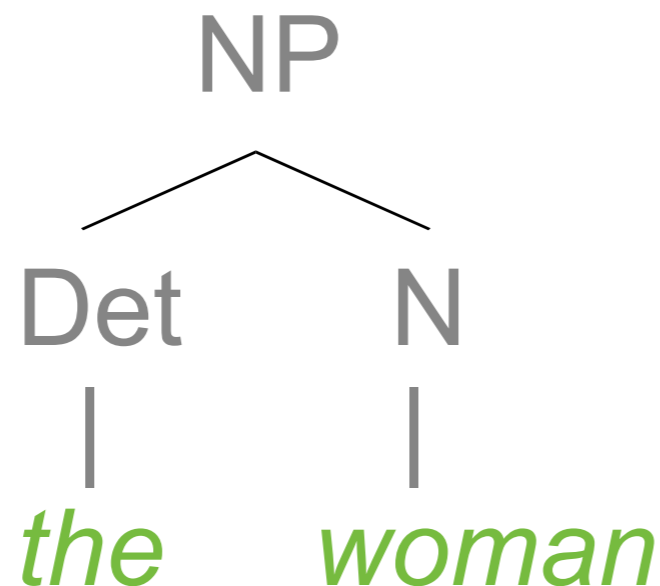
Det  
|  
*the*

N  
|  
*woman*

# Bottom-up Parsing: An Example

*“The woman ...”*

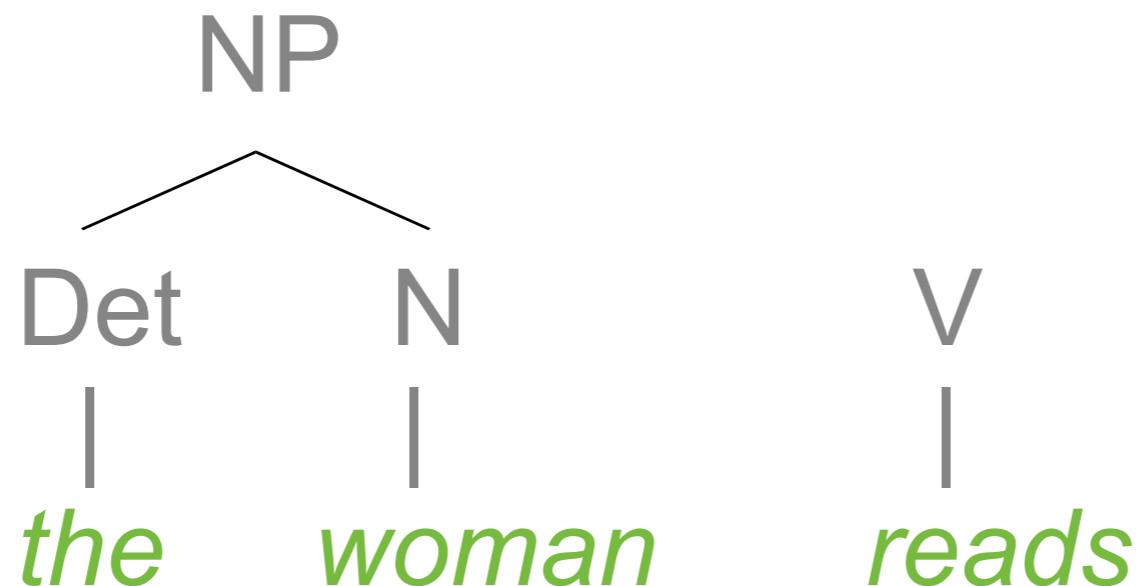
**stack: [NP]**



# Bottom-up Parsing: An Example

*“The woman reads.”*

stack: [NP, V]

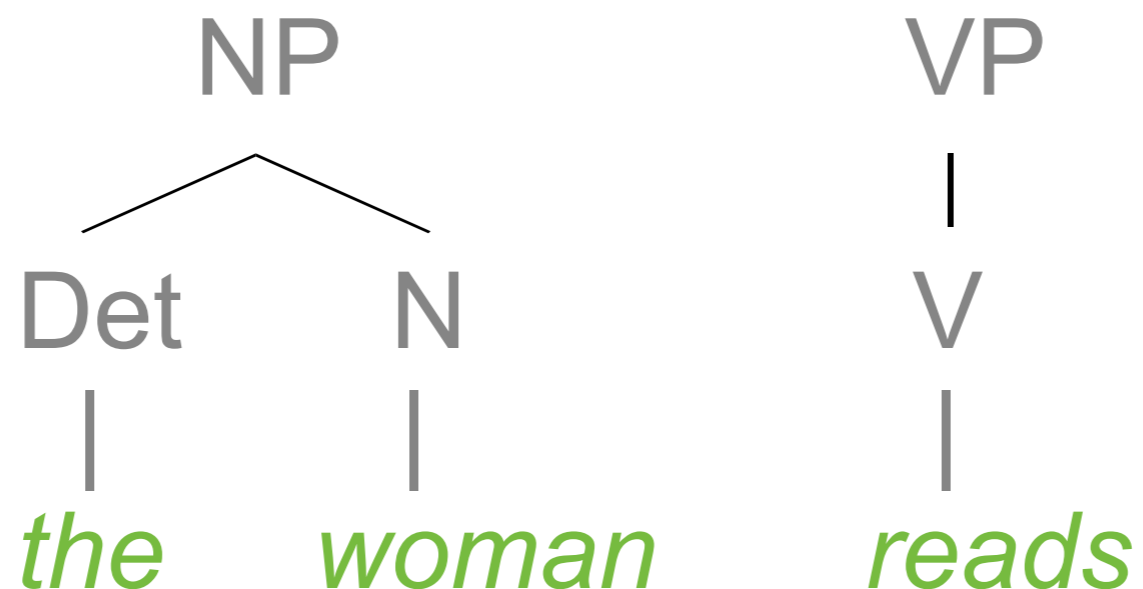




# Bottom-up Parsing: An Example

*“The woman reads.”*

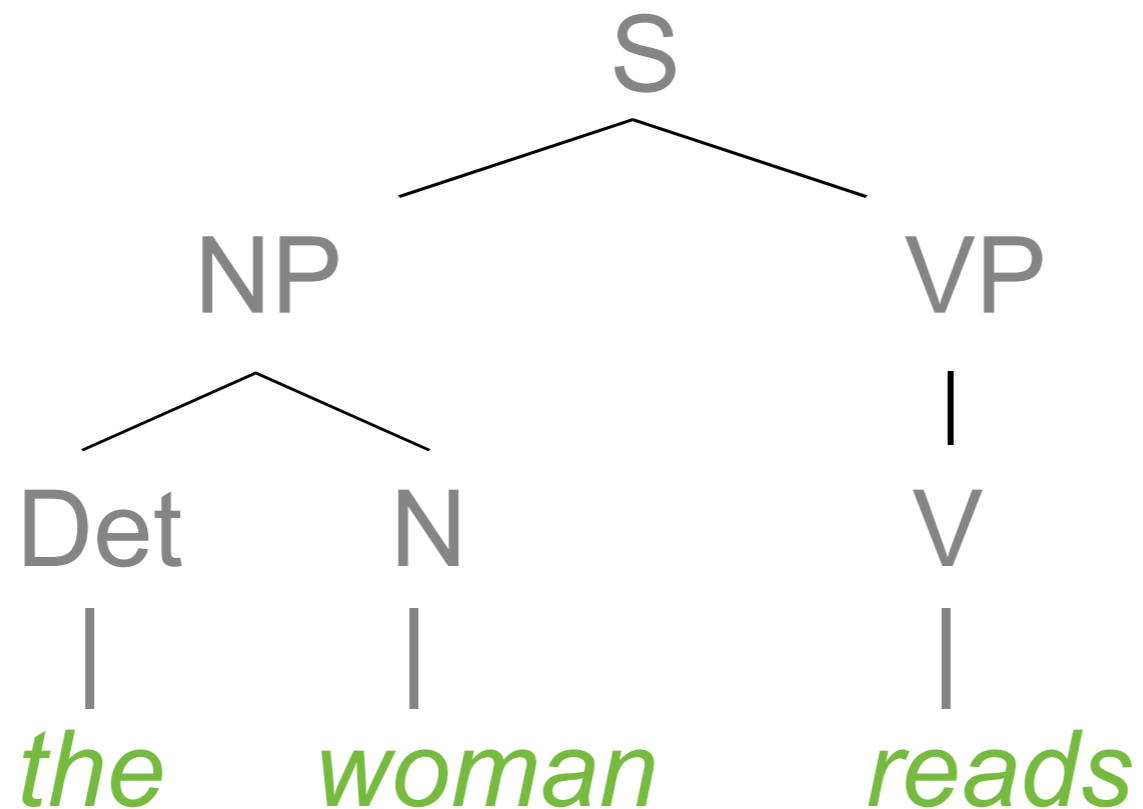
stack: [NP,VP]



