# Advances in Logical Grammar: Review of Typed Lambda Calculus

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A typed lambda calculus (TLC) can be viewed in two complementary ways:

- model-theoretically, as a system of notation for functions
- proof-theoretically, as an elaboration of natural deduction for intuitionistic propositional logic (IPL)
- In our linguistic application, we'll view it both ways simultaneously.
- But first, what *is* a TLC?

#### A TLC is a Lot Like a First-Order Logic

- A TLC has a lot in common with a FOL, starting with having both a syntax and a semantics.
- The syntax of a TLC has a lot in common with the syntax of a FOL, including constants, variables, variable binding, and rules for forming terms.
- The semantics of a TLC has a lot in common with the semantics of a FOL, including a class of set-theoretic interpretations and variable assignments.

#### What a TLC has that an FOL doesn't

- A FOL only has two types of terms: **individual** terms (often just called terms) and **truth-value** terms (often called **formulas**); whereas a TLC has an infinite number of types of terms, formed with **type constructors** by starting with a finite number of **basic** types.
- A TLC has the binding operator  $\lambda$  (lambda), which is the crucial ingredient for notating functions.

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#### What a FOL has that a TLC doesn't

- A FOL has a special type of term truth value terms (also called formulas) that can be used to express theories.
- A FOL has an **equality** symbol which can be used to form formulas (by placing it between two individual terms).
- A FOL has logical connectives and quantifiers for forming more complex formulas.

- Before long, we'll see how to construct systems—higher order logics (HOLs) that combine all the features of TLCs and FOLs.
- We'll use one of these, the **pheno** logic, to notate (and theorize about) phenogrammar.
- We'll use another one, the **semantic** logic, to notate (and theorize about) meanings.
- the tecto linear logic makes three.
- When we analyze signs, we'll be doing proofs in all three of these logics, in parallel.

## Specifying the Syntax of a TLC

- 1. We start by specifying the **basic types**.
- 2. We use the type constructors to recursively define the full set of types.
- 3. We specify a finite number of **constants** and assign each constant a type.
- 4. Finally, we use the term-forming rules to recursively define the full set of terms and assign each term a type.

As running examples, we'll go through this process for two different TLCs (one for pheno and one for semantics).

- In the simplest approach to pheno, the pheno TLC has just one basic type s (string). (Eventually it becomes necessary to add more basic pheno types, e.g. for phonological words, clitics, pitch accents, etc.).
- The semantic TLC has the two basic types e (entities, the meanings of (uses of) proper nouns), and p (propositions, the meanings of (uses of) declarative sentences).

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## Defining the Full Set of Types of a TLC

■ T is a type.

 $\blacksquare$  If A and B are types, then so are:

 $\blacksquare A \to B$ 

•  $A \wedge B$ 

 $\blacksquare A \lor B$ 

 Nothing else is a type (in particular, we don't make use of F, negation, or quantifiers).

*Note:* The set of types is the same as the set of IPL formulas obtained by taking the basic types to be the atomic formulas.

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### TLC Constants

Note: we write  $'\vdash a : A'$  to mean term a is of type A.

- Every TLC has the **logical** constant  $\vdash * : T$ .
- Constants of the pheno TLC:

 $\begin{array}{l} \vdash \mathbf{e} : \mathrm{s} \; (\mathrm{null} \; \mathrm{string}) \\ \vdash \cdot : \mathrm{s} \to \mathrm{s} \to \mathrm{s} \; (\mathrm{concatenation}) \\ \textit{Note:} \; \mathrm{usually} \; \mathrm{written} \; \mathrm{infix}, \; \mathrm{e.g.} \; s \cdot t \; \mathrm{for} \; (\cdot \; s \; t) \\ \mathrm{constants} \; \mathrm{for} \; \mathrm{strings} \; \mathrm{of} \; \mathrm{single} \; \mathrm{phonological} \; \mathrm{words}, \\ \mathrm{e.g.} \; \vdash \; \mathrm{pig} : \mathrm{s} \; \mathrm{for} \; \mathrm{the} \; \mathrm{string} \; \mathrm{of} \; /\mathrm{pIg}/. \end{array}$ 

• Constants of the semantic TLC, e.g.

$$\begin{array}{l} \vdash \mathsf{fido}: e \\ \vdash \mathsf{bark}: e \to p \\ \vdash \mathsf{maybe}: p \to p \\ \vdash \mathsf{bite}: e \to e \to p \\ \vdash \mathsf{give}: e \to e \to e \to p \\ \vdash \mathsf{believe}: e \to p \to p \end{array}$$

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- a. For each constant a of type A,  $\vdash a : A$ .
- b. For each type A there are **variables**  $\vdash x_i^A : A \ (i \in \omega)$ .
- c. If  $\vdash f : A \to B$  and  $\vdash a : A$ , then  $\vdash \mathsf{app}(f, a) : B$ .

