John is easy to please: Government-Binding Theory's Empty Categories in a Linear Grammar

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Tough-Movement (1/2)

Paradigms like the following have troubled generative grammarians since the mid 1960s:

- a. It is easy (for Mary) to please John.
- b. John_i is easy (for Mary) to please t_i .
- The two sentences mean the same thing: that pleasing John is something that one (or Mary) has an easy time doing.
- It's the (b) version that has been troublesome, because the object of the infinitive, indicated by t, seems to have moved to the subject position of the finite sentence.
- But the syntactic relationship, indicated by coindexation, between the object "trace" and the subject doesn't fall straightforwardly under recognized rule types.

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Tough-Movement (2/2)

- As expressed by Hicks (2009), citing Holmberg (2000):
 'Within previous principles-and-parameters models, TCs [tough constructions] have remained "unexplained and in principle unexplainable" because of incompatability with constraints on θ-role assignment, locality, and Case.'
- Hicks, building on a notion of "smuggling" introduced by Collins (2005), proposes a phase-based minimalist analysis in terms of "A-moving a constituent out of a 'complex' null operator that has already undergone Ā-movement."
- This talk sketches a simple analysis of TCs within a a λ -grammar-like framework called **linear grammar** (LG).

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This Talk

- We describe LG, a practical, pedagogical, framework for linguistic analysis, and sketch a simple English fragment.
- The fragment covers a range of constructions that were of central interest in the early decades of generative grammar:
 - \blacksquare wh-movement, later subsumed under $\bar{\mathrm{A}}\text{-}\mathrm{movement}$
 - raising, later subsumed under A-movement
 - control
- GB theory analyzed these constructions in terms of the empty categories (ECs):
 - trace (aka 'syntactic variable').
 - NP-trace
 - PRO
- Once the LG analogs of these ECs have been characterized, the analysis of TCs requires nothing further beyond the lexical entries of the 'tough predicates' themselves.

Wh-Movement/Ā-Movement

Something appears to have moved, possibly long-distance, from a Case-assigned, θ -role-assigned A-position to an \bar{A} position:

- 1. Who_i [t_i came]?
- 2. Who_i did [Mary see t_i]?
- 3. Who_i did [Mary say [John saw t_i]]? (long-distance)
- 4. * Who_i [t_i rained]? (launch site is non- θ)
- 5. * Who_i did [John try [t_i to come]]? (launch site is non-Case)
- 6. * Mary told $John_i$ [she liked t_i]. (landing site is an A-position)
- A = argument (subject or object)
- $\bar{\mathbf{A}} = \mathrm{nonargument}$
- $[\dots]$ = sentence boundary

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Something seems to have moved from a non-Case, A-position to a superjacent, non- θ , A-position:

- 1. John_i seems $[n_i$ to be happy].
- 2. It_i seems $[n_i$ to be raining].
- 3. * John_i seems [n_i is happy]. (launch site is Case-assigned)
- 4. * John_i seems [Mary believes $[n_i \text{ to be happy}]$]. (landing site is not superjacent)
- 5. * It_i tries [n_i to be raining]. (landing site is θ -assigned)
- 6. * Who_i does [John seem [n_i to be happy]]? (landing site is an \bar{A} -position)

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An EC in a θ -assigned non-Case position seems to be an aphoric to something in a superjacent A-position:

- 1. Mary_i tries $[PRO_i$ to be happy].
- 2. * $Mary_i/it_i$ tries [PRO_i to rain]. (EC is in a non- θ position.)
- 3. * John tries [Mary to like PRO_i]. (EC is in a Case position)
- 4. * Mary_i tries [John believes [PRO_i to be happy]]. (landing site is not superjacent)
- 5. * Who_i did [John try [PRO_i to be happy]]? (landing site is an \bar{A} -position)

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What's Tough about Tough-'Movement'