# Bottom-up Parsing: An Example

*“The woman reads.”*

stack: [S]



# Shift-Reduce Parsing Algorithm

- ① Initialize *Stack* = []
- ② loop: Either *shift*:
  - Determine category, *C*, for next word in sentence;
  - Push *C* onto the stack;
- ③ Or *reduce*:
  - If categories on the *Stack* match the right-hand side of a rule:
    - Remove those categories from the *Stack*;
    - Push the left-hand side category onto the *Stack*;
- ④ No more words to process?
  - If *Stack* = [*S*], then done;
  - else, fail.
- ⑤ Goto loop

# Choice Points

- ① Initialize *Stack* = []
  - ② loop: Either *shift*:
    - Determine category, *C*, for next word in sentence;
    - Push *C* onto the stack;
  - ③ Or *reduce*:
    - If categories on the *Stack* match the right-hand side of a rule:
      - Remove those categories from the *Stack*;
      - Push the left-hand side category onto the *Stack*;
  - ④ No more words to process?
    - If *Stack* = [*S*], then done;
    - else, fail.
  - ⑤ Goto loop
- which operation?
- which rule?
-

# Top-down Parser

- Assumes that there is a sentence, and works its way down the tree to the words
- Algorithm:
  - Expected constituents are stored in a stack
  - Each constituent is expanded using a grammar rule
  - The predicted constituents are matched against the input words

# Bottom-up Parsing: An Example

“ ... ”

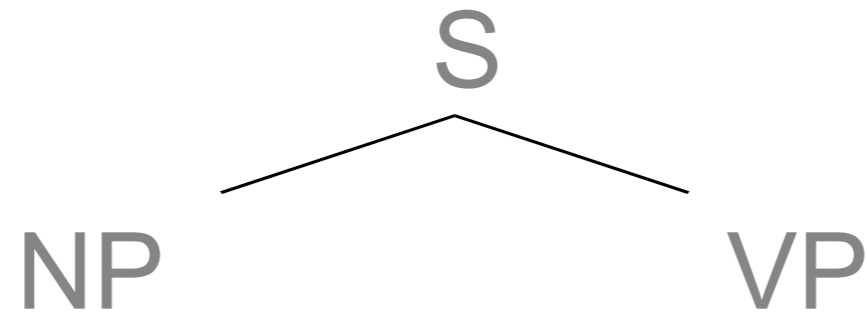
stack: [S]

S

# Bottom-up Parsing: An Example

“ ... ”

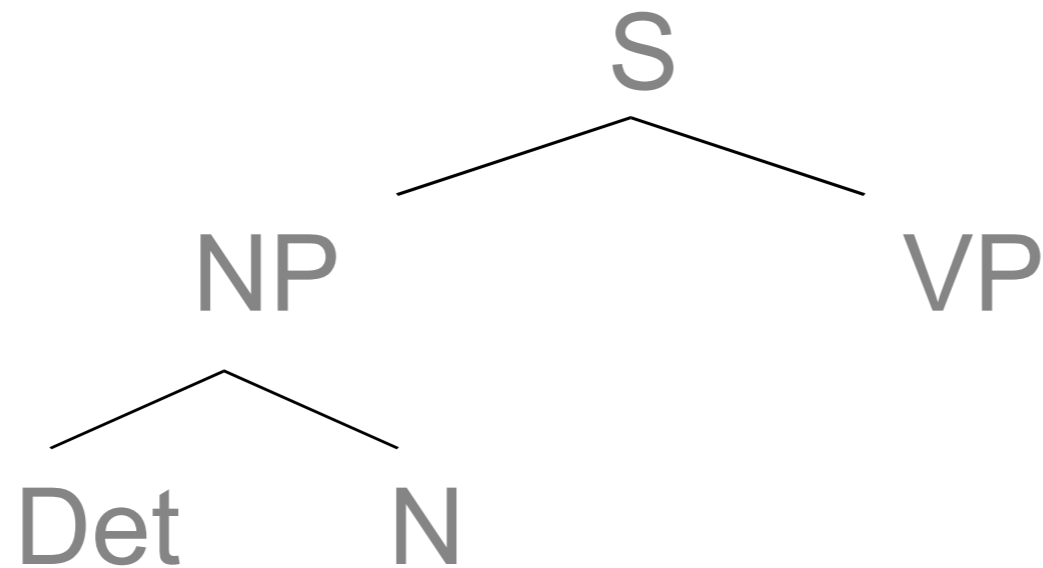
stack: [NP,VP]



# Bottom-up Parsing: An Example

“ ... ”

stack: [Det, N, VP]

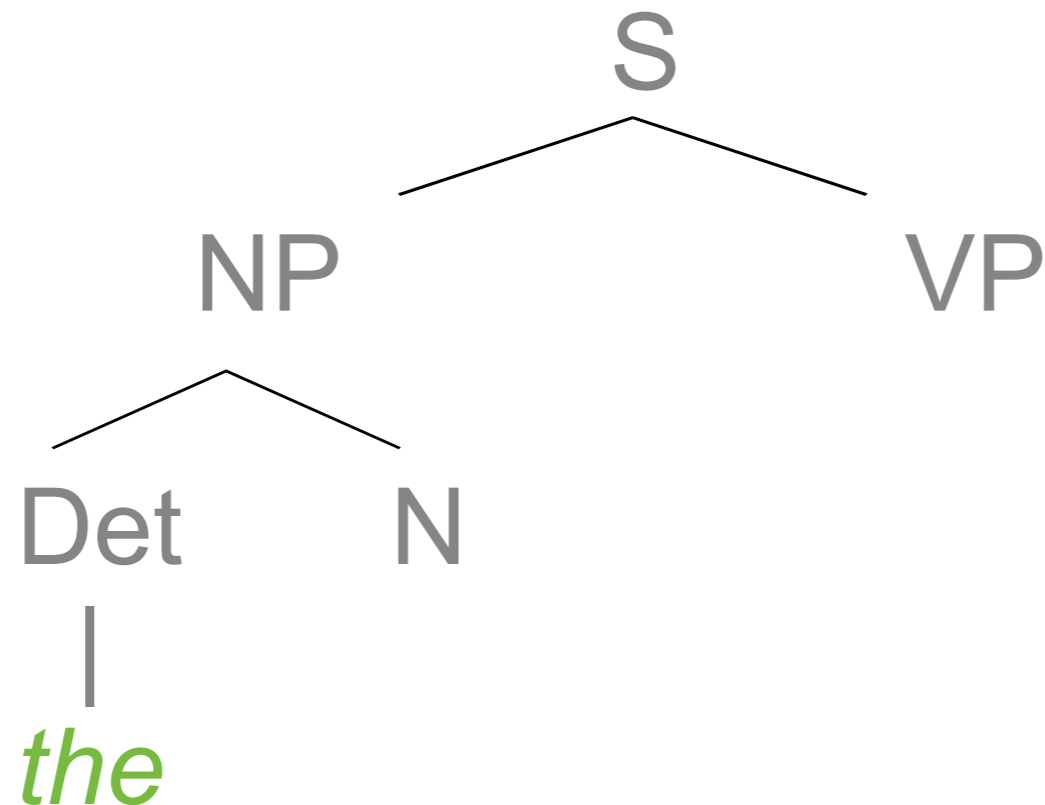




# Bottom-up Parsing: An Example

*“The ...”*

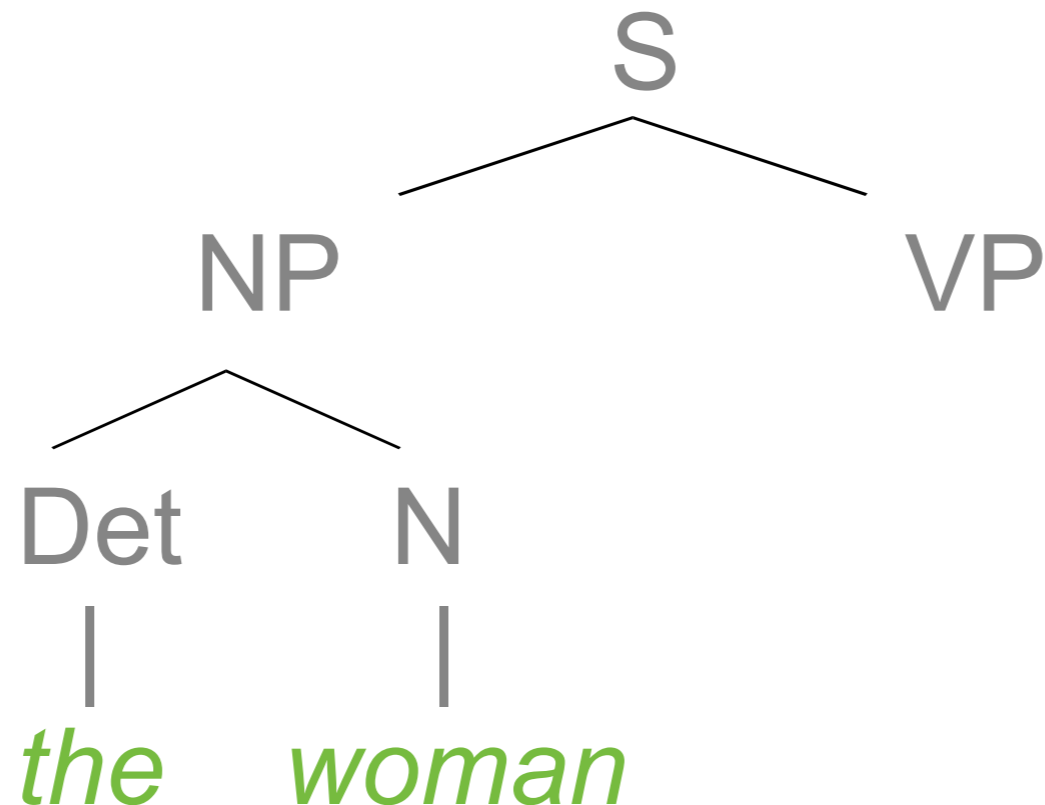
stack: [N, VP]



# Bottom-up Parsing: An Example

*“The woman ...”*

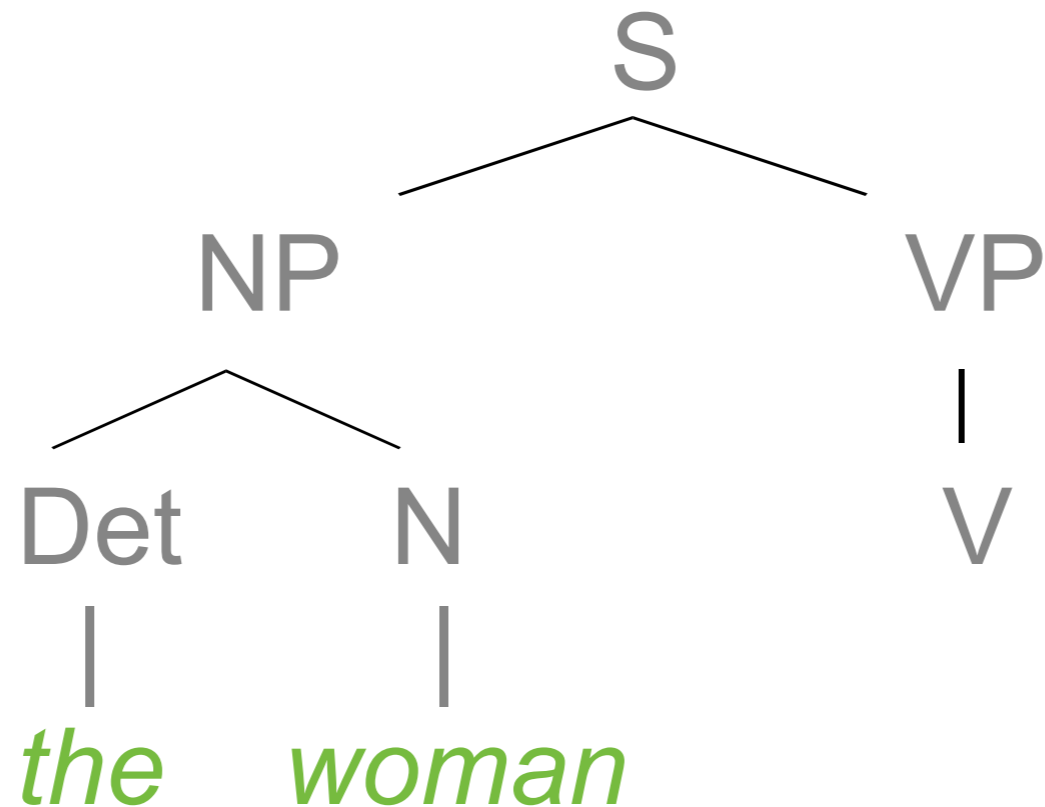
stack: [VP]