Note: app(f, a) is abbreviated to (f a).

d. If  $\vdash x : A$  is a variable and  $\vdash b : B$ , then  $\vdash \lambda_x . b : A \to B$ .

# TLC Terms (2/2)

e. If 
$$\vdash a : A \land B$$
, then  $\vdash \pi(a) : A$ .

f. If 
$$\vdash a : A \land B$$
, then  $\vdash \pi'(a) : B$ .

- g. If  $\vdash a : A$  and  $\vdash b : B$ , then  $\vdash (a, b) : A \land B$ .
- h. If  $\vdash x : A$  and  $\vdash y : B$  are variables,  $\vdash d : A \lor B$ ,  $\vdash c : C$ , and  $\vdash c' : C$ , then [case  $d(\iota(x) c)(\iota'(y) c')$ ] : C.

i. If 
$$\vdash a : A$$
, then  $\vdash \iota_{A,B}(a) : A \lor B$ 

j. If  $\vdash b : B$ , then  $\vdash \iota'_{A,B}(b) : A \lor B$ 

*Note:* subscripted A, B on  $\pi, \pi', \iota$ , and  $\iota'$  are suppressed for the sake of readability.

Here t, a, b, p, and f are metavariables ranging over terms.

- a. Equivalences for the term constructors:
  - 1.  $t \equiv *$  (for t a term of type T)
  - 2.  $\pi(a,b) \equiv a$
  - 3.  $\pi'(a,b) \equiv b$
  - 4.  $(\pi(p),\pi'(p))\equiv p$

## TLC Term Equivalences (2/3)

- b. Equivalences for the variable binder ('lambda conversion')
  - ( $\alpha$ )  $\lambda_x . b \equiv \lambda_y . [x/y]b$ ( $\beta$ ) ( $\lambda_x . b$ )  $a \equiv [x/a]b$ ( $\eta$ )  $\lambda_x . (f x) \equiv f$ , provided x is not free in f

Note 1: The notation (x/a]b' means the term resulting from substitution in b of all free occurrences of x : A by a : A. This presupposes a is free for x in b.

Note 2: 'Free' and 'bound' are defined just as in FOL, except that  $\lambda$  is the variable binder rather than  $\forall$  and  $\exists$ .

c. Equivalences of Equational Reasoning

$$\begin{array}{l} (\rho) \ a \equiv a \\ (\sigma) \ \text{If } a \equiv a', \text{ then } a' \equiv a. \\ (\tau) \ \text{If } a \equiv a' \text{ and } a' \equiv a'', \text{ then } a \equiv a''. \\ (\xi) \ \text{If } b \equiv b', \text{ then } \lambda_x.b \equiv \lambda_x.b'. \\ (\mu) \ \text{If } f \equiv f' \text{ and } a \equiv a', \text{ then } (f \ a) \equiv (f' \ a') \end{array}$$

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A (set-theoretic) interpretation I of a TLC assigns to each type A a set I(A) and to each constant  $\vdash a : A$  a member I(a) of I(A), subject to the following constraints:

- 1. I(T) is a singleton
- 2.  $I(A \wedge B) = I(A) \times I(B)$
- 3.  $I(A \lor B) = I(A) + I(B)$  (disjoint union)
- 4.  $I(A \to B) \subseteq I(A) \to I(B)$

*Note:* The set inclusion in the last clause can be proper, as long as there are enough functions to interpret all terms.

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An **assignment** relative to an interpretation is a function that maps each variable to a member of the set that interprets that variable's type.

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#### Extending an Interpretation Relative to an Assignment

Given an assignment  $\alpha$  relative to an interpretation I, there is a unique extension of I, denoted by  $I_{\alpha}$ , that assigns interpretations to all terms, such that:

- 1. for each variable x,  $I_{\alpha}(x) = \alpha(x)$
- 2. for each constant a,  $I_{\alpha}(a) = I(a)$
- 3. if  $\vdash a : A$  and  $\vdash b : B$ , then  $I_{\alpha}((a, b)) = \langle I_{\alpha}(a), I_{\alpha}(b) \rangle$
- 4. if  $\vdash p : A \land B$ , then  $I_{\alpha}(\pi(p)) =$  the first component of  $I_{\alpha}(p)$ ; and  $I_{\alpha}(\pi'(p)) =$  the second component of  $I_{\alpha}(p)$
- 5. if  $\vdash f : A \to B$  and  $\vdash a : A$ , then  $I_{\alpha}((f \ a)) = (I_{\alpha}(f))(I_{\alpha}(a))$
- 6. if  $\vdash b : B$ , then  $I_{\alpha}(\lambda_{x \in A}.b)$  is the function from I(A) to I(B) that maps each  $s \in I(A)$  to  $I_{\beta}(b)$ , where  $\beta$  is the assignment that coincides with  $\alpha$  except that  $\beta(x) = s$ .