- Like Ā-movement, the launch site is a θ-assigned Case position, and it can be long-distance:
 - a. John_i is easy for Mary [to please t_i].
 - b. John_i is easy for Mary [to get other people [to distrust t_i]].
- Like A-movement, the landing site is a non- θ A-position.
- Like Control, the 'antecedent' of the EC must be 'referential', i.e. it can't be a dummy or an idiom chunk:
 - a. John is easy to believe to be bluffing.
 - b. * It is easy to believe to be raining.
 - c. * There is easy to believe to be a largest prime number.
 - d. * The shit is easy to believe to have hit the fan. (no idiomatic interpretation)

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LG is similar to λ -grammar, with these differences:

- As in pregroup grammar, the basic tectotypes are ordered.
- Hypothesis axiom schema is correspondingly generalized.
- No function from tectotypes to semantic types.
- In the simplest case (as here), the pheno theory is just the HO theory of monoids, with one basic type s (string).
- The semantic theory can be:
 - static (as here) or dynamic
 - hyperintensional (as here), intensional, or extensional

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Some Analytic Assumptions of this Fragment

- 'Traces' (hypotheses) are restricted to have pheno-type s.
- Unlike finite VPs, nonfinite VPs and predicatives have phenotype s, not s → s.
- No morphosyntactic features (e.g. via dependent typing), instead just lots of basic tectotypes.
- Lexical entries written so that phenogrammatically leftmost arguments are consumed first (not important here, but shortens derivations with dynamic semantics).
- Only third-singular NPs included, for expository simplicity, but adding person/number agreement is unproblematic.

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An LG for an NL is a sequent-style ND system that recursively defines a set of ordered triples called signs, each of which is taken to represent an expression of the NL.
Signs are notated:

where

- a: A, the **pheno**, is a typed term of the pheno theory
- *B*, the **tecto**, is a formula of the linear tecto logic
- c: C, the semantics, is a typed term of the semantic theory

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The Pheno Theory

- logical basic types: T (unit) and t (truth values)
- nonlogical basic type: s (string)
- Nonlogical constants:
 - e:s (null string)
 - string constants for phenos of lexical signs, e.g. it, is, easy, for, mary, to, please, john
 - $\cdot : s \to s \to s$ (concatenation, written infix)
- Nonlogical axioms (here s, t, u : s):

$$\begin{split} & \vdash \forall_{stu}.(s \cdot t) \cdot u = s \cdot (t \cdot u) \\ & \vdash \forall_s.(\mathbf{e} \cdot s) = s \\ & \vdash \forall_s.(s \cdot \mathbf{e}) = s \end{split}$$

i.e. the strings form a monoid with concatenation as the associative operation and null string as identity.

Nom (nominative, e.g. he, she) Acc (accusative, e.g. him, her) For (for-phrase, e.g. for Mary) It ('dummy pronoun' *it*) S (finite clause) Inf (infinitive clause) Bse (base clause) Prd (predicative clause) PrdA (adjectival predicative clause)

More Basic Tectos

- Neu (case-neutral, e.g. John, Mary)
- PRO (LG counterpart of GB's PRO)
 Used for subject of nonfinite verbs and predicatives that assign a semantic role to the subject, e.g. nonfinite *please*
- NP (LG counterpart of GB's NP-trace)
 Used for subject of nonfinite verbs and predicatives that don't assign a semantic role to the subject, e.g. nonfinite *seem*, infinitive *to*
- NOM (generalized nominatives)
 Used for subject of finite verbs that don't assign a semantic role to the subject, e.g. *seems*, *is*
- ACC (generalized accusatives)
 Used for objects of verbs that don't assign a semantic role to the object, e.g. infinite-complement-believe

Ordering of Basic Tectos

Neu < NomNeu < AccNom < PROAcc < PRONom < NOMAcc < ACCIt < NOMIt < ACCNOM < NPACC < NPPRO < NPPrdA < Prd

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The Semantic Theory

- Logical basic types: T (unit) and t (truth values)
- Basic types: e (individuals) and p (propositions).
- For convenience, we abbreviate certain types as follows:

a.
$$p_{\theta} =_{def} p$$

b.
$$p_{n+1} =_{def} e \rightarrow p_n$$

- Logical constant: * : T, used for vacuous meanings (e.g. of dummy pronous)
- Nonlogical constants used for lexical meanings (next slide).
- Nonlogical rules (not needed here) are analogous to meaning postulates in Montague semantics.

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- $\vdash j: \mathrm{e}~(\mathrm{John})$
- $\vdash m : e (Mary)$
- $\vdash \mathsf{rain}: p$
- $\vdash \mathsf{please} : \mathrm{p}_{\mathscr{Z}}$
- $\vdash \mathsf{easy} : e \to p_1 \to p$

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In its simplest form (as here), an LG consists of:

- Two kinds of **axioms**:
 - **logical** axioms, called **traces**
 - nonlogical axioms, called lexical entries
- Two rule schemas:
 - Modus Ponens (MP)
 - Hypothetical Proof (HP)

Before considering the precise form of the axioms and rules, we need to discuss the form of LG **sequents**.

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LG Sequents

- A sign is called **hypothetical** provided its pheno and semantics are both variables.
- An LG **sequent** is an ordered pair whose first component (the **context**) is a finite multiset of hypothetical signs, and whose second component (the **statement**) is a sign.
- The hypothetical sign occurrences in the context are called the **hypotheses** or **assumptions** of the sequent.
- We require that no two hypotheses have the same pheno variable, and that no two hypotheses have the same semantic variable.
- So actually the multisets are sets.

Notational convention: we omit the types of tecto and semantic terms when no confusion will result.

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The usual Hypothesis axiom scheme is generalized, corresponding to the ordering of the basic tectos: Full form:

$$s: s; B; z: C \vdash s: s; B'; z: C \ (B \le B')$$

Short form (when types of variables are known):

$$s; B; z \vdash s; B'; z \ (B \le B')$$

Uses of these axioms are the LG counterpart of GB traces.

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 $\vdash \text{it; It; * (dummy pronoun } it)$ $\vdash \lambda_s.s \cdot \text{rains; It} \multimap S; \lambda_o.\text{rain} (o \text{ is of type T})$

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Modus Ponens (LG counterpart of Merge)

$$\frac{\Gamma \vdash f: A \to D; B \multimap E; g: C \to F}{\Gamma, \Delta \vdash f \ a: D; E; g \ c: F} \xrightarrow{\Delta \vdash a: A; B; c: C} MP$$

■ Hypothetical Proof (LG counterpart of Move)

$$\frac{\Gamma, x: A; B; z: C \vdash d: D; E; f: F}{\Gamma \vdash \lambda_x.d: A \to D; B \multimap E; \lambda_z.f: C \to F} \text{HP}$$

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The Two LG Rule Schemata (Short Form)

These forms are used when the types of the terms are known.

Modus Ponens

$$\frac{\Gamma \vdash f; B \multimap E; g \quad \Delta \vdash a; B; c}{\Gamma, \Delta \vdash f \; a; E; g \; c} \; \mathrm{MP}$$

Hypothetical Proof

$$\frac{\Gamma, x; B; z \vdash d; E; f}{\Gamma \vdash \lambda_x.d; B \multimap E; \lambda_z.f} \text{ HP}$$

N.B.: By convention, the label MP is omitted.

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Three Useful Derived LG Rule Schemas

These rules are schematized over B, B' with $B \leq B'$.

Derived Rule Schema 1

$$\frac{\Gamma \vdash a; B; c}{\Gamma \vdash a; B'; c} \text{D1}$$

Derived Rule Schema 2

$$\frac{\Gamma \vdash f; B' \multimap A; g}{\Gamma \vdash f; B \multimap A; g} \operatorname{D2}$$

Derived Rule Schema 3

$$\frac{\Gamma \vdash f; A \multimap B; g}{\Gamma \vdash f; A \multimap B'; g} D3$$

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Unsimplified:

$$\frac{\vdash \lambda_s.s \cdot \text{rains}; \text{It} \multimap \text{S}; \lambda_o.\text{rain} \qquad \vdash \text{it}; \text{It}; *}{\vdash (\lambda_s.s \cdot \text{rains}) \text{ it}; \text{S}; (\lambda_o.\text{rain}) *}$$

Simplified:

$$\begin{array}{c|c} \vdash \lambda_s.s \cdot \text{rains}; \text{It} \multimap \text{S}; \lambda_o.\text{rain} & \vdash \text{it}; \text{It}; * \\ \hline & \vdash \text{it} \cdot \text{rains}; \text{S}; \text{rain} \end{array}$$

We use provable equalities of the semantic theory to simplify terms in intermediate conclusions before using them as premisses for subsequent rule instances.

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 \vdash john; Neu; j \vdash mary; Neu; m $\vdash \lambda_{st} \cdot s \cdot \text{pleases} \cdot t$: Nom $\multimap \text{Acc} \multimap S$: please $\vdash \lambda_t.$ please $\cdot t$; Acc \multimap PRO \multimap Bse; $\lambda_{ux}.$ please x y $\vdash \lambda_t.$ to $\cdot t$; $(A \multimap Bse) \multimap A \multimap Inf; \lambda_P.P (A < NP, P : B \rightarrow p)$ $\vdash \lambda_{st} \cdot s \cdot is \cdot t; A \multimap (A \multimap \operatorname{Prd}) \multimap S; \lambda_{xP} \cdot P x (A \le \operatorname{NOM}, x : B, P : B \to p)$ $\vdash \lambda_t$ for $\cdot t$: Acc \multimap For: $\lambda_r \cdot x$ $\vdash \lambda_{st}.easy \cdot s \cdot t;$ For $\multimap (PRO \multimap Inf) \multimap It \multimap PrdA; \lambda_{xPo}.easy x P$ $\vdash \lambda_{sf}.easy \cdot s \cdot (f \mathbf{e}); For \multimap (Acc \multimap PRO \multimap Inf) \multimap PRO \multimap PrdA;$ λ_{rry} .easy x (r y)

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How Neutral Expressions Get Case



 $\vdash \mathrm{john} \cdot \mathrm{pleases} \cdot \mathrm{mary}; \mathrm{S}; \mathsf{please} \mathsf{j} \mathsf{m}$

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Here and henceforth, leaves with overbars were already proved as lemmas in earlier derivations.

$$\begin{array}{c|c} \vdash \lambda_t. \text{for} \cdot t; \text{Acc} \multimap \text{For}; \lambda_x. x & \vdash \text{mary}; \text{Acc}; \mathsf{m} \\ \hline & \vdash \text{for} \cdot \text{mary}; \text{For}; \mathsf{m} \end{array}$$

There's no empirical justification for calling nonpredicative For-phrases 'prepositional', so we just treat For as a basic tecto.

An Infinitive Phrase



- Here A (two occurrences) in the to schema was instantiated as PRO (and B in $P: B \to p$ as e). This is legitimate because the schematization is over $A \leq NP$, and in fact PRO < NP.
- This is an instance of (the LG counterpart of) Raising, in this case of PRO from the base-form complement *please John* to the infinite phrase.
- There is no *sign* of tectotype PRO that 'raises'!