# Bottom-up Parsing: An Example

*“The woman ...”*

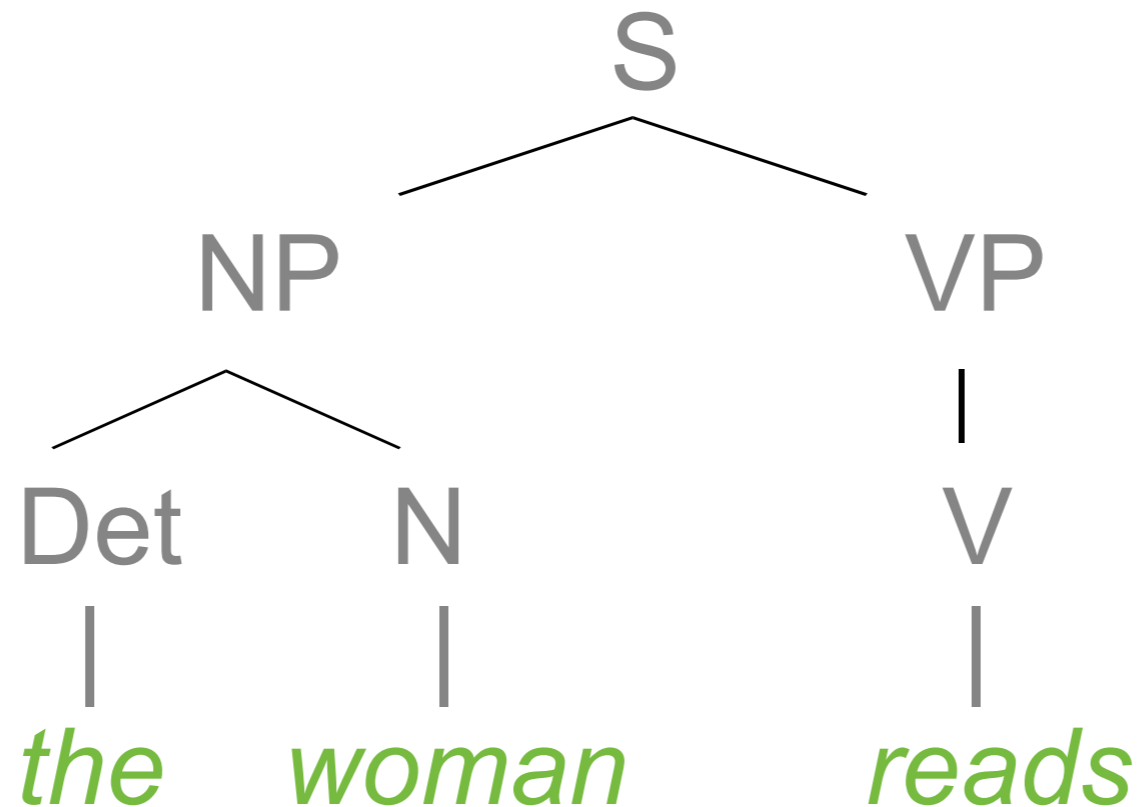
stack: [V]



# Bottom-up Parsing: An Example

*“The woman reads.”*

stack: []



# Top-down Parsing Algorithm

- ① Initialise Stack = [S]
- ② If top(Stack) is a non-terminal, N:
  - Select rule  $N \rightarrow \text{RHS}$ ;
  - pop(N) off the stack and push(RHS) on the stack;
- ③ If top(Stack) is a pre-terminal, P:
  - Get next word, W, from the input;
  - If  $P \rightarrow W$ , then pop(P) from the stack;
  - Else fail;
- ④ No more words to process?
  - If Stack = [], then done;
  - else, fail.
- ⑤ Goto ②

# Choice Points

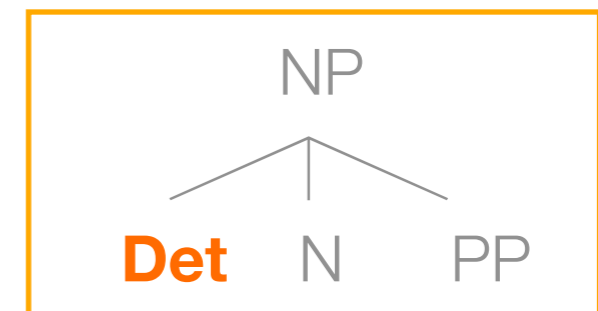
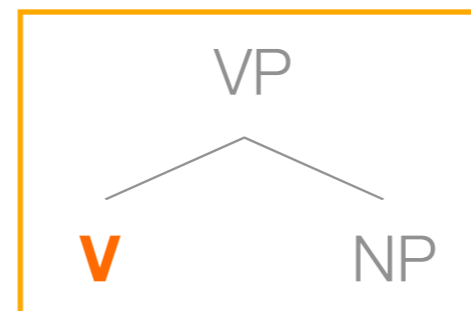
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    - Get next word, W, from the input;
    - If  $P \rightarrow W$ , then pop(P) from the stack;
    - Else fail;
  - ④ No more words to process?
    - If Stack = [], then done;
    - else, fail.
  - ⑤ Goto ②
- which rule?

# Cognitive Plausibility

- Incrementality
  - Both process the input words incrementally
  - Bottom-up does not maintain a connected interpretation incrementally
- Input-driven
  - Bottom-up is input-driven
  - Top-down is not, and has problem with left-recursion

# Left Corner Parser

- Combines bottom-up and top-down strategies
- Main intuition:
  - Match the “left-corner” of a rule to the input (bottom-up) to project its mother category
  - Predict the remaining categories on the right (top-down)





# Left Corner Parser: an Example

*“The ...”*

stack: [S]

S

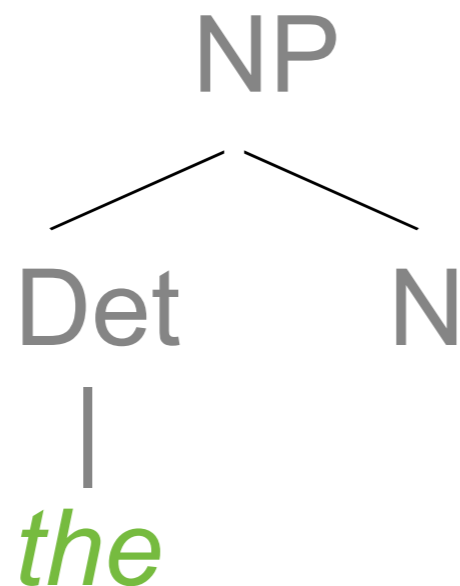
Det  
|  
*the*

# Left Corner Parser: an Example

*“The ...”*

stack: [N,S]