## Observations about Interpretations

- Two terms  $\vdash a : A$  and  $\vdash b : B$  of TLC are term-equivalent iff A = B and, for any intepretation I and any assignment  $\alpha$  relative to I,  $I_{\alpha}(a) = I_{\alpha}(b)$ .
- Another way of stating the preceding is to say that term equivalence (viewed as an equational proof system) is sound and complete for the class of set-theoretic interpretations described earlier.
- For any term a,  $I_{\alpha}(a)$  depends only on the restriction of  $\alpha$  to the free variables of a.
- In particular, if a is a closed (i.e. has no free variables), then  $I_{\alpha}(a)$  is independent of  $\alpha$  so we can simply write I(a).
- Thus, an interpretation for the basic types and constants extends uniquely to all types and all closed terms.

## Sequent-Style ND with Proof Terms for IPL

- This is a style of ND designed to analyze not just provability, but also proofs.
- It is an elaboration of the sequent-style ND for IPL already introduced.
- We'll see that in addition to being thought of as denoting elements of models, TLC terms can also be thought of as notations for proofs.
- This idea was first articulated by Curry (1934, 1958), then elaborated by Howard (1969 [1980]), Tait (1967), etc..
- We'll use this kind of ND for phenos and meanings in linear grammar derivations.

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- 1. A (TLC) term is called **closed** if it has no free variables.
- 2. A closed term is called a **combinator** if it contains no nonlogical constants.
- 3. A type is said to be **inhabited** if there is a closed term of that type.

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## Curry-Howard Correspondence (1/2)

- Types are (the same thing as) formulas.
- Type constructors are logical connectives.
- (Equivalence classes of) terms are proofs.
- The free variables of a term are the undischarged hypotheses on which the proof depends.
- The nonlogical constants of a term are the nonlogical axioms used in the proof.
- A type is a theorem iff it is inhabited.
- A type is a pure theorem (requires no nonlogical axioms to prove it) iff it is inhabited by a combinator.

# Curry-Howard Correspondence (2/2)

- Application corresponds to Modus Ponens.
- Abstraction corresponds to Hypothetical Proof (discharge of hypothesis).
- Pairing corresponds to Conjunction Introduction.
- Projections correspond to Conjunction Eliminations.
- Case corresponds to Disjunction Elimination.
- Canoniical injections correspond to Disjunction Introductions
- Identification of free variables corresponds to collapsing of duplicate hypotheses (Contraction).
- Vacuous abstraction corresponds to discharge of a nonexistent hypothesis (Weakening).

Judgments are of the form  $\Gamma \vdash a : A$ , read 'a is a proof of A with hypotheses  $\Gamma$ ', where

- 1. A is a formula (= type)
- 2. a is a term (= proof)
- 3.  $\Gamma$ , the **context** of the judgment, is a set of variable/formula pairs of the form x : A, with a distinct variable in each pair.

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## Axiom Schemas

#### Hypotheses:

$$x:A\vdash x:A$$

(x a variable of type A)

#### Nonlogical Axioms:

 $\vdash a:A$ 

(a a nonlogical constant of type A)

Logical Axiom:

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# $\begin{array}{c} \rightarrow \textbf{-Elimination or Modus Ponens:} \\ \\ \hline \frac{\Gamma \vdash f: A \rightarrow B \quad \Delta \vdash a: A}{\Gamma, \Delta \vdash (f \ a): B} \rightarrow \end{array} \\ \rightarrow \end{array}$

This presupposes no variable occurs in both  $\Gamma$  and  $\Delta$ .

 $\rightarrow$  -Introduction or Hypothetical Proof:

$$\frac{x:A,\Gamma\vdash b:B}{\Gamma\vdash\lambda_x.b:A\to B}\to \mathbf{I}$$

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There are also schemas (which we will introduce as needed) for:

- pairing/conjunction introduction
- projections/conjunction elimination
- case/disjunction elimination
- canonical injections/disjunction introduction
- identifying variables/contraction
- useless hypotheses/weakening

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