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An Impersonal Predicative Phrase

$$\begin{array}{c|c} \vdash \lambda_{st}.\text{easy} \cdot s \cdot t; \text{For} \multimap (\text{PRO} \multimap \text{Inf}) \multimap \text{It} \multimap \text{PrdA}; \lambda_{xPo}.\text{easy} \ x \ P & \vdash \text{for} \cdot \text{mary}; \text{For}; \mathsf{m} \\ \hline \vdash \lambda_t.\text{easy} \cdot \text{for} \cdot \text{mary} \cdot t; (\text{PRO} \multimap \text{Inf}) \multimap \text{It} \multimap \text{PrdA}; \lambda_{Po}.\text{easy} \ m \end{array}$$

$$\begin{array}{c|c} & \vdash \lambda_t. \text{to} \cdot t; (A \multimap \text{Bse}) \multimap A \multimap \text{Inf}; \lambda_P.P & \quad \begin{array}{c|c} & \vdash \lambda_t. \text{please} \cdot t; \text{Acc} \multimap \text{PRO} \multimap \text{Bse} & \vdash \text{john}; \text{Acc}; \textbf{j} \\ & \vdash \text{please} \cdot \text{john}; \text{PRO} \multimap \text{Bse}; \lambda_x \text{please} x \textbf{j} \\ & \quad \begin{array}{c} & \vdash \text{please} \cdot \text{john}; \text{PRO} \multimap \text{Bse}; \lambda_x \text{please} x \textbf{j} \end{array} \end{array}$$

\vdash eas	$y \cdot \text{for} \cdot \text{mary}; (PRO \multimap Inf) \multimap It \multimap PrdA$	$\vdash \text{to} \cdot \text{please} \cdot \text{john}; \text{PRO} \multimap \text{Inf}$
	$\vdash \text{easy} \cdot \text{for} \cdot \text{mary} \cdot \text{to} \cdot \text{please} \cdot \text{john}; \text{It} \multimap \text{PrdA}; \lambda_o.\text{easy} m (\lambda_x.\text{please} x j)$	
-	\vdash easy \cdot for \cdot mary \cdot to \cdot please \cdot john; It \multimap Pr	d; λ_o .easy m (λ_x .please x j)

- This is just like a Control construction, e.g. Mary tries to please John, which means try m (λ_x.please x j) ...
- Except that the controller is the For-phrase, rather than the subject (which is only a dummy)
- This semantics of Control (where the infinitive complement is analyzed as a property rather than a proposition) originates with Chierchia (1980's).

It is easy for Mary to please John

$$\begin{array}{l} \vdash \lambda_{st}.s \cdot \mathrm{is} \cdot t; A \multimap (A \multimap \mathrm{Prd}) \multimap \mathrm{S}; \lambda_{xP}.P \ x & \vdash \mathrm{it}; \mathrm{It}; * \\ \\ \vdash \lambda_t.\mathrm{it} \cdot \mathrm{is} \cdot t; (\mathrm{It} \multimap \mathrm{Prd}) \multimap \mathrm{S}; \lambda_P.P \ * \end{array}$$

 $\vdash \lambda_t. \text{it} \cdot \text{is} \cdot t; (\text{It} \multimap \text{Prd}) \multimap \text{S} \qquad \vdash \text{easy} \cdot \text{for} \cdot \text{m} \cdot \text{to} \cdot \text{please} \cdot j; \text{It} \multimap \text{Prd}; \lambda_o. \text{easy} \text{ m} (\lambda_x. \text{please} x \text{ j})$

 \vdash it · is · easy · for · mary · to · please · john; S; easy m (λ_x .please x j)

- Here A in is is instantiated as It (and B in x : B as T, so $P : T \to p$).
- This is another instance of 'Raising', in this case of the unrealized It subject of the predicative phrase *easy for Mary to please John* to the sentence.
- In no sense was the sign *it* ever in the predicative phrase.