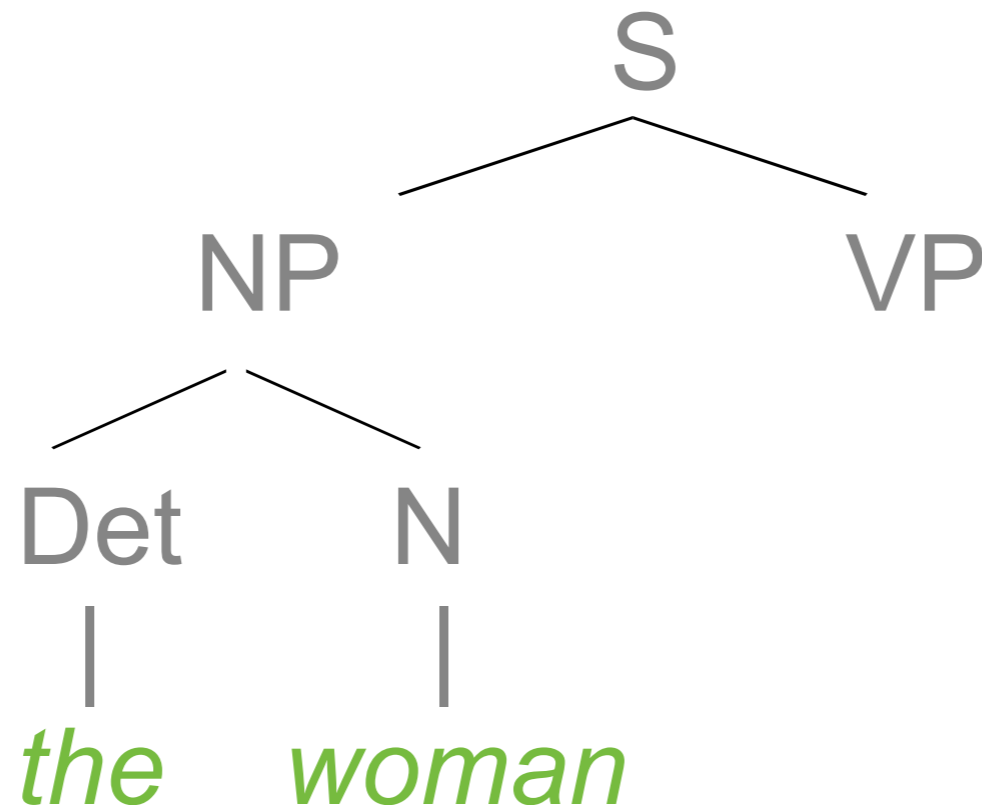
S



# Left Corner Parser: an Example

*“The woman ...”*

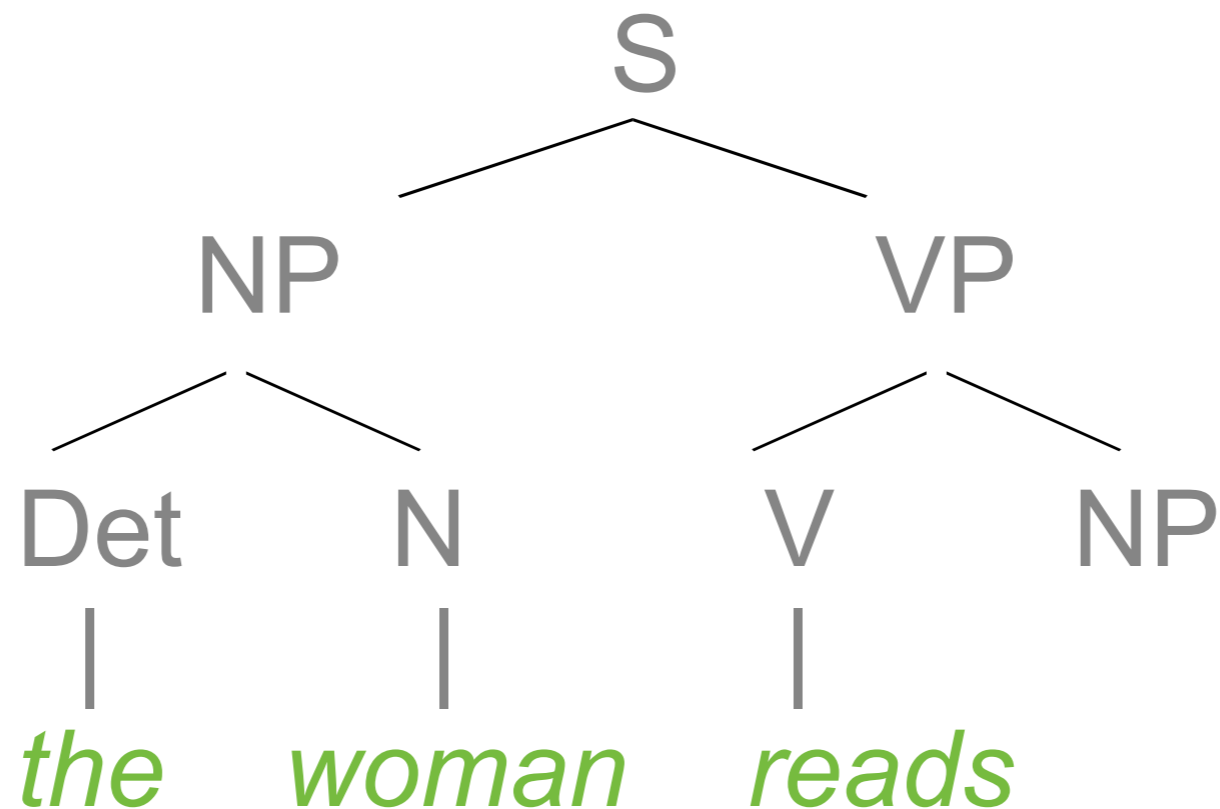
stack: [VP]



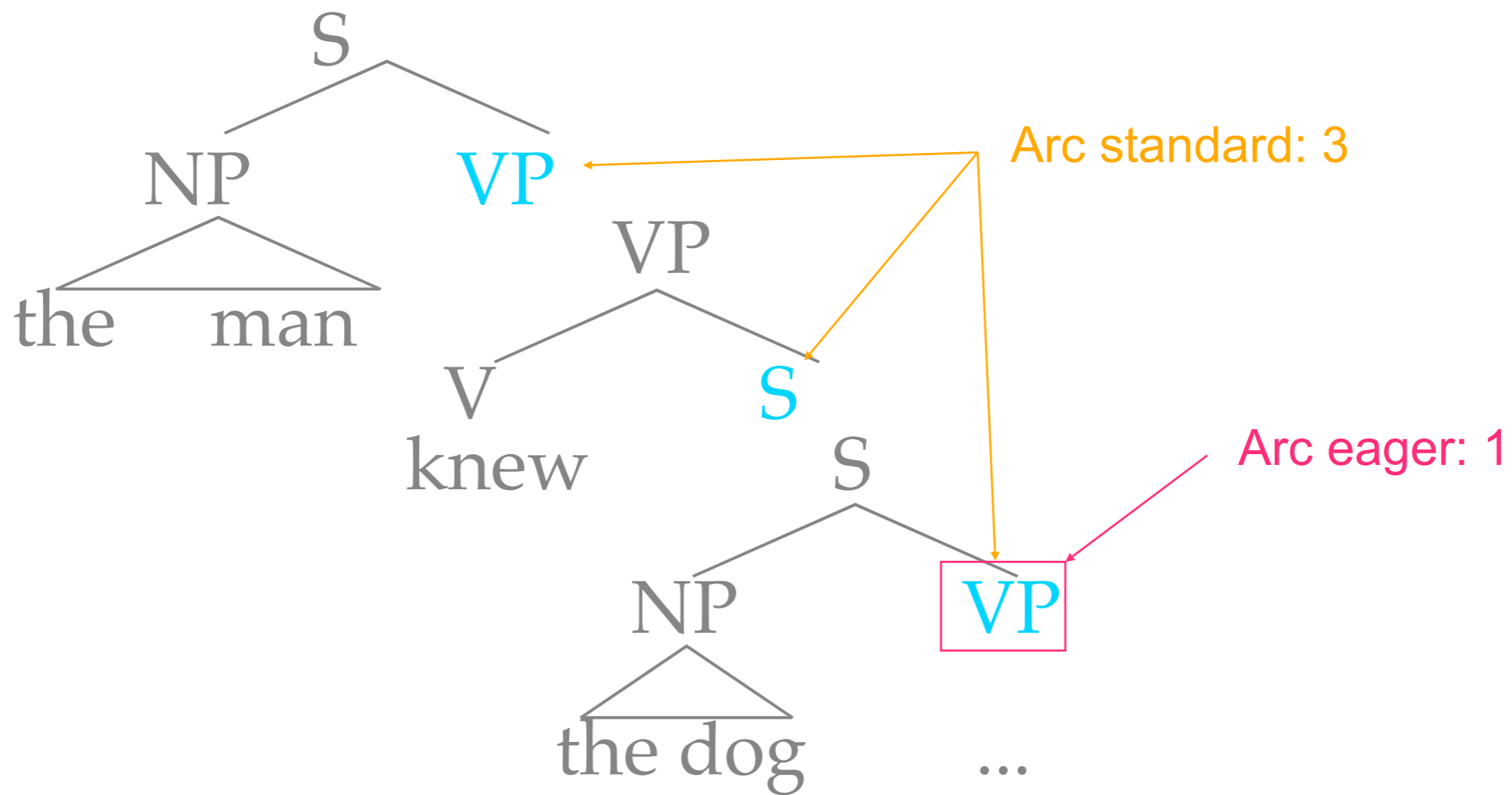
# Left Corner Parser: an Example

*“The woman reads ...”*

stack: [NP]

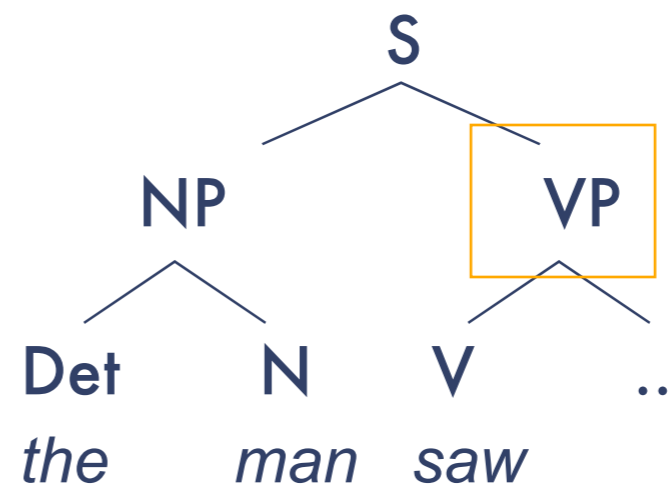
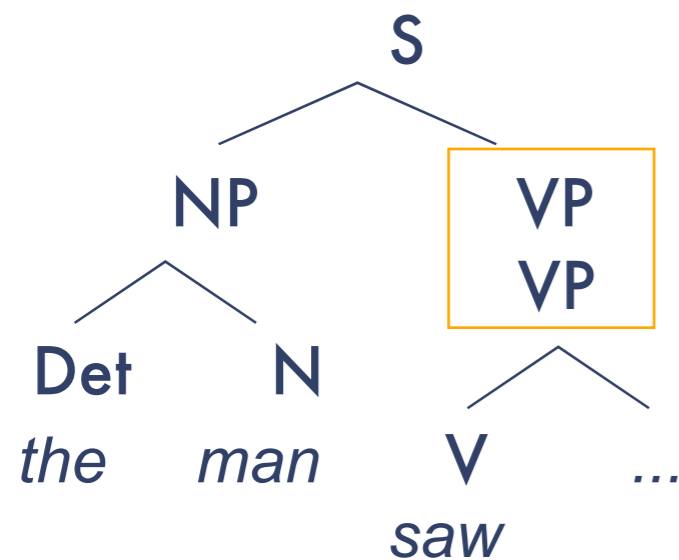


# Is LC Parser Incremental?



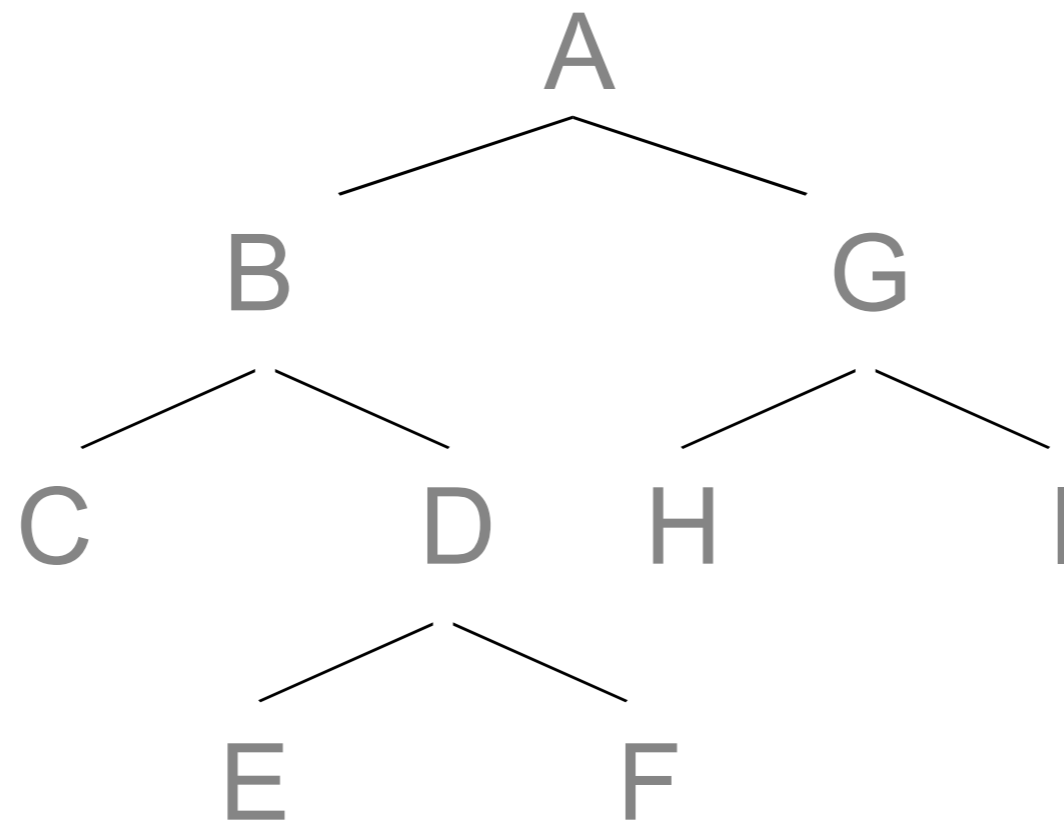
# Variations of LC Parser

- Arc-standard vs. arc-eager



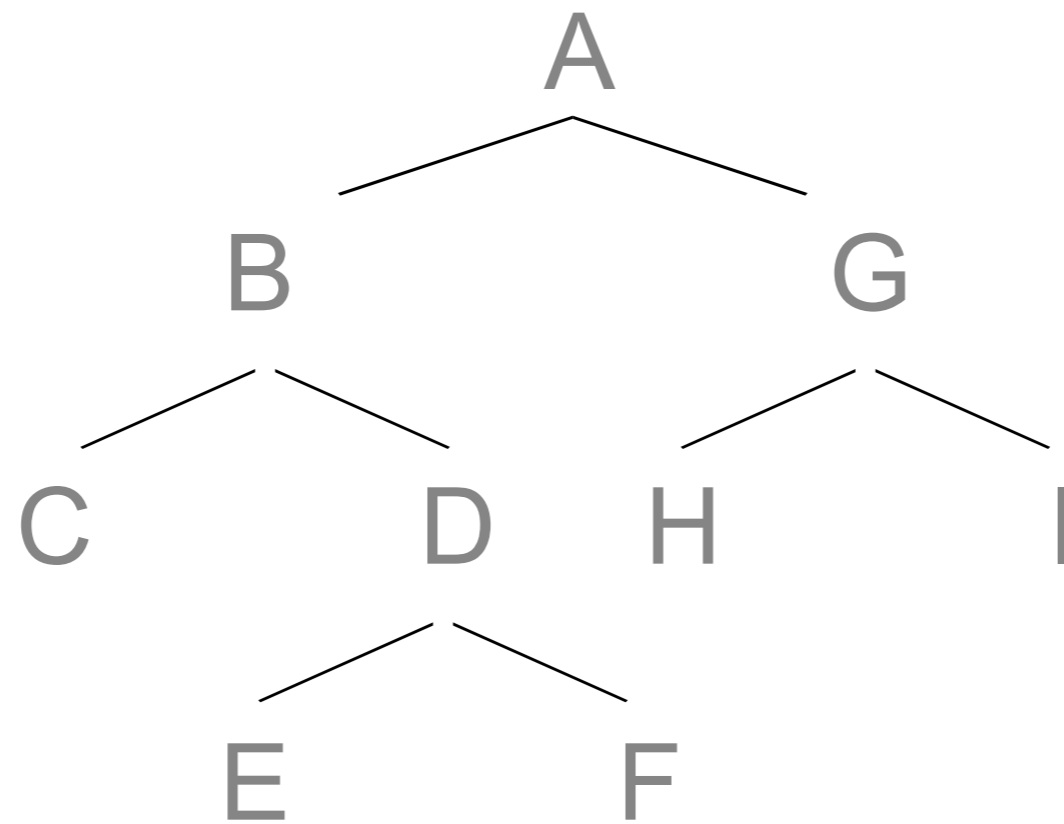
- Arc-standard is safer in ambiguity resolution
- Arc-eager is incremental, needs less memory