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A Gappy Infinitive Phrase

 $\begin{array}{l} \vdash \lambda_t. \text{please} \cdot t; \text{Acc} \multimap \text{PRO} \multimap \text{Bse}; \lambda_{yx}. \text{please} \ x \ y & s; \text{Acc}; y \vdash s; \text{Acc}; y \\ \hline s; \text{Acc}; y \vdash \text{please} \cdot s; \text{PRO} \multimap \text{Bse}; \lambda_x. \text{please} \ x \ y \end{array}$

- The object trace, which is withdrawn in the last proof step, captures the sense in which '*Tough*-Movement' works like an \overline{A} (long-distance) dependency.
- The λ_s and λ_y in the pheno and semantics of the conclusion are prefigured by the empty operator binding the trace in Chomsky's (1977) analysis of this same construction:

 $[\mathsf{john}_i \text{ is easy } O_i[PRO \text{ to please } t_i]]$

• Unlike Hicks' analysis, there is nothing 'complex' about the operator that binds the trace (it is just λ), and no sense in which anything ever 'moves out' of it.

A Personal Predicative Phrase

 $\vdash \lambda_{sf}.\text{easy} \cdot s \cdot (f \ \mathbf{e}); \text{For} \multimap (\text{Acc} \multimap \text{InfP}) \multimap \text{PRO} \multimap \text{PrdA}; \lambda_{xRy}.\text{easy} x \ (R \ y) \qquad \vdash \text{for} \cdot \text{mary}; \text{For}; \text{m} \\ \vdash \lambda_{f}.\text{easy} \cdot \text{for} \cdot \text{mary} \cdot (f \ \mathbf{e}); (\text{Acc} \multimap \text{InfP}) \multimap \text{PRO} \multimap \text{PrdA}; \lambda_{Ry}.\text{easy} \ m \ (R \ y)$

• Here 'InfP' abbreviates PRO \multimap Inf.

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John is easy for Mary to please

 $\begin{array}{c|c} \vdash \lambda_{st}.s \cdot is \cdot t; A \multimap (A \multimap \operatorname{Prd}) \multimap S; \lambda_{xP}.P \ x & \hline \vdash \operatorname{john}; \operatorname{Nom}; j \\ \hline \\ \vdash \lambda_{t}.\operatorname{john} \cdot is \cdot t; (\operatorname{Nom} \multimap \operatorname{Prd}) \multimap S; \lambda_{P}.P \ j \end{array}$

 $\vdash \lambda_t.$ john · is · t; (Nom \multimap Prd) \multimap S; $\lambda_P.P$ j \vdash easy

 \vdash easy \cdot for \cdot mary \cdot to \cdot please; Nom \multimap Prd; λ_y .easy m

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 \vdash John · is · easy · for · mary · to · please; S; easy m (λ_x .please x j)

- Here A in *is* is instantiated as Nom.
- This is another instance of 'Raising', in this case of the unrealized Nom subject of the predicative phrase *easy for Mary to please* to the sentence.
- But *John* was never actually in the predicative phrase!

- The properties of the so-called *Tough*-construction don't necessitate any revision to linguistic theory (as long as you have the right theory to start with).
- They are simply consequences of the lexical entries for the so-called *Tough*-predicates, such as

 $\vdash \lambda_{sf}.\texttt{easy} \cdot s \cdot (f ~ \mathbf{e}); \texttt{For} \multimap (\texttt{Acc} \multimap \texttt{PRO} \multimap \texttt{Inf}) \multimap \texttt{PRO} \multimap \texttt{PrdA}; \lambda_{xry}.\texttt{easy} ~ x ~ (r ~ y)$

- The entire derivation of sentences with such predicates is just business as usual.
- What's so tough about that?

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Ongoing Research in or on Linear Grammar

- Crosslinguistic study of comparative correlative constructions: Elizabeth Smith
- Wh-'movement' and cliticization in Bosnian-Croation-Serbian: Vedrana Mihalicek
- Syntax, semantics, and prosody of focus in K'iche': Murat Yasavul
- Incorporating intonation and information-structural meaning into LG: Chris Worth
- Weak familiarity and conventional implicature in DyCG (= LG + hyperintensional dynamic semantics): Scott Martin
- Projective entailments in DyCG: Carl Pollard and Elizabeth Smith
- Evidentiality in Tagalog: Greg Kierstead