# Parsers Reviewed



- Top-down: [ **A B C D E F G H I** ]

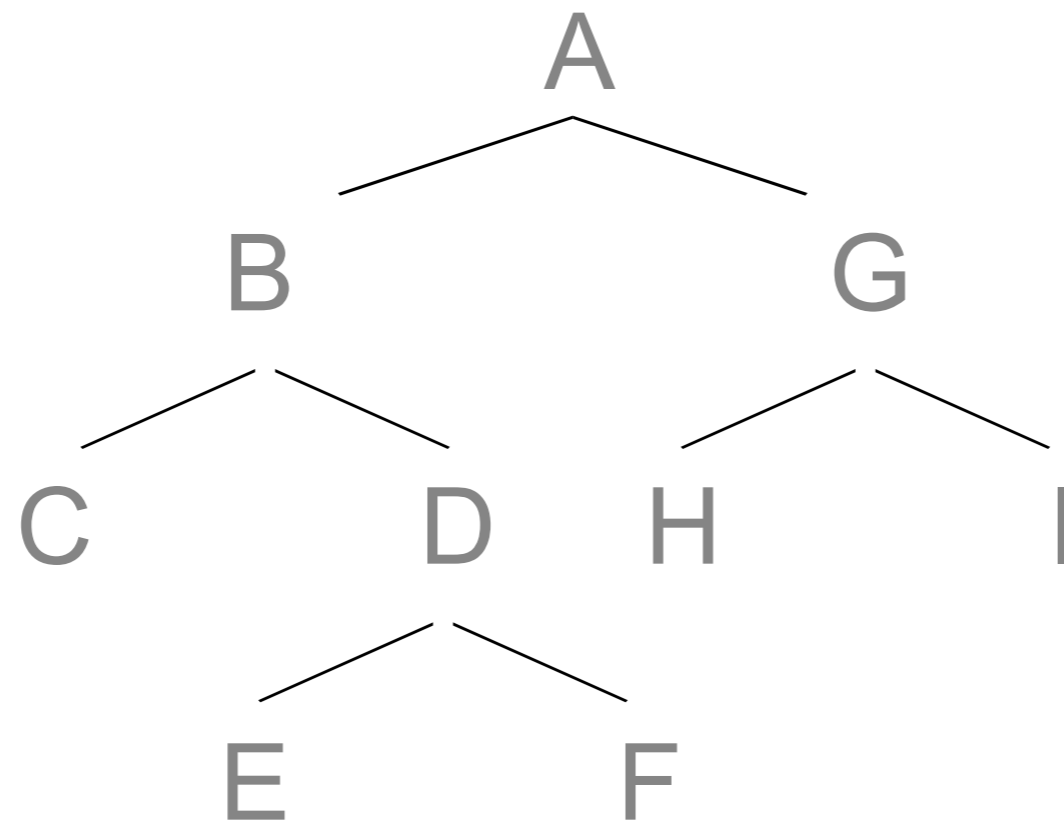
# Parsers Reviewed



- Bottom-up: [ C E F D B H I G A ]



# Parsers Reviewed

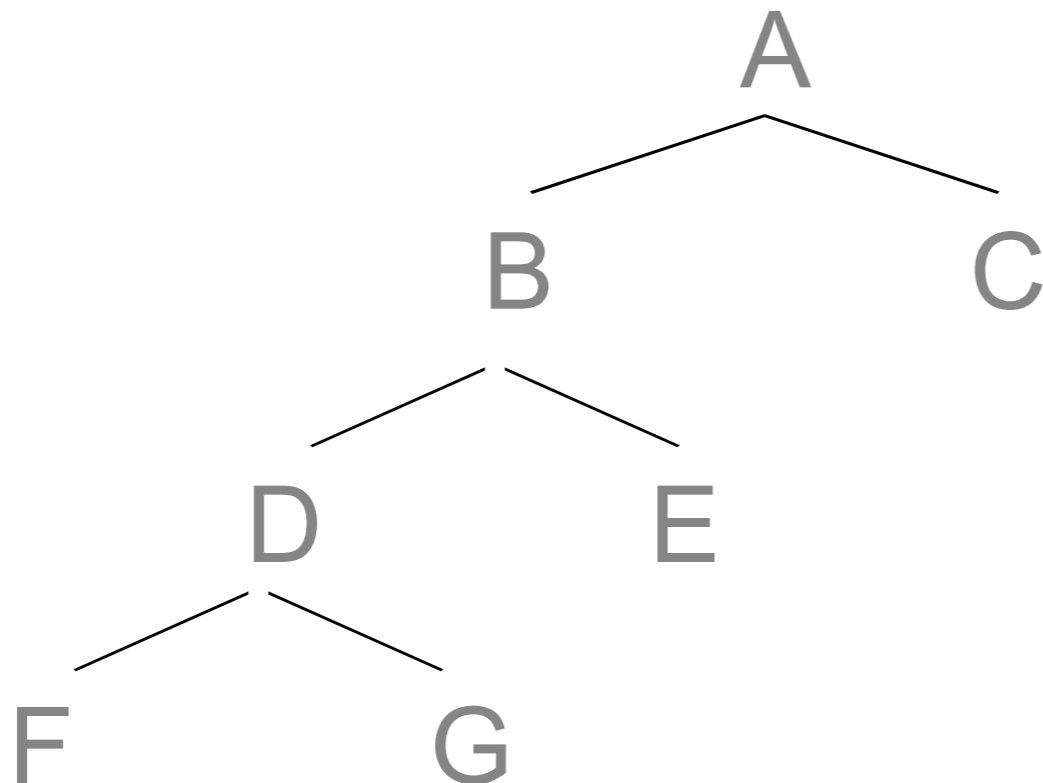


- Left-corner (arc-eager): [ **C B E D F A H G I** ]

# Memory Load

- Left-embedding:

*[[[John's brother]'s car door]'s handle] broke off.*

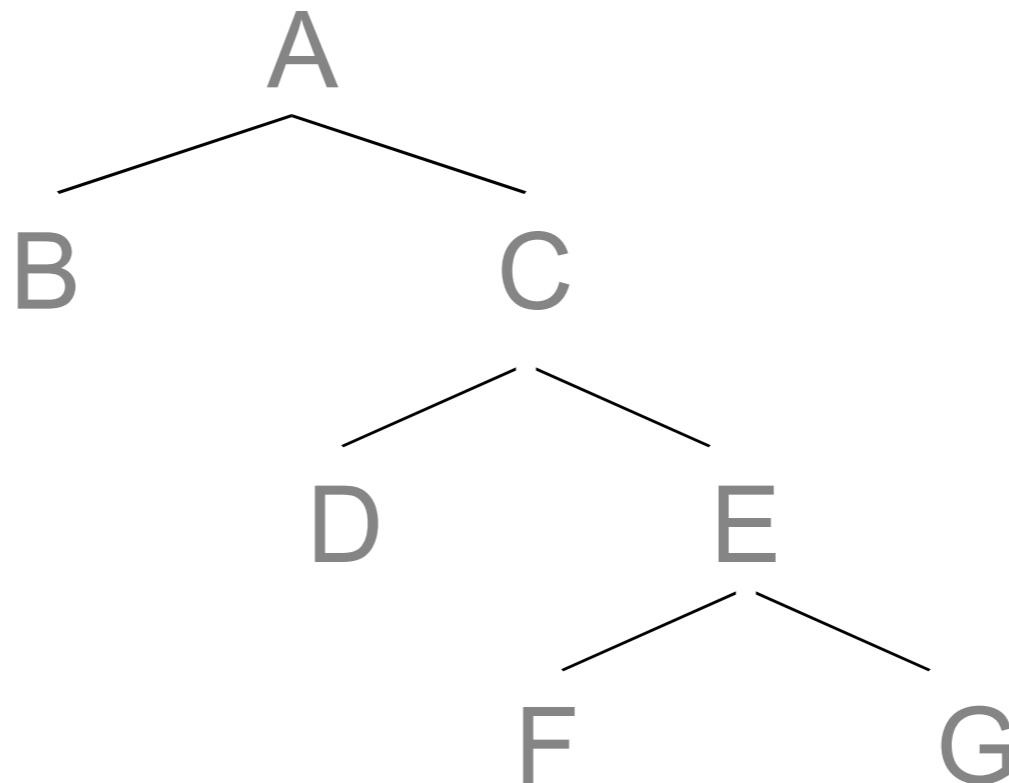


Bottom-up: *easy*    Top-down: *hard*    Left-corner: *easy*

# Memory Load

- Right-embedding:

*[Bill knows [Mary said [she likes cats.]]]*

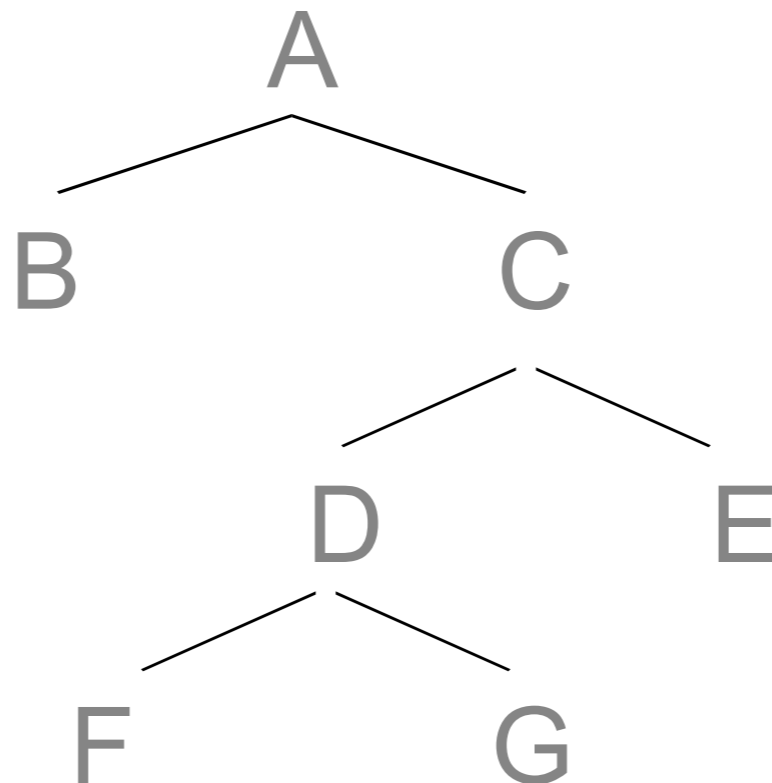


Bottom-up: **hard**    Top-down: **easy**    Left-corner: **easy**

# Memory Load

- Center-embedding:

*[The mouse [the cat [the dog chased] bit] died.]*



Bottom-up: **hard**    Top-down: **hard**    Left-corner: **hard**

# Summary of Behaviour

Node	Arcs	Left	Centre	Right
Top-down	Either	$O(n)$	$O(n)$	$O(1)$
Shift-reduce	Either	$O(1)$	$O(n)$	$O(n)$
Left-corner	Standard	$O(1)$	$O(n)$	$O(n)$
Left-corner	Eager	$O(1)$	$O(n)$	$O(1)$
People		$O(1)$	$O(n)$	$O(1)$

# Ambiguity in Parsing

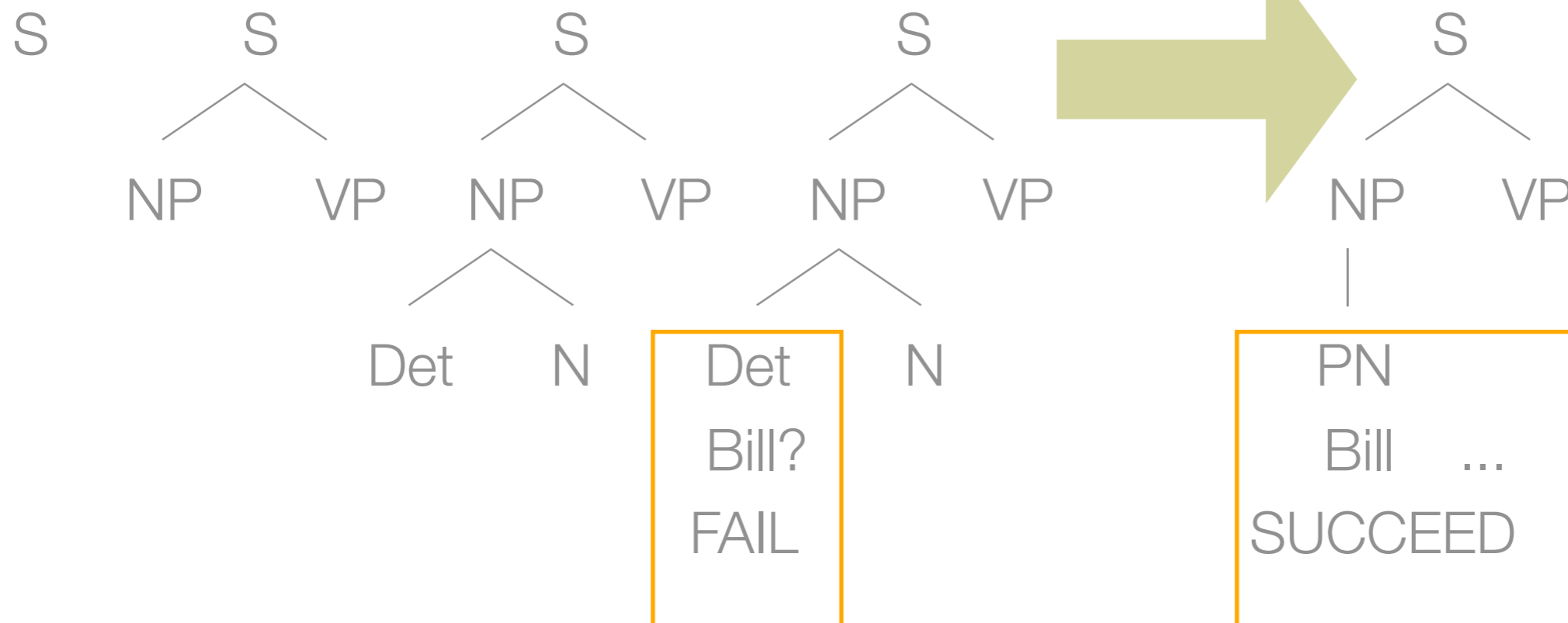
- What if more than one rule can be selected?
  - Local ambiguity: a parse derivation may fail later
  - Global ambiguity: multiple parses can succeed
- Mechanisms of handling ambiguity during parsing:
  - Backtracking
  - Parallelism
  - Determinism
  - Underspecification

# Backtracking Parsers

- Parsing is a sequence of rule selections
- If at one point, more than one rule can be applied, this is called a choice point
  - Make a decision, based on some selection rule
  - If subsequently parsing 'blocks', return to a choice point and re-parse from there
- Which choice point to return to?
  - Usually the last, why?
  - What other choice point selection rules could be used?

# Backtracking: an example

Bill reads





# Parallel Parsers

- Build parse trees through successive rule selections
  - If more than one rule may be applied, create a new parse derivation for each possibility
  - Pursue all parses in parallel
  - If any of the parses 'blocks', discard it
- Multiple local ambiguities  $\Rightarrow$  number of parallel derivations grows exponentially
  - Bounded parallelism: pursue a fixed number
  - How do we choose which ones to keep?

# Parallel: an